

FITCH SANFORD  
Form 4  
June 15, 2018

**FORM 4**

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

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**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
FITCH SANFORD

2. Issuer Name and Ticker or Trading Symbol  
IRIDEX CORP [IRIX]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)  
1212 TERRA BELLA AVENUE  
(Street)

3. Date of Earliest Transaction  
(Month/Day/Year)  
06/13/2018

Director  10% Owner  
 Officer (give title below)  Other (specify below)

MOUNTAIN VIEW, CA 94043  
(City) (State) (Zip)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
			Code	V	Amount (A) or (D) Price		
Common Stock	06/13/2018		A		5,690 (1)	A	\$ 0 62,887 D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

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Table with columns: 1. Title of Derivative Security (Instr. 3), 2. Conversion or Exercise Price of Derivative Security, 3. Transaction Date (Month/Day/Year), 3A. Deemed Execution Date, if any (Month/Day/Year), 4. Transaction Code (Instr. 8), 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5), 6. Date Exercisable and Expiration Date (Month/Day/Year), 7. Title and Amount of Underlying Securities (Instr. 3 and 4), 8. Price of Derivative Security (Instr. 5), 9. Number of Derivative Securities Owned Beneficially (Instr. 5), 10. Derivative Security Beneficially Owned (Instr. 5), 11. Date of Acquisition or Disposition (Instr. 5), 12. Date of Exercise or Conversion (Instr. 5), 13. Date of Maturity (Instr. 5), 14. Date of Redemption (Instr. 5), 15. Date of Cancellation (Instr. 5), 16. Date of Termination (Instr. 5), 17. Date of Expiration (Instr. 5), 18. Date of Reacquisition (Instr. 5), 19. Date of Reacquisition (Instr. 5), 20. Date of Reacquisition (Instr. 5), 21. Date of Reacquisition (Instr. 5), 22. Date of Reacquisition (Instr. 5), 23. Date of Reacquisition (Instr. 5), 24. Date of Reacquisition (Instr. 5), 25. Date of Reacquisition (Instr. 5), 26. Date of Reacquisition (Instr. 5), 27. Date of Reacquisition (Instr. 5), 28. Date of Reacquisition (Instr. 5), 29. Date of Reacquisition (Instr. 5), 30. Date of Reacquisition (Instr. 5), 31. Date of Reacquisition (Instr. 5), 32. Date of Reacquisition (Instr. 5), 33. Date of Reacquisition (Instr. 5), 34. Date of Reacquisition (Instr. 5), 35. Date of Reacquisition (Instr. 5), 36. Date of Reacquisition (Instr. 5), 37. Date of Reacquisition (Instr. 5), 38. Date of Reacquisition (Instr. 5), 39. Date of Reacquisition (Instr. 5), 40. Date of Reacquisition (Instr. 5), 41. Date of Reacquisition (Instr. 5), 42. Date of Reacquisition (Instr. 5), 43. Date of Reacquisition (Instr. 5), 44. Date of Reacquisition (Instr. 5), 45. Date of Reacquisition (Instr. 5), 46. Date of Reacquisition (Instr. 5), 47. Date of Reacquisition (Instr. 5), 48. Date of Reacquisition (Instr. 5), 49. Date of Reacquisition (Instr. 5), 50. Date of Reacquisition (Instr. 5), 51. Date of Reacquisition (Instr. 5), 52. Date of Reacquisition (Instr. 5), 53. Date of Reacquisition (Instr. 5), 54. Date of Reacquisition (Instr. 5), 55. Date of Reacquisition (Instr. 5), 56. Date of Reacquisition (Instr. 5), 57. Date of Reacquisition (Instr. 5), 58. Date of Reacquisition (Instr. 5), 59. Date of Reacquisition (Instr. 5), 60. Date of Reacquisition (Instr. 5), 61. Date of Reacquisition (Instr. 5), 62. Date of Reacquisition (Instr. 5), 63. Date of Reacquisition (Instr. 5), 64. Date of Reacquisition (Instr. 5), 65. Date of Reacquisition (Instr. 5), 66. Date of Reacquisition (Instr. 5), 67. Date of Reacquisition (Instr. 5), 68. Date of Reacquisition (Instr. 5), 69. Date of Reacquisition (Instr. 5), 70. Date of Reacquisition (Instr. 5), 71. Date of Reacquisition (Instr. 5), 72. Date of Reacquisition (Instr. 5), 73. Date of Reacquisition (Instr. 5), 74. Date of Reacquisition (Instr. 5), 75. Date of Reacquisition (Instr. 5), 76. Date of Reacquisition (Instr. 5), 77. Date of Reacquisition (Instr. 5), 78. Date of Reacquisition (Instr. 5), 79. Date of Reacquisition (Instr. 5), 80. Date of Reacquisition (Instr. 5), 81. Date of Reacquisition (Instr. 5), 82. Date of Reacquisition (Instr. 5), 83. Date of Reacquisition (Instr. 5), 84. Date of Reacquisition (Instr. 5), 85. Date of Reacquisition (Instr. 5), 86. Date of Reacquisition (Instr. 5), 87. Date of Reacquisition (Instr. 5), 88. Date of Reacquisition (Instr. 5), 89. Date of Reacquisition (Instr. 5), 90. Date of Reacquisition (Instr. 5), 91. Date of Reacquisition (Instr. 5), 92. Date of Reacquisition (Instr. 5), 93. Date of Reacquisition (Instr. 5), 94. Date of Reacquisition (Instr. 5), 95. Date of Reacquisition (Instr. 5), 96. Date of Reacquisition (Instr. 5), 97. Date of Reacquisition (Instr. 5), 98. Date of Reacquisition (Instr. 5), 99. Date of Reacquisition (Instr. 5), 100. Date of Reacquisition (Instr. 5).

Reporting Owners

Table with columns: Reporting Owner Name / Address, Relationships (Director, 10% Owner, Officer, Other). Row 1: FITCH SANFORD, 1212 TERRA BELLA AVENUE, MOUNTAIN VIEW, CA 94043, X (10% Owner).

Signatures

/s/ Nilo De Castro, Attorney-in-Fact for Sanford Fitch 06/15/2018
Signature of Reporting Person Date

Explanation of Responses:

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
(1) The shares were issued pursuant to a restricted stock award which shall vest with respect to 100% of the shares on the earlier of June 13, 2019 or the date of the Issuer's next annual meeting of stockholders.
Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.