### Edgar Filing: PROVIDENT FINANCIAL SERVICES INC - Form 4

#### PROVIDENT FINANCIAL SERVICES INC

Form 4

August 24, 2016

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

3235-0287

Expires:

January 31, 2005

0.5

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**OMB APPROVAL** 

response...

if no longer subject to Section 16. Form 4 or Form 5

obligations

may continue.

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

See Instruction 1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* Christy James A.

2. Issuer Name and Ticker or Trading Symbol

Issuer

PROVIDENT FINANCIAL SERVICES INC [PFS]

(Check all applicable)

5. Relationship of Reporting Person(s) to

(Last)

(First) (Middle) 3. Date of Earliest Transaction

Director \_X\_\_ Officer (give title

10% Owner Other (specify

239 WASHINGTON STREET

(Ctata)

(Month/Day/Year) 08/23/2016

SVP, CRO of Provident Bank

6. Individual or Joint/Group Filing(Check

(Street) 4. If Amendment, Date Original

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Filed(Month/Day/Year)

Person

below)

JERSEY CITY, NJ 07302

(City)	(State)	(Zip) Tab	le I - Non-l	Derivative	Secur	ities Acqui	red, Disposed of,	or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) our Disposed of (D) (Instr. 3, 4 and 5)  (A) or			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code V	Amount	(D)	Price	(msu. 3 and 4)		
Common Stock	08/23/2016		M	10,688	A	\$ 17.94	30,552	D	
Common Stock	08/23/2016		S	500	D	\$ 21.05	30,052	D	
Common Stock	08/23/2016		S	300	D	\$ 21.045	29,752	D	
Common Stock	08/23/2016		S	900	D	\$ 21.04	28,852	D	
Common Stock	08/23/2016		S	300	D	\$ 21.035	28,552	D	

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Common Stock	08/23/2016	S	4,300	D	\$ 21.03	24,252	D	
Common Stock	08/23/2016	S	800	D	\$ 21.025	23,452	D	
Common Stock	08/23/2016	S	3,588	D	\$ 21.02	19,864	D	
Common Stock	08/24/2016	M	65	A	\$ 17.94	19,929	D	
Common Stock	08/24/2016	S	65	D	\$ 20.96	19,864	D	
Common Stock						1,384 (1)	I	By 401(k)
Common Stock						11,698 (1)	I	By ESOP

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Options	\$ 17.94	08/23/2016		M		10,688	01/29/2008	01/29/2017	Common Stock	10,688
Stock Options	\$ 17.94	08/24/2016		M		65	01/29/2008	01/29/2017	Common Stock	65
Stock Options	\$ 12.54						01/29/2009	01/29/2018	Common Stock	1,060 (2)
Stock Options	\$ 10.4						02/03/2010	02/03/2019	Common Stock	1,560 (2)

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# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Christy James A. 239 WASHINGTON STREET JERSEY CITY, NJ 07302

SVP, CRO of Provident Bank

### **Signatures**

/s/ Leonard G. Gleason, Pursuant to Power of Attorney

08/24/2016

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Reflects transactions not required to be reported pursuant to Section 16 of the Securities Exchange Act of 1934, as amended.
- (2) Stock options have fully vested.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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