#### SOINSKI JEFFREY M

Form 4

November 16, 2018

<b>FORM</b>	4	IINITED STA

#### OMB APPROVAL

3235-0287

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## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or

# STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Form 5 obligations may continue.

See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading SOINSKI JEFFREY M Issuer Symbol Avinger Inc [AVGR] (Check all applicable) (First) (Middle) (Last) 3. Date of Earliest Transaction (Month/Day/Year) Director 10% Owner \_ Other (specify Officer (give title 400 CHESAPEAKE DRIVE 11/15/2018 below) Chief Executive Officer (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check

Filed(Month/Day/Year)

REDWOOD CITY, CA 94063

(City)	(State)	(Zip) Tabl	le I - Non-I	Derivative Secu	rities Acqu	ired, Disposed of	, or Beneficiall	y Owned
1.Title of Security	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if	3.	4. Securities A	*	5. Amount of Securities	6. Ownership	7. Nature of Indirect
(Instr. 3)	(Wolldi/Day/Tear)	any	Code	(Instr. 3, 4 and	` ′	Beneficially	Form: Direct	Beneficial
		(Month/Day/Year)	(Instr. 8)			Owned Following	(D) or Indirect (I)	Ownership (Instr. 4)
				(A)		Reported Transaction(s)	(Instr. 4)	
			Code V	or Amount (D)	Price	(Instr. 3 and 4)		
Common Stock	11/15/2018		A	$ \begin{array}{ccc} 3,274 \\ \underline{\text{(1)}} \end{array} $	\$ 0.3054	11,654 <u>(2)</u>	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

Applicable Line)

Person

\_X\_ Form filed by One Reporting Person \_\_\_\_ Form filed by More than One Reporting

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)		2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. tiorNumber of ) Derivativ Securities Acquired (A) or Disposed of (D)	s I	ate	Amou Under Secur	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans
					Code \	(Instr. 3, 4, and 5)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

### **Reporting Owners**

Relationships Reporting Owner Name / Address

> Director 10% Owner Officer Other

SOINSKI JEFFREY M 400 CHESAPEAKE DRIVE REDWOOD CITY, CA 94063

Chief Executive Officer

### **Signatures**

/s/Jeff Soinski 11/16/2018 Date \*\*Signature of Reporting Person

#### **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Shares were purchased under the Company's Officer and Director Share Purchase Plan in a transaction exempt from Section 16b pursuant to Rule 16b-3(c.)
- (2) Includes 62 shares acquired under the 2015 Employee Stock Purchase Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays (5)07/28/2014 Common Stock 12,000 a currently valid OMB number. 12,000 12,000 D Stock Option \$ 1.7 (6)07/27/2015 Common Stock 12,000 12,000 D Stock Option \$ 2.15 12,000 D Stock Option \$ 1.84 (7)07/27/2016 Common Stock 12,000 12,000 D Stock Option \$ 2.95 (8)08/02/2017 Common Stock 12,000 12,000 D Stock Option \$ 2.34 (9)08/05/2018 Common Stock 12,000 12,000 D Stock Option \$ 2.67 (10)07/29/2019 Common Stock 12,000 12,000 D Stock Option \$ 1.6809/29/2010 A 12,000 (11)09/29/2020 Common Stock 12,000 \$ 1.68 12,000 D

# **Reporting Owners**

Relationships Reporting Owner Name / Address

> 10% Owner Officer Other Director

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#### Edgar Filing: SOINSKI JEFFREY M - Form 4

ZWECKER MARK A 1042 CUMBERLAND ROAD X ATLANTA, GA 30306

### **Signatures**

/s/Mark Zwecker 09/29/2010

\*\*Signature of Date
Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Stock Option granted 12/13/00 under the Company's 1992 Outside Director's Stock Plan. The Options vest fully six months from date of grant.
- (2) Stock Option granted 06/13/01 under the Company's 1992 Outside Director's Stock Plan. The Options vest fully six months from date of grant.
- (3) Stock Option granted 11/06/02 under the Company's 1992 Outside Director's Stock Plan. The Options vest fully six months from date of grant.
- (4) Stock Option granted 07/29/03 under the Company's 2003 Outside Director's Stock Plan. The Options vest fully six months from date of grant.
- (5) Stock Option granted 07/28/04 under the Company's 2003 Outside Director's Stock Plan. The Options vest fully six months from date of grant.
- (6) Stock Option granted 07/27/05 under the Company's 2003 Outside Director's Stock Plan. The Options vest fully six months from date of grant.
- (7) Stock Option granted 07/27/06 under the Company's 2003 Outside Director's Stock Plan. The Options vest fully six months from date of grant.
- (8) Stock Option granted 08/02/07 under the Company's 2003 Outside Director's Stock Plan. The Options vest fully six months from date of grant.
- (9) Stock Option granted 08/05/08 under the Company's 2003 Outside Director's Stock Plan. The Options vest fully six months from date of grant.
- (10) Stock Option granted 07/29/09 under the Company's 2003 Outside Director's Stock Plan. The Options vest fully six months from date of grant.
- (11) Stock Option granted 09/29/10 under the Company's 2003 Outside Director's Stock Plan. The Options vest fully six months from date of grant.

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