# Edgar Filing: MODINE MANUFACTURING CO - Form 144

# MODINE MANUFACTURING CO

Form 144

February 07, 2019

reducing 07,	2017												
UNITED STATES							OMB APPROVAL						
SECURITIES AND EXCHANGE COMMISSION							OMB Number	3235-0101 :					
Washington, D.C. 20549								Expires:	June 30, 2020				
											Estimated average burden		
											hours per 1.00		
			FORM 14	44							response		
		NOTICE OF	PROPOSED SA	ALE O	F SEC	URITIE	S				SEC U	SE ONLY	
F	PURSU	ANT TO RULE	144 UNDER T	HE SI	ECURI	TIES AC	CT (	OF 1933			DOCUMENT SEQUENCE NO.		
											CUSIP NUMBER		
ATTENTION: Transmit for filing 3 copies of this form concurrently with either placing an order with a broker to execute sale or executing a sale directly with a market maker.													
1 (a) NAME	OF ISS	UER (Please ty	pe or print)		(b) IRS		(c)	S.E.C. 1	FILE	NO	WORK		
					IDENT						LOC	ATION	
		ACTURING CO	)		39048	2000		1373					
1 (d) ADDRI OF ISSUER		STREET			CITY		ST	ATE	ZIP COI	ЭE	(e) TELI NO	EPHONE	
		1500 DeKoven	Ave.		Racine	e	W	I	5340	)3			
2 (a) NAME FOR WHOS THE SECUR TO BE SOLI	E ACC	OUNT	(b) RELATIONS TO ISSUER		(c) AD	DRESS	STI	REET	CIT	Y	STATE	ZIP CODE	
Moore Larry	y Oscar		Director		1500 I	DeKover	ı Av	e.	Raci	ne	WI	53403	
INSTRUCTION: The person filing this notice should contact the issuer to obtain the I.R.S. Identification Number and the S.E.C. File Number.													
3 (a)	(b)		SEC USE ONLY	(c)		(d)		(e)		(f)	(	(g)	
Title of the					ber of ares	Aggreg	gate	Number Shares	er of	Appr	oximate	Name of Each	
Class of	Each E	and Address of Broker Through Whom the	Broker-Dealer		Other nits	Marko	et	or Othe Units	er	Date	of Sale	Securities	

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Securities To Be Sold		Of	urities are to be fered or Each Iarket Maker	File Number	To Be Sold	Value	Outstanding	(See instr. 3(f))	Exchange				
		who	is Acquiring the Securities		(See instr. 3(c))	(See instr. 3(d))	(See instr. 3(e))	(MO. DAY YR.)	(See instr. 3(g))				
Common 1 stock C		EQ Shareowner Services 1110 Centre Pointe Curve - Suite 101 Mendota Heights MN 55120-4100		6600 <u>(1)</u>	\$104,000	50.6M	2/7/2019	NYSE					
INSTRU	JCTI	IONS:											
1.	(a)		Name of issuer		3. (a) Title	3. (a) Title of the class of securities to be sold							
	(b)		Issuer's I.R.S.		(b) Nan	ne and addre	ess of each bro	oker through w	hom the				
			Identification Nu	securities are intended to be sold									
	(c) Issuer's S.E.C. file number, if any					(c) Number of shares or other units to be sold (if debt securities, give the aggregate face amount)							
	(d)		Issuer's address, zip code	including	(d) Aggregate market value of the securities to be sold as a specified date within 10 days prior to filing of this notice								
	(e)		Issuer's telephone including area co		(e) Number of shares or other units of the class outstar or if debt securities the face amount thereof outstar as shown by the most recent report or statement published by the issuer								
								ne securities ar					
2.	(a)		Name of person faccount the secur		-		ecurities excha ended to be s	ange, if any, or old	n which the				
	(1.)		to be sold	- 41 1- 1									
	(b)		Such person's rel	_									
			to the issuer (e.g.										
		director, 10% stockholder, or member of immediate											
			family of any of t										
			foregoing)										
			2 1	1									

including zip code

Potential persons who are to respond to the collection of information contained in this form are SEC 1147 not required to respond unless the form displays a currently valid OMB control number. (08-07)

Such person's address,

(c)

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#### TABLE I — SECURITIES TO BE SOLD

Furnish the following information with respect to the acquisition of the securities to be sold and with respect to the payment of all or any part of the purchase price or other consideration therefor:

Title of the Class	Date you Acquired	Nature of Acquisition Transaction	Name of Person from Whom Acquired (If gift, also give date donor acquired)	Amount of Securities Acquired	Date of Payment	Nature of Payment
Common stock	10/22/2012	Acquired pursuant to the exercise or vesting of awards granted under the issuer's 2008 ICP	Issuer	10504	<u>(1)</u>	N/A
INSTRUCTIONS: If the securities were purchased and full payment						

therefor was not made in cash at the time of purchase, explain in the table or in a note thereto the nature of the consideration given. If the consideration consisted of any note or other obligation, or if payment was made in installments describe the arrangement and state when the note or other obligation was discharged in full or the last installment paid.

## TABLE II — SECURITIES SOLD DURING THE PAST 3 MONTHS

Furnish the following information as to all securities of the issuer sold during the past 3 months by the person for whose account the securities are to be sold.

		Amount of			
		Date of	Securities		
Name and Address of Seller	Title of Securities Sold	Sale	Sold	<b>Gross Proceeds</b>	

## **EXPLANATION OF RESPONSES:**

1. Shares of common stock were issued pursuant to an award grant for annual equity compensation.

## **REMARKS:**

#### **INSTRUCTIONS:**

See the definition of "person" in paragraph (a) of Rule 144. The person for whose account the securities to which this Information is to be given not only as to the person for whose account the securities are to be sold but also as to all other persons included in that definition. In addition, information shall be given as to sales by all persons whose sales are required by paragraph (e) of Rule 144 to be aggregated with sales for the account of the person filing this notice.

February 07, 2019 DATE OF NOTICE

ATTENTION: Intentional

DATE OF PLAN ADOPTION OR GIVING OF INSTRUCTION, IF **RELYING ON RULE 10B5-1** 

SEC 1147 (02-08)

misstatements or omission of facts constitute

Federal Criminal Violations (See 18 U.S.C. 1001)

#### ATTENTION:

notice relates are to be sold hereby represents by signing this notice that he does not know any material adverse information in regard to the current and prospective operations of the Issuer of the securities to be sold which has not been publicly disclosed. If such person has adopted a written trading plan or given trading instructions to satisfy Rule 10b5-1 under the Exchange Act, by signing the form and indicating the date that the plan was adopted or the instruction given, that person makes such representation as of the plan adoption or instruction date.

/s/ Larry O. Moore

(SIGNATURE)

The notice shall be signed by the person for whose account the securities are to be sold. At least one copy of the notice shall be manually signed. Any copies not manually signed shall bear typed or printed signatures.