#### Edgar Filing: KRATOS DEFENSE & SECURITY SOLUTIONS, INC. - Form 3

KRATOS DEFENSE & SECURITY SOLUTIONS, INC.

Form 3

August 12, 2013

# FORM 3

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number:

3235-0104

Expires:

January 31, 2005

0.5

Estimated average burden hours per

response...

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and A Person * MILLS T		_	2. Date of Event Requiring Statement (Month/Day/Year)		3. Issuer Name and Ticker or Trading Symbol KRATOS DEFENSE & SECURITY SOLUTIONS, INC. [KTOS]			
(Last)	(First)	(Middle)	08/05/2013		4. Relationship of Reporting Person(s) to Issuer		3	5. If Amendment, Date Original Filed(Month/Day/Year)
4820 EASTGATE MALL, SUITE 200					(Check all applicable)			
(Street) SAN DIEGO, CA 92121					Director 10% Owner Other (give title below) (specify below) Modular Systems Div. President			6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person
(City)	(State)	(Zip)		Table I - N	Non-Derivative Securities Beneficially Owned			
1.Title of Secu (Instr. 4)	ırity			2. Amount o Beneficially (Instr. 4)		3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nat Owne (Instr	•
Common St	cock			16,768 (1)		D	Â	
Common Stock				8,000 (2)		D	Â	
Common Stock				10,000 (3)	$0,000 \frac{(3)}{}$ D Â			
Common St	cock			5,000 (4)		D	Â	
Reminder: Report on a separate line for each class of second directly or indirectly.				rities benefic	ially S	EC 1473 (7-02	2)	
	inforı requi	mation contred to resp	spond to the catained in this found unless the MB control nu	orm are not e form displ				

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and 3. Title and Amount of **Expiration Date** (Month/Day/Year)

Securities Underlying **Derivative Security** (Instr. 4)

Conversion or Exercise Price of Derivative Security

Ownership Form of Derivative Security:

6. Nature of Indirect Beneficial Ownership (Instr. 5)

Expiration Date Exercisable Date

Amount or Title Number of Shares

or Indirect (I) (Instr. 5)

Direct (D)

### Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer

Other

MILLS THOMAS E IV 4820 EASTGATE MALL **SUITE 200** SAN DIEGO, CAÂ 92121

Modular Systems Div. President Â

# **Signatures**

Thomas E. Mills, by Eva Yee, Attorney-In-Fact

08/12/2013

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes 1,141 shares held in Issuer's 401(k) Plan and 5,666 shares purchased through Issuer's Employee Stock Purchase Plan.
- The reporting person was granted 20,000 Restricted Stock Units (RSUs) on May 19, 2010, which vest 20% annually beginning May 10, (2) 2011, unless earlier vested or forfeited pursuant to the terms of the RSU agreement. 8,000 RSUs represents the remaining unvested units. Each RSU represents a contingent right to receive one share of Issuer's common stock.
- The reporting person was granted 12,500 RSUs on January 3, 2011, 6,250 of which vest 20% annually beginning January 3, 2012 and (3) 6,250 of which vest 100% on January 3, 2016, unless earlier vested or forfeited pursuant to the terms of the RSU agreement. 10,000 RSUs represents the remaining unvested units. Each RSU represents a contingent right to receive one share of Issuer's common stock.
- The reporting person was granted 5,000 RSUs on January 3, 2012, which vest 100% on January 3, 2017, unless earlier vested or forfeited pursuant to the terms of the RSU agreement. Each RSU represents a contingent right to receive one share of Issuer's common stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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