#### HAVNER RONALD L JR

Form 4 June 11, 2018

### FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** Number:

**OMB APPROVAL** 

3235-0287

Expires:

5 Relationship of Reporting Person(s) to

January 31, 2005

0.5

Estimated average burden hours per

response...

if no longer subject to Section 16. Form 4 or Form 5

Check this box

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1 Name and Address of Reporting Person \*

| HAVNER RONALD L JR          |                   |                                 | Symbol          |  |     |           |                              |   |  | Issuer                                    |                  |  |  |  |
|-----------------------------|-------------------|---------------------------------|-----------------|--|-----|-----------|------------------------------|---|--|---|------------------|--|--|--|
|                             | General           | General Finance CORP [GFN]      |                 |  |     |           |                              | (Check all applicable)  |  |   |                  |  |  |  |
| (Last)                      | 3. Date of        | 3. Date of Earliest Transaction |                 |  |     |           |                              | -(Check an approach)  |  |   |                  |  |  |  |
| =04 YYYE GEN                |                   |                                 | (Month/D        | •                                      | ()  |           |                              |   | Director   |   | Owner            |  |  |  |
| 701 WESTERN AVENUE (Street) |                   |                                 | 06/07/2         | 06/07/2018                             |     |           |                              |   | Officer (give title below) Other (specify below) |   |                  |  |  |  |
|                             |                   |                                 | 4. If Ame       | 4. If Amendment, Date Original         |     |           |                              |   |  | 6. Individual or Joint/Group Filing(Check |                  |  |  |  |
|                             | Filed(Mor         | Filed(Month/Day/Year)           |                 |  |     |           |                              | Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting |  |   |                  |  |  |  |
| GLENDAL                     | E, CA 91201       |                                 |                 |  |     |           |                              |   | Person   | viore man One Re                          | porting          |  |  |  |
| (City)                      | (State)           | (Zip)                           | Tabl            | e I - No                               | n-D | erivative | Secur                        | ities Acq   | uired, Disposed o                                | f, or Beneficial                          | ly Owned         |  |  |  |
| 1.Title of                  | 2. Transaction Da |                                 | Deemed          | 3.                                     |     | 4. Securi |                              | -   | 5. Amount of                                     | 6. Ownership                              |                  |  |  |  |
| Security                    | (Month/Day/Year   | ution Date, if                  |                 |  |     | •         |                              | Securities  | Form: Direct (D) or                              | Indirect<br>Beneficial                    |                  |  |  |  |
| (Instr. 3)                  |                   | nth/Day/Year)                   | Code<br>(Instr. | Code (Instr. 3, 4 and 5)<br>(Instr. 8) |     | 3)        | Beneficially Owned Following | Indirect (I)<br>(Instr. 4)  | Ownership (Instr. 4)                             |   |                  |  |  |  |
|                             |                   |                                 |                 | Code                                   | V   | Amount    | (A)<br>or<br>(D)             | Price   | Reported<br>Transaction(s)<br>(Instr. 3 and 4)   |   |                  |  |  |  |
|                             |                   |                                 |                 | Code                                   | •   | rimount   | (D)                          | 11100   |  |   | As trustee       |  |  |  |
| Common                      |                   |                                 |                 |  |     |           |                              | \$  |  |   | of the           |  |  |  |
| Stock                       | 06/07/2018        |                                 |                 | P                                      |     | 7,778     | A                            |   | 2,913,085  | I   | Havner           |  |  |  |
| SIOCK                       |                   |                                 |                 |  |     |           |                              | (1)   |  |   | Family<br>Trust. |  |  |  |

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### Edgar Filing: HAVNER RONALD L JR - Form 4

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

|                     | 1. Title of Derivative | 2. Conversion                                     | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if | 4.<br>Transacti | 5.<br>orNumber | 6. Date Exerc<br>Expiration D |                    | 7. Title<br>Amount  |  | 8. Price of Derivative | 9. Nu<br>Deriv  |
|---------------------|------------------------|---|--------------------------------------|-------------------------------|-----------------|----------------|-------------------------------|--------------------|---------------------|--|------------------------|---|
| Security (Instr. 3) |                        | or Exercise<br>Price of<br>Derivative<br>Security | (Monda Day) Teal)                    | any (Month/Day/Year)          | Code (Instr. 8) | of             | (Month/Day/Year)<br>e<br>s    |                    | Underly<br>Securiti | rlying                                 | Security<br>(Instr. 5) | Secur<br>Bene<br>Owne<br>Follo<br>Repo<br>Trans<br>(Instr |
|                     |                        |   |                                      |                               | Code V          | (A) (D)        | Date<br>Exercisable           | Expiration<br>Date | Title N             | Amount<br>or<br>Number<br>of<br>Shares |                        |   |

# **Reporting Owners**

Relationships Reporting Owner Name / Address

> 10% Owner Officer Other Director

HAVNER RONALD L JR 701 WESTERN AVENUE GLENDALE, CA 91201

### **Signatures**

/s/ Christopher A. Wilson, attorney-in-fact for Ronald L. Havner, Jr.

06/11/2018

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The price reported in column 4 is a weighted average price. These shares were purchased in multiple transactions at prices ranging from \$11.025 to \$11.045, inclusive. The reporting person undertakes to provide to General Finance Corporation, any security holder of General Finance Corporation or the staff of the Securities Exchange Commission, upon request, full information regarding the number of shares purchased at each separate price within the ranges set forth in this footnote.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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