## Edgar Filing: Spark Energy, Inc. - Form 4

| Spark Ener<br>Form 4   |  |   |  |                  |   |  |  |                 |                    |  |
|--|--|---|--|------------------|---|--|--|-----------------|--------------------|--|
| March 16, 2  | ЛЛ   | ~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~             |  |                  |   |  | ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~  |                 | PPROVAL            |  |
| UNITED STATES SECURITIES<br>Washington                                   |  |   |  |                  |   |  | N OMB<br>Number:   | 3235-0287       |                    |  |
| if no lo<br>subject<br>Section<br>Form 4<br>Form 5<br>obligati<br>may co | to<br>16.<br>or<br>Filed pu<br>ions Section 17.      |   | F CHAN<br>Section  | NGES IN<br>SECUI | Estimated<br>burden hou<br>response   | urs per  |  |                 |                    |  |
| (Print or Type   | e Responses)   |   |  |                  |   |  |  |                 |                    |  |
| 1. Name and Address of Reporting Person <u>*</u><br>Jones James G II     |  |   | 2. Issuer Name <b>and</b> Ticker or Trading<br>Symbol<br>Spark Energy, Inc. [SPKE] |                  |   |  | 5. Relationship of Reporting Person(s) to<br>Issuer  |                 |                    |  |
| (Last) (First) (Middle)<br>2105 CITYWEST BLVD., SUITE<br>100             |  |   | 3. Date of Earliest Transaction<br>(Month/Day/Year)<br>03/16/2015                  |                  |   |  | (Check all applicable)<br>X_ Director 10% Owner<br>Officer (give title Other (specify<br>below) below) |                 |                    |  |
| HOUSTO   | 4. If Amendment, Date Original Filed(Month/Day/Year) |   |  |                  | <ul> <li>6. Individual or Joint/Group Filing(Check</li> <li>Applicable Line)</li> <li>_X_ Form filed by One Reporting Person</li> <li> Form filed by More than One Reporting</li> </ul> |  |  |                 |                    |  |
|  |  | (Zin)   |  |                  |   |  | Person   |                 |                    |  |
| (City)<br>1.Title of<br>Security<br>(Instr. 3)                           | (State)<br>2. Transaction Date<br>(Month/Day/Year)   | (Zip)<br>2A. Deemo<br>Execution<br>any<br>(Month/Da | Date, if TransactionAcquired (A) or<br>Code Disposed of (D)                        |                  | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4)  | of, or Beneficia<br>6. Ownership<br>Form: Direct<br>(D) or Indirect<br>(I)<br>(Instr. 4) | 7. Nature of<br>Indirect   |                 |                    |  |
| Reminder: Ro   | eport on a separate lin                              | e for each cl                                       | ass of sec   | urities bene     | Perse<br>infor<br>requi   | ons who res<br>nation cont<br>red to resp  | or indirectly.<br>spond to the colle<br>tained in this form<br>ond unless the fo<br>ntly valid OMB co  | n are not<br>rm | SEC 1474<br>(9-02) |  |

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2.          | 3. Transaction Date | 3A. Deemed         | 4.        | 5.       | 6. Date Exercisable and | 7. Title and Amount of | 8. Price  |
|-------------|-------------|---------------------|--------------------|-----------|----------|-------------------------|------------------------|-----------|
| Derivative  | Conversion  | (Month/Day/Year)    | Execution Date, if | Transacti | orNumber | Expiration Date         | Underlying Securities  | Derivativ |
| Security    | or Exercise |                     | any                | Code      | of       | (Month/Day/Year)        | (Instr. 3 and 4)       | Security  |

number.

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| (Instr. 3)               | Price of<br>Derivative<br>Security | (M         | Month/Day/Year) | (Instr. 8) | <ul> <li>Derivative</li> <li>Securities</li> <li>Acquired</li> <li>(A) or</li> <li>Disposed</li> <li>of (D)</li> <li>(Instr. 3,</li> <li>4, and 5)</li> </ul> |       |                     |                    |                            | (Instr. 5)                             |         |
|--------------------------|------------------------------------|------------|-----------------|------------|---|-------|---------------------|--------------------|----------------------------|--|---------|
|                          |                                    |            |                 | Code V     | 7 (A)   | ) (D) | Date<br>Exercisable | Expiration<br>Date | Title                      | Amount<br>or<br>Number<br>of<br>Shares |         |
| Restricted<br>Stock Unit | (1) (2)                            | 03/16/2015 |                 | А          | 97<br>(3)   |       | (2)                 | (2)                | Class A<br>Common<br>Stock | 97                                     | \$ 14.3 |

## **Reporting Owners**

| Reporting Owner Name / Address  | Relationships |           |           |       |  |  |
|---|---------------|-----------|-----------|-------|--|--|
|   | Director      | 10% Owner | Officer C | Other |  |  |
| Jones James G II<br>2105 CITYWEST BLVD., SUITE 100<br>HOUSTON, TX 77042 | Х             |           |           |       |  |  |
| Signatures  |               |           |           |       |  |  |
| /s/ James G. Jones II, by Gil Melman as<br>Attorney-in-Fact             |               |           | 03/16/20  | 15    |  |  |
| <u>**</u> Signature of Reporting Person                                 |               |           | Date      |       |  |  |

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Each restricted stock unit ("RSU") represents a right to receive, upon vesting, one share of Class A Common Stock, par value \$0.01 per(1) share (the "Class A Common Stock"), of Spark Energy, Inc., cash, or a combination of both. Each RSU includes tandem dividend equivalents which will vest upon the same schedule referenced in Footnote 2.
- (2) Each of these RSUs vests and pays out upon vesting and payout of the underlying award of RSUs to which the dividend equivalent payment relates.
- (3) These RSUs accrued on outstanding RSUs held by reporting person as a result of a dividend equivalent payment made to the holder when the Company paid its most recent quarterly dividend on the Class A Common Stock.
- (4) Balance includes original grants of RSUs and dividend equivalents issued in additional RSUs.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.