Platform Specialty Products Corp Form 4

February 23, 2017

# FORM 4

if no longer

subject to

Section 16.

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 Check this box

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Form 4 or Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

Platform Specialty Products Corp

3. Date of Earliest Transaction

(Month/Day/Year)

02/21/2017

1(b).

(Print or Type Responses)

(Last)

(City)

(Instr. 3)

1. Name and Address of Reporting Person \*

Gliklich Benjamin

(First) (Middle)

C/O PLATFORM SPECIALTY PRODUCTS CORP., 1450

CENTREPARK BLVD, SUITE 210

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

(Zip)

5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Issuer

(Check all applicable)

EVP - Operations and Strategy

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

**OMB** 

Number:

Expires:

response...

Estimated average

burden hours per

Director 10% Owner X\_ Officer (give title Other (specify below)

6. Individual or Joint/Group Filing(Check

Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Symbol

[PAH]

WEST PALM BEACH, FL 33401

(State)

1.Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if

(Month/Day/Year)

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 8)

(Instr. 3, 4 and 5)

5. Amount of Securities Beneficially Owned Following Reported Transaction(s)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

Form: Direct (D) or Indirect (I) (Instr. 4)

6. Ownership 7. Nature of Indirect Beneficial Ownership (Instr. 4)

(Instr. 3 and 4) Code V Amount (D) Price

(A)

 $10,255 \stackrel{(1)}{=}$ D

Stock, par

Common

value \$0.01 per share

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Performance Stock Units	(2)	02/21/2017		A	19,736	<u>(2)</u>	(2)	Common Stock	19,736
Restricted Stock Units	(3)	02/21/2017		A	9,869	<u>(3)</u>	(3)	Common Stock	9,869
Stock Option (Right to Buy)	\$ 13.3	02/21/2017		A	21,695	<u>(4)</u>	<u>(4)</u>	Common Stock	21,695

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
•	Director	10% Owner	Officer	Other		

Gliklich Benjamin C/O PLATFORM SPECIALTY PRODUCTS CORP. 1450 CENTREPARK BLVD, SUITE 210 WEST PALM BEACH, FL 33401

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### **Signatures**

/s/ Benjamin
Gliklich

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes 1,495 shares of the Issuer's common stock acquired pursuant to the Issuer's employee stock purchase plan as of February 23, 2017.
- Each performance stock unit represents a contingent right to receive one share of the Issuer's common stock and will vest upon the achievements of certain performance levels based on the Issuer's (i) return on invested capital, as measured from January 1, 2017 to December 31, 2019, and (ii) annual compound total shareholder return, as measured from February 21, 2017 to February 20, 2020. The number of shares reported in column 7 may range from zero to a maximum number of 49,340 shares.
- (3) Each restricted stock unit represents a contingent right to receive one share of the Issuer's common stock and will vest on February 20, 2020, subject to continuous service.

Reporting Owners 2

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(4) 1/3 of the option shares will vest annually on February 21 over the next three years, subject to continuous service as of each such date.
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