Edgar Filing: BAUMGARTNER ROBERT V - Form 4

BAUMGARTNER ROBERT V

Form 4 October 29, 2018

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB Number:

3235-0287

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January 31, 2005

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OMB APPROVAL

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if no longer subject to Section 16. Form 4 or Form 5 obligations

Check this box

may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

1. Name and Address of Reporting Person * BAUMGARTNER ROBERT V

2. Issuer Name and Ticker or Trading Symbol

BIO-TECHNE Corp [TECH]

Issuer

below)

(Check all applicable)

5. Relationship of Reporting Person(s) to

(First)

(Street)

(State)

(Middle)

(Zip)

3. Date of Earliest Transaction

(Month/Day/Year) 10/25/2018

Director Officer (give title

10% Owner Other (specify

5775 WAYZATA BOULEVARD,

SUITE 400

(City)

(Last)

4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

MINNEAPOLIS, MN 55416

1.Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if (Instr. 3) (Month/Day/Year)

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 8) (Instr. 3, 4 and 5)

5. Amount of Securities Beneficially (D) or Owned Following (Instr. 4) Reported

6. Ownership 7. Nature of Form: Direct Indirect Beneficial Indirect (I) Ownership (Instr. 4)

(A) or

514

Α

Transaction(s) (Instr. 3 and 4)

Common 10/25/2018 Stock

Code V Amount (D) Price

D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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8,226

\$0

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	Securities		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Options (Right to buy)	\$ 179.84	10/25/2018		A	1,898		<u>(1)</u>	10/25/2028	Common Stock	1,898
Stock Option (right to buy)	\$ 91.78						10/30/2014	10/30/2024	Common Stock	4,000
Stock Option (right to buy)	\$ 87.39						10/31/2013	10/30/2023	Common Stock	4,000
Stock Option (right to buy)	\$ 87.34						10/29/2016	10/28/2025	Common Stock	4,260
Stock Option (right to buy)	\$ 70.35						10/27/2011	10/26/2021	Common Stock	5,000
Stock Option (right to buy)	\$ 66.9						10/25/2012	10/24/2022	Common Stock	5,000
Stock Options (Right to buy)	\$ 125.05						10/25/2018	10/26/2027	Common Stock	3,125
Stock Options (Right to buy)	\$ 101.19						10/26/2017	10/26/2026	Common Stock	3,985

Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

BAUMGARTNER ROBERT V 5775 WAYZATA BOULEVARD, SUITE 400 MINNEAPOLIS, MN 55416

Signatures

/s/ Brenda S. Furlow, attorney in fact for Robert V. Baumgartner pursuant to Power of Attorney previously filed

10/29/2018

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This option vests on the earlier of the one year anniversary of the grant date (10/25/2019) or the date of Bio-Techne 2019 Annual Meeting of Shareholders.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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