## Edgar Filing: BALLOU ROGER H - Form 4

BALLOU R	OGER H										
Form 4 November 2	8 2018										
FORN			SECU				NCEO	OMMERION		PPROVAL	
<b>UNITED STATES SECURITIES AND EXCHANGE COMMISSION</b> Washington, D.C. 20549								OMB Number:	3235-0287		
Check this box if no longer subject to Section 16. Form 4 or				GES IN I SECUR	BENEFI ITIES			nated average len hours per			
obligatio may com <i>See</i> Instr 1(b).	ns Section 17(	a) of the	Public Ut		ling Con	npany	y Act of	e Act of 1934, 1935 or Section 0	1		
(Print or Type l	Responses)										
BALLOU ROGER H Symbol			Issuer TECHNOLOGIES INC				Issuer	hip of Reporting Person(s) to			
[RCM							(Check all applicable)				
	TECHNOLOGIE MCCLELLAN	Middle) S,	3. Date of (Month/D 11/26/20	-	ansaction			_X_ Director Officer (give below)		Owner er (specify	
				nth/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_Form filed by One Reporting Person			
PENNSAU	KEN, NJ 08109							Form filed by M Person	lore than One Re	porting	
(City)	(State)	(Zip)	Tabl	e I - Non-D	erivative	Secur	ities Acq	uired, Disposed of	, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	curity (Month/Day/Year) Execution Date, if			Code (Instr. 3, 4 and 5) (Instr. 8) (A) or				5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership 7. Nature of Form: Direct Indirect (D) or Beneficial Indirect (I) Ownership (Instr. 4) (Instr. 4)		
Common	11/26/2019			Code V		(D)	Price		D		
Stock	11/26/2018			Р	480	А	\$4	54,358	D		
Common Stock	11/26/2018			Р	100	А	\$ 3.99	54,458	D		
Common Stock	11/27/2018			Р	20	А	\$ 3.99	54,478	D		
Common Stock	11/28/2018			Р	100	А	\$ 3.995	54,578	D		

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transact Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	;	ate	Secur	unt of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owna Follo Repo Trans (Instr
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
BALLOU ROGER H C/O RCM TECHNOLOGIES, INC. 2500 MCCLELLAN AVENUE, SUITE 350 PENNSAUKEN, NJ 08109	Х						
Signatures							
Roger H. Ballou by Kevin D. Miller PoA	11/28/2	018					
**Signature of Reporting Person	Date						

## **Explanation of Responses:**

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.