#### COTTER ELLEN M

Form 4 April 17, 2019

# FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB 3235-0287 Number:

**OMB APPROVAL** 

Check this box if no longer subject to Section 16.

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

January 31, Expires: 2005 Estimated average

Form 4 or Form 5 obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

burden hours per 0.5 response...

See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * COTTER ELLEN M	2. Issuer Name <b>and</b> Ticker or Trading Symbol	5. Relationship of Reporting Person(s) to Issuer		
	READING INTERNATIONAL INC [RDI]	(Check all applicable)		
(Last) (First) (Middle)	3. Date of Earliest Transaction (Month/Day/Year)	_X_ Director _X_ 10% Owner _X_ Officer (give title Other (specify		
5995 SEPULVEDA BLVD, SUITE 300	04/13/2019	below) below) Chairperson, President and CEO		
(Street)	4. If Amendment, Date Original	6. Individual or Joint/Group Filing(Check		
	Filed(Month/Day/Year)	Applicable Line) _X_ Form filed by One Reporting Person		
CULVER CITY, CA 90230		Form filed by More than One Reporting Person		

Table I - Non-Derivative	Securities Acquire	nd Disposed of a	r Ronoficially Owned
Table I - Non-Derivative	Securities Acquire	ea. Disbosea oi, a	or Beneficially Owned

(City)	(State) (Zi	Table 1	I - Non-De	rivative S	ecuriti	ies Acqı	iired, Disposed of	, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired ton(A) or Disposed of (D) (Instr. 3, 4 and 5)		5. Amount of Securities Form: Direct Beneficially (D) or Owned Indirect (I) Following (Instr. 4) Reported Transaction(s)			
			Code V	Amount	or (D)	Price	(Instr. 3 and 4)		
Class A Nonvoting Common Stock	04/13/2019		M	2,751	A	\$ 0	823,983	D	
Class A Nonvoting Common Stock	04/13/2019		F	951	D	\$ 15.9	823,032	D	
Class B Voting Stock							50,000	D	

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

 $\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (e.g., puts, calls, warrants, options, convertible securities) \end{tabular}$ 

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	Secu Acqu (A) o Disp (D)	urities uired or posed of tr. 3, 4,	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amou or Numb of Sha
Restricted Stock Units	<u>(1)</u>	04/13/2019		M		2,751	(2)	(2)	Class A Non-Voting Common Stock	2,75
Stock Options; Right to Buy; Class A Non-Voting Common Stock	\$ 16.36						(3)	04/12/2023	Class A Non-Voting Common Stock	47,49
Restricted Stock Units	<u>(1)</u>						<u>(4)</u>	<u>(4)</u>	Class A Non-Voting Common Stock	3,13
Stock Options; Right to Buy; Class A Non-Voting Common Stock	\$ 11.95						<u>(5)</u>	03/09/2021	Class A Non-Voting Common Stock	59,70
Restricted Stock Units	Ш						<u>(6)</u>	<u>(6)</u>	Class A Non-Voting Common Stock	5,63

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Stock Options; Right to Buy; Class A Non-Voting Common Stock	\$ 15.97	<u>(7)</u>	03/22/2022	Class A Non-Voting Common Stock	52,01
Restricted Stock Units	<u>(I)</u>	(8)	(8)	Class A Non-Voting Common Stock	19,4:
Stock Options; Right to Buy; Class A Non-Voting Common	\$ 16.14	(8)	03/13/2024	Class A Non-Voting Common Stock	89,4

# **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
COTTER ELLEN M 5995 SEPULVEDA BLVD SUITE 300 CULVER CITY, CA 90230	X	X	Chairperson, President and CEO				

# **Signatures**

Stock

/s/ Ellen Cotter 04/17/2019

\*\*Signature of Date
Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each Restricted Stock Unit represents the contingent right to receive one share of Class A Non-Voting Common Stock upon vesting on the unit.
- Granted pursuant to the Company's 2010 Stock Incentive Plan, vesting in four equal annual installments commencing on the first anniversary of the Date of Grant, April 13, 2018. Original grant was for 11,002 restricted stock units. The first installment vested on April 13, 2019. The remaining installments will vest on April 13, 2020, April 13, 2021 and April 13, 2022.
- Granted pursuant to the Company's 2010 Stock Incentive Plan, vesting in four equal annual installments commencing on the first anniversary of the Date of Grant, April 13, 2018. Original grant was for 47,493 stock options. The first installment became exercisable on April 13, 2019. The remaining installments become exercisable on April 13, 2020, April 13, 2021 and April 13, 2022.

**(4)** 

Reporting Owners 3

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Granted pursuant to the Company's 2010 Stock Incentive Plan, vesting in four equal annual installments commencing on the first anniversary of the Date of Grant, March 10, 2016. Original grant was for 12,552 restricted stock units. The first three installments vested on March 10, 2017, March 10, 2018 and March 10, 2019. The remaining installment will vest on March 10, 2020.

- Granted pursuant to the Company's 2010 Stock Incentive Plan, vesting in four equal annual installments commencing on the first anniversary of the Date of Grant, March 10, 2016. Original grant was for 59,763 stock options. The first three installments became exercisable on March 10, 2017, March 10, 2018 and March 10, 2019. The remaining installment will vest on March 10, 2020.
- Granted pursuant to the Company's 2010 Stock Incentive Plan, vesting in four equal annual installments commencing on the first (6) anniversary of the Date of Grant, March 23, 2017. Original grant was for 11,271 restricted stock units. The first two installments vested on March 23, 2018 and March 23, 2019. The remaining two installments will vest on March 23, 2020 and March 23, 2021.
- Granted pursuant to the Company's 2010 Stock Incentive Plan, vesting in four equal annual installments commencing on the first (7) anniversary of the Date of Grant, March 23, 2017. Original grant was for 52,023 stock options. The first two installments became exercisable on March 23, 2018 and March 23, 2019. The remaining two installments will vest on March 23, 2020 and March 23, 2021.
- (8) Granted pursuant to the Company's 2010 Stock Incentive Plan, vesting in four equal annual installments commencing on the first anniversary of the Date of Grant, March 14, 2019.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.