

LEVAN ALAN B
Form 4
January 10, 2019

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
Number: 3235-0287
Expires: January 31,
2005
Estimated average
burden hours per
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person *
LEVAN ALAN B

(Last) (First) (Middle)

401 EAST LAS OLAS
BOULEVARD, SUITE 800

(Street)

FORT LAUDERDALE, FL 33301

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol
BBX Capital Corp [BBX/BBXTB]

3. Date of Earliest Transaction
(Month/Day/Year)
01/08/2019

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☒ Director ☒ 10% Owner
☒ Officer (give title below) ☐ Other (specify
below)

Chairman and CEO

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Class B Common Stock, par value \$0.01 per share	01/08/2019		A	688,186 (1)	A \$ 0	4,574,350 (2)	D
Class A Common Stock, par value \$0.01 per share						2,536,748 (2)	D

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Class A Common Stock, par value \$0.01 per share	1,270,294 ⁽²⁾ I	By Florida Partners Corporation
Class A Common Stock, par value \$0.01 per share	11,440 ⁽²⁾ I	By spouse
Class A Common Stock, par value \$0.01 per share	36,711 ⁽²⁾ I	By Levan Children's Trusts
Class B Common Stock, par value \$0.01 per share	1,684,571 ⁽²⁾ I	By Levan BFC Stock Partners, LP
Class B Common Stock, par value \$0.01 per share	707,882 ⁽²⁾ I	By Levan Partners, LLC
Class B Common Stock, par value \$0.01 per share	133,314 ⁽²⁾ I	By Florida Partners Corporation
Class B Common Stock, par value \$0.01 per share	1,200 ⁽²⁾ I	By spouse
Class A Common Stock, par value \$0.01 per	3,527,374 ⁽²⁾ I	By Levan Partners, LLC

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share

Class A
Common
Stock, par
value
\$0.01 per
share

393,499 ⁽²⁾ I

By Susie and
Alan B.
Levan
Family
Foundation,
Inc.

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Beneficially Owned Following Transaction (Instr. 6)
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Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Code V (A) (D)			

Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

LEVAN ALAN B
401 EAST LAS OLAS BOULEVARD
SUITE 800
FORT LAUDERDALE, FL 33301

X

X

Chairman
and CEO

Signatures

/s/ Raymond S. Lopez, Chief Financial Officer, BBX Capital Corporation, Attorney-in-Fact
for Alan B. Levan

01/10/2019

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents shares of restricted stock granted by the issuer to the reporting person under the issuer's 2014 Stock Incentive Plan. The shares are scheduled to vest in four equal annual installments beginning on October 1, 2019.
- (2) Shares of the issuer's Class B Common Stock are convertible on a share-for-share basis into the issuer's Class A Common Stock at any time in the holder's discretion.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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