

Barna James  
 Form 4  
 February 22, 2019

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
 Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287  
 Expires: January 31, 2015  
 Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
**Barna James**

(Last) (First) (Middle)  
 6060 PARKLAND BLVD., SUITE 250  
 (Street)

MAYFIELD HEIGHTS, OH 44124  
 (City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
**FERRO CORP [FOE]**

3. Date of Earliest Transaction (Month/Day/Year)  
**02/20/2019**

4. If Amendment, Date Original Filed (Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

\_\_\_\_ Director \_\_\_\_\_ 10% Owner  
 \_\_\_\_ Officer (give title below) \_\_\_\_ Other (specify below)  
**Chief Accounting Officer**

6. Individual or Joint/Group Filing (Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
Common Stock				(A) or (D) Code V Amount (D) Price	6,737	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474 (9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

Edgar Filing: Barna James - Form 4

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)			
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount Number Shares
Performance Share Unit	\$ 0	02/20/2019		A		4,100		<u>(1)</u>	12/31/2021	Common Stock	4,100
Restricted Stock Unit	\$ 0	02/20/2019		A		1,700		02/20/2022	02/20/2022	Common Stock	1,700
Stock Options (Right to Buy)	\$ 17.89	02/20/2019		A		6,400		<u>(2)</u>	02/20/2029	Common Stock	6,400
Performance Share Unit	\$ 0							<u>(1)</u>	12/31/2018	Common Stock	3,700
Performance Share Unit	\$ 0							<u>(1)</u>	12/31/2019	Common Stock	3,500
Performance Share Unit	\$ 0							<u>(1)</u>	12/31/2020	Common Stock	2,300
Phantom Shares	\$ 0 <sup>(3)</sup>							<u>(3)</u>	<u>(3)</u>	Common Stock	2,477
Restricted Share Unit	\$ 0							02/15/2020	02/15/2020	Common Stock	1,400
Restricted Share Unit	\$ 0							02/21/2021	02/21/2021	Common Stock	900
Stock Options (Right to Buy)	\$ 22.01							<u>(4)</u>	02/21/2028	Common Stock	3,000
Stock Options (Right to Buy)	\$ 14.27							02/15/2018	02/15/2027	Common Stock	4,100
Stock Options (Right to Buy)	\$ 12.33							02/18/2016	02/18/2025	Common Stock	800
Stock Options	\$ 9.6							02/17/2017	02/17/2026	Common Stock	3,600

(Right to Buy)

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Barna James 6060 PARKLAND BLVD. SUITE 250 MAYFIELD HEIGHTS, OH 44124			Chief Accounting Officer	

## Signatures

/s/ Benjamin Schlater, Group Vice President and Chief Financial Officer, by Power of Attorney

02/22/2019

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
  - \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Performance Share Units granted as a performance award, vesting based upon degree of achievement of performance goal. At the end of
- (1) the performance period, 50% of award is paid in common shares free of restrictions, and 50% is paid in cash. If the final amount is less than 100% of the share units, the balance is forfeited to the company.
  - (2) 33+% per year for 3 years beginning on 20-Feb-2020
  - (3) Represent phantom shares awarded under the Company's Supplemental Defined Contribution Plan for Executive Employees.
  - (4) 33+% per year for 3 years beginning on 21-Feb-2019

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.