Platform Specialty Products Corp Form 4 April 04, 2017

UNITED STATES

FORM 4

#### OMB APPROVAL

3235-0287

0.5

S SECURITIES AND EXCHANGE COMMISSION	
Washington, D.C. 20549	

Check this box if no longer subject to Section 16.

# STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Expires: January 31, 2005 Estimated average

burden hours per response...

OMB

5. Relationship of Reporting Person(s) to

Number:

Form 4 or Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*

ASHKEN I	Symbol Platfor: [PAH]	m Special	ty Produ	cts C	Issuer (Check all applicable)						
(Last)	(First)	(Middle)		3. Date of Earliest Transaction					give title	10% Owner Other (specify	
C/O PLATFORM SPECIALTY PRODUCTS CORP., 1450			04/04/2	Day/Year) 2017				below)	below	` .	
CENTREPARK BLVD, SUITE 210											
	(Street)		4. If Am	endment, D	ate Origina	ıl		6. Individual or Joint/Group Filing(Check			
WEST DAY	Filed(Mo	Filed(Month/Day/Year)					Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting				
WEST PALM BEACH, FL 33401								Person	oy more unan or	e reporting	
(City)	(State)	(Zip)	Tab	ole I - Non-l	Derivative	Secur	ities A	cquired, Dispose	d of, or Benef	icially Owned	
1.Title of Security (Instr. 3)	y (Month/Day/Year) Execution Day		n Date, if	3. Transaction Code (Instr. 8)	4. Securities Acquired or(A) or Disposed of (D) (Instr. 3, 4 and 5)  (A) or Amount (D) Price			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock, par value \$0.01 per share	04/04/2017			M	11,325		(1)	11,325	D		
Common Stock, par value \$0.01 per share	04/04/2017			J	11,325	D	(1)	0	D		

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Common Stock, par value \$0.01 per share	04/04/2017	J	11,325 A	(1)	11,325	I	By Trust (2)	
Common Stock, par value \$0.01 per share					171,555	I	By Tasburgh LLC	
Common Stock, par value \$0.01 per share					1,182,937	I	By Mariposa Acquisition, LLC	
Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.								

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. 5. Number of TransactionDerivative Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		tive Expiration Date ies (Month/Day/Year) ed (A) posed of		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Restricted Stock Units	<u>(1)</u>	04/04/2017		M		11,325	<u>(1)</u>	<u>(1)</u>	Common Stock	11,325
Series A Preferred Stock, par value \$0.01 per share	(3)						(3)	(3)	Common Stock	119,992

SEC 1474

(9-02)

## **Reporting Owners**

WEST PALM BEACH, FL 33401

Reporting Owner Name / Address

Director 10% Owner Officer Other

ASHKEN IAN G H

C/O PLATFORM SPECIALTY PRODUCTS CORP.
1450 CENTREPARK BLVD, SUITE 210

### **Signatures**

/s/ John E. Capps as Attorney-in-Fact for Ian G.H. Ashken

04/04/2017

\*\*Signature of Reporting Person

Date

#### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- This transaction represents the settlement of restricted stock units ("RSUs") previously reported which vested on 04/04/2017. Each RSU represented a contingent right to receive one share of the Issuer's common stock.
- (2) The shares are held by a revocable trust. Mr. Ashken is the trustee of this trust and may be considered to have beneficial ownership of the trust's interests in the Issuer.
- Each share of Series A Preferred Stock is convertible at any time at the election of the holder, on a one-for-one basis, into shares of the Issuer's common stock for no additional consideration. The Series A Preferred Stock shall automatically convert into the Issuer's common stock upon the earlier to occur of (i) a change of control of the Issuer or (ii) December 31, 2020 (unless extended in accordance with the terms of the Series A Preferred Stock).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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