#### FRANKLIN MARTIN E

Form 4

December 18, 2017

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB 3235-0287

**OMB APPROVAL** 

Number:

Expires:

January 31, 2005

0.5

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obligations

may continue.

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

See Instruction 1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* FRANKLIN MARTIN E

2. Issuer Name and Ticker or Trading

Symbol

Platform Specialty Products Corp [PAH]

Issuer

(Check all applicable)

5. Relationship of Reporting Person(s) to

(Last)

(First)

(Middle)

3. Date of Earliest Transaction

(Month/Day/Year) 12/14/2017

\_X\_\_ Director Officer (give title

10% Owner \_ Other (specify

C/O PLATFORM SPECIALTY PRODUCTS CORP., 1450

CENTREPARK BLVD, SUITE 210

4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

WEST PALM BEACH, FL 33401

(Street)

(City)	(State)	(Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned									
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securitie on(A) or Disp (Instr. 3, 4	osed o	of (D)	5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
			Code V	Amount	or (D)	Price	Transaction(s) (Instr. 3 and 4)	(Instr. 4)			
Common Stock, par value \$0.01 per share	12/14/2017		P	164,467	A	\$ 9.57 (1)	164,467	I	By Martin E. Franklin Revocable Trust		
Common Stock, par value \$0.01 per share	12/15/2017		P	200,000	A	\$ 9.6 (1)	364,467	I	By Martin E. Franklin Revocable Trust		

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Common Stock, par value \$0.01 per share	12/18/2017	P	135,533	A	\$ 9.84 (1)	500,000	I	By Martin E. Franklin Revocable Trust
Common Stock, par value \$0.01 per share						2,437,449	I	By MEF Holdings, LLLP
Common Stock, par value \$0.01 per share						10,449,987	I	By Mariposa Acquisition, LLC
Common Stock, par value \$0.01 per share						243,110	I	By RSMA, LLC

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transact Code (Instr. 8)	5. ionNumber of Derivativ Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	;		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	' (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Series A Preferred Stock, par value \$0.01 per share	<u>(2)</u>					(2)	(2)	Common Stock	1,060,000

8. Prio Deriv Secur (Instr.

## **Reporting Owners**

WEST PALM BEACH, FL 33401

Reporting Owner Name / Address

Director 10% Owner Officer Other

FRANKLIN MARTIN E

C/O PLATFORM SPECIALTY PRODUCTS CORP.
1450 CENTREPARK BLVD, SUITE 210

## **Signatures**

/s/ John E. Capps as Attorney-in-Fact for Martin E. Franklin

12/18/2017

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
  - The price reported in Column 4 is a weighted average price. These shares were acquired in multiple transactions at prices ranging from \$9.49 to \$9.69, inclusive, on December 14, 2017, from \$9.49 to \$9.66, inclusive, on December 15, 2017, and from \$9.71 to \$9.90,
- (1) inclusive, on December 18, 2017. The reporting person undertakes to provide to the Issuer, any security holder of the Issuer or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares acquired at each separate price within the ranges set forth in this footnote.
- Each share of Series A Preferred Stock is convertible at any time at the election of the holder, on a one-for-one basis, into shares of the Issuer's common stock for no additional consideration. The Series A Preferred Stock shall automatically convert into the Issuer's common stock upon the earlier to occur of (i) a change of control of the Issuer or (ii) December 31, 2020 (unless extended in accordance with the terms of the Series A Preferred Stock).

#### **Remarks:**

The reporting person disclaims beneficial ownership of the shares reported herein except to the extent of his pecuniary interest. Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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