

Marcus Gregory S  
Form 4  
September 12, 2018

**FORM 4** UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2015  
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Marcus Gregory S

2. Issuer Name and Ticker or Trading Symbol  
MARCUS CORP [MCS]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)  
09/10/2018

\_\_\_ Director \_\_\_ 10% Owner  
 Officer (give title below) \_\_\_ Other (specify below)

President and CEO

THE MARCUS CORPORATION, 100 E. WISCONSIN AVE., SUITE 1900

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

MILWAUKEE, WI 53202

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(D)	Price
Common Stock	09/10/2018		M		32,504	A	\$ 13.34
Common Stock	09/10/2018		M		22,496	A	\$ 11.89
Common Stock	09/10/2018		S		55,000	D	\$ 40.8806 <u>(1)</u>
Common Stock	09/11/2018		M		14,093	A	\$ 11.89
	09/11/2018		S		14,093	D	

Edgar Filing: Marcus Gregory S - Form 4

Common Stock					\$				
					41.0014				
					<u>(2)</u>				
Common Stock	09/12/2018		M	10,000	A	\$ 13.34	172,183	D	
Common Stock	09/12/2018		M	10,000	A	\$ 11.89	182,183	D	
Common Stock	09/12/2018		S	20,000	D	\$			
						41.5597	162,183	D	
						<u>(3)</u>			
Common Stock							75	I	As custodian <u>(4)</u>
Common Stock							7,439.62 <u>(5)</u>	I	By 401(k) Plan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)		
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (right to buy) (granted 7/28/09)	\$ 13.34	09/10/2018		M	32,504	<u>(6)</u>	07/28/2019	Common Stock	55,000
Stock Option (right to buy) (granted 7/28/09)	\$ 13.34	09/12/2018		M	10,000	<u>(6)</u>	07/28/2019	Common Stock	22,496

Edgar Filing: Marcus Gregory S - Form 4

Stock Option (right to buy) (granted 7/27/10)	\$ 11.89	09/10/2018	M	22,496	(6)	07/27/2020	Common Stock	60,000
Stock Option (right to buy) (granted 7/27/10)	\$ 11.89	09/11/2018	M	14,093	(6)	07/27/2020	Common Stock	37,504
Stock Option (right to buy) (granted 7/27/10)	\$ 11.89	09/12/2018	M	10,000	(6)	07/27/2020	Common Stock	23,411
Stock Option (right to buy) (granted 7/26/11)	\$ 10				(6)	07/26/2021	Common Stock	60,000
Stock Option (right to buy) (granted 7/31/12)	\$ 13.12				(6)	07/31/2022	Common Stock	52,000
Stock Option (right to buy) (granted 7/30/13)	\$ 13.04				(6)	07/30/2023	Common Stock	57,500
Stock Option (right to buy) (granted 7/29/14)	\$ 18.34				(6)	07/29/2024	Common Stock	49,500
Stock Option (right to buy) (granted	\$ 20.26				(6)	07/28/2025	Common Stock	44,100

7/28/15)						
Stock Option (right to buy) (granted 3/1/16)	\$ 18.68	(6)	03/01/2026	Common Stock	28,500	
Stock Option (right to buy) (granted 2/28/17)	\$ 31.2	(6)	02/28/2027	Common Stock	40,000	
Stock Option (right to buy) (granted 2/27/18)	\$ 27	(6)	02/27/2028	Common Stock	75,000	
Class B Common Stock	(7)	(8)	(9)	Common Stock	191,056	
Class B Common Stock	(7)	(8)	(9)	Common Stock	10,786	
Class B Common Stock	(7)	(8)	(9)	Common Stock	8,388	
Class B Common Stock	(7)	(8)	(9)	Common Stock	18,233	

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Marcus Gregory S THE MARCUS CORPORATION 100 E. WISCONSIN AVE., SUITE 1900 MILWAUKEE, WI 53202			President and CEO	

## Signatures

/s/ Steven R. Barth, Attorney-in-Fact for Gregory S. Marcus

09/12/2018

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The price in Column 4 is a weighted average price. The prices actually received ranged from \$40.75 to \$41.275. The reporting person has provided to the issuer, and will provide to any security holder of the issuer, or the SEC staff, upon request, information regarding the number of shares sold at each price within the range for all transactions reported in this Form 4 utilizing a weighted average price.
- (2) The price in Column 4 is a weighted average price. The prices actually received ranged from \$41.00 to \$41.05. The reporting person has provided to the issuer, and will provide to any security holder of the issuer, or the SEC staff, upon request, information regarding the number of shares sold at each price within the range for all transactions reported in this Form 4 utilizing a weighted average price.
- (3) The price in Column 4 is a weighted average price. The prices actually received ranged from \$41.30 to \$41.80. The reporting person has provided to the issuer, and will provide to any security holder of the issuer, or the SEC staff, upon request, information regarding the number of shares sold at each price within the range for all transactions reported in this Form 4 utilizing a weighted average price.
- (4) As sole custodian of the Alexandra Marcus U/WI/UTMA.
- (5) Balance reflects the most current data available with regard to the reporting person's holdings in the 401(k) Plan.
- (6) The options originally granted vest and become exercisable as follows: 40% after 2nd anniversary of the date of grant; 60% after 3rd anniversary; 80% after 4th anniversary; and 100% after 5 years.
- (7) This security is convertible into common stock on a 1-for-1 basis at no cost.
- (8) This security is immediately exercisable.
- (9) No expiration date.
- (10) As sole custodian of the Alexandra Marcus U/WI/UTMA, the Michael Marcus U/WI/UTMA, and the Samantha Marcus U/WI/UTMA.
- (11) As trustee of the Gabriella Marcus Trust, the Daniella Marcus Trust and the Jessica Marcus Trust.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.