Edgar Filing: ELLIE MAE INC - Form 4

ELLIE MAE	INC											
Form 4												
April 08, 201	5											
FORM	1 4									OMB A	PPROVAL	
UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549							OMB Number:	3235-0287				
Check thi										Expires:	January 31	
if no long subject to		EMENT O	COF CHANGES IN BENEFICIAL OWNERSH					NERSHIP OF	Estimated average burden hours per			
Section 1			SECURITIES									
Form 4 or										response	•	
Form 5 obligatior	• C	-							ge Act of 1934,			
may conti				•		•	- ·		f 1935 or Section	n		
<i>See</i> Instru 1(b).		30(h) of the Inv	vestmen	t C	Company	y Act	of 19	40			
(Print or Type R	(esponses)											
SCHREINER GATES CATHLEEN Symb				2. Issuer Name and Ticker or Trading Symbol					5. Relationship of Reporting Person(s) to Issuer			
EI			ELLIE N	ELLIE MAE INC [ELLI]					(Chec	k all applicable	e)	
(Last)	(First)	(Middle)	3. Date of Earliest Transaction									
				(Month/Day/Year)					Director 10% Owner X_ Officer (give title Other (specify			
	MAE, INC., 4		04/06/20)15					below)	below)	er (specify	
HOPYARD	ROAD, SUIT	IE 200							EVP, S	ales & Market	ing	
	(Street)		4. If Amer	ndment, D	Date	e Original			6. Individual or Jo	oint/Group Fili	ng(Check	
File				Filed(Month/Day/Year)					Applicable Line)			
									X Form filed by 0 Form filed by M			
PLEASANT	ON, CA 9458	88							Person		cporting	
(City)	(State)	(Zip)	Table	e I - Non-	De	rivative S	Securi	ties Ac	quired, Disposed of	, or Beneficia	lly Owned	
1.Title of	2. Transaction	Date 2A. De	emed	3.		4. Securi	ties		5. Amount of	6. Ownership	7. Nature of	
Security	(Month/Day/Y	on Date, if TransactionAcquired (A) or							Form: Direct	Indirect		
(Instr. 3)		any (Month	/Day/Year)	CodeDisposed of (D)(Instr. 8)(Instr. 3, 4 and 5)				2	(D) or Indirect (I)	Beneficial Ownership		
		(Wond	(Day I Car)	(msu. o	9	(Instr. 3, 4 and 5)						
							(A)		Reported			
							or		Transaction(s)			
				Code	V	Amount	(D)	Price	(Instr. 3 and 4)			
Common Stock	04/06/2015 <u>(</u>	1)		М		225	А	\$ 8.9	16,379	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number onof Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Pr Deri Secu (Inst
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Incentive Stock Option (right to buy)	\$ 8.9	04/06/2015 <u>(1)</u>	М	225	(2)	02/27/2022	Common Stock	225	

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
SCHREINER GATES CATHLEEN C/O ELLIE MAE, INC. 4155 HOPYARD ROAD, SUITE 200 PLEASANTON, CA 94588			EVP, Sales & M	Marketing			
Signatures							
By: /s/ LeeAnn Linck, Attorney-in-Fact For: Cathleen Schreiner 04/08/2015 Gates							
<u>**</u> Signature of Reporting	Date						

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The exercise and sale of these stock options was completed pursuant to the Rule 10b5-1 trading plan adopted by Cathleen Schreiner (1)Gates.
- (2) 25% of the stock option shares vest on one year anniversary of grant date, 1/48th of the total shares vest monthly thereafter.
- The reported transaction is a grant of a derivative security, in which we have left column 8 blank, and have reported the exercise or (3) conversion price of the derivative security in column 2.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.