

DYNAVAX TECHNOLOGIES CORP
Form 8-K
March 02, 2018

UNITED STATES

SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Form 8-K

CURRENT REPORT

Pursuant to Section 13 or 15(d) of the

Securities Exchange Act of 1934

Date of Report (Date of earliest event reported): February 26, 2018

Dynavax Technologies Corporation

(Exact name of registrant as specified in its charter)

Commission File Number: 001-34207

| | |
|------------------------------|---------------------|
| Delaware | 33-0728374 |
| (State or other jurisdiction | (IRS Employer |
| of incorporation) | Identification No.) |

2929 Seventh Street, Suite 100

Berkeley, CA 94710-2753

(Address of principal executive offices, including zip code)

(510) 848-5100

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(Registrant's telephone number, including area code)

(Former name or former address, if changed since last report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)

Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)

Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))

Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Indicate by check mark whether the Registrant is an emerging growth company as defined in Rule 405 of the Securities Act of 1933 (§230.405 of this chapter) or Rule 12b-2 of the Securities Exchange Act of 1934 (§240.12b-2 of this chapter).

Emerging growth company

If an emerging growth company, indicate by check mark if the Registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act.

Item 5.02 Departure of Directors or Certain Officers; Election of Directors; Appointment of Certain Officers; Compensatory Arrangements of Certain Officers.

(b) On February 26, 2018, Stanley Plotkin, M.D., a member of the Board of Directors of Dynavax Technologies Corporation (the “Company”), informed the Company that he will resign from the Board of Directors (the “Board”) at the upcoming Annual Stockholders’ Meeting to be held in May 2018 (the “2018 Annual Meeting”). Dr. Plotkin’s decision to resign is for personal reasons and was not the result of a disagreement with the Company.

Dr. Plotkin has indicated his intention to continue to serve as a director of the Company until the 2018 Annual Meeting, and he is expected to become a Scientific Advisor to Dynavax following his resignation from the Board.

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Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

Dynavax Technologies Corporation

Date: March 2, 2018 By: /s/ STEVEN N. GERSTEN
Steven N. Gersten
Vice President, General Counsel and Chief Ethics and Compliance Officer