CARPENTER TECHNOLOGY CORP
Form 3
June 21, 2013

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Â LAIN TIMOTHY

(Last) (First) (Middle)

C/O CARPENTER TECHNOLOGY CORPORATION,Â PO BOX 14662

(Street)

READING,Â PAÂ 19612

(City) (State) (Zip)

2. Date of Event Requiring Statement (Month/Day/Year)
06/13/2013

3. Issuer Name **and** Ticker or Trading Symbol
CARPENTER TECHNOLOGY CORP [CRS]

4. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

_____ Director
_____ 10% Owner
__X__ Officer (give title below)
_____ Other (specify below)
VP-Controller, Chf Acctg Ofcr

5. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
_X_ Form filed by One Reporting Person
___ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

1.Title of Security (Instr. 4)	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)
Common Stock	968 (1)	D	Â
Common Stock	1,588.667	I	By 401(k) Plan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (*e.g.*, puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise Price of Derivative Security	5. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares			
Employee Stock Option (Right to Buy)	Â (2)	06/30/2018	Common Stock	300	$ 43.65	D	Â
Employee Stock Option (Right to Buy)	Â (3)	07/29/2019	Common Stock	300	$ 17.29	D	Â
Employee Stock Option (Right to Buy)	Â (4)	08/17/2020	Common Stock	407	$ 33.85	D	Â
Employee Stock Option (Right to Buy)	Â (5)	07/28/2021	Common Stock	225	$ 56.52	D	Â
Employee Stock Option (Right to Buy)	Â (6)	08/27/2022	Common Stock	252	$ 48.85	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
LAIN TIMOTHY C/O CARPENTER TECHNOLOGY CORPORATION PO BOX 14662 READING,Â PAÂ 19612	Â	Â	Â VP-Controller, Chf Acctg Ofcr	Â

Signatures

/s/Timothy Lain	06/21/2013
**Signature of Reporting Person	Date

Explanation of Responses:

***** If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

****** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) The reporting person was granted restricted stock units under the Carpenter Technology Corporation Stock-Based Compensation Plan for Officers and Key Employees.

(2) One-third of this award became exercisable on each of 06/30/09, 06/30/10 and 06/30/11.

(3) One-third of this award became exercisable on each of 07/29/10, 07/29/11 and 07/29/12

(4) One-third of this award became exercisable on each of 08/17/11 and 08/17/12; one-third will become exercisable on 08/17/13.

(5) One-third of this award became exercisable on 07/28/12; one-third will become exercisable on each of 07/28/13 and 07/28/14.

(6) One-third of this award will become exercisable on each of 08/27/13, 08/27/14 and 08/27/15.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.