

HALLIBURTON CO  
Form 4  
December 09, 2016

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
*See Instruction*  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

OMB  
Number: 3235-0287  
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2005  
Estimated average  
burden hours per  
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Carre Eric

(Last) (First) (Middle)

3000 N. SAM HOUSTON  
PARKWAY E.

(Street)

HOUSTON, TX 77032

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading  
Symbol  
HALLIBURTON CO [HAL]

3. Date of Earliest Transaction  
(Month/Day/Year)  
12/07/2016

4. If Amendment, Date Original  
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

\_\_\_\_ Director \_\_\_\_ 10% Owner  
\_\_\_\_X\_\_\_\_ Officer (give title below) \_\_\_\_ Other (specify below)

EVP, Global Business Lines

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
\_\_\_\_X\_\_\_\_ Form filed by One Reporting Person  
\_\_\_\_ Form filed by More than One Reporting  
Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3. Transaction<br>Code<br>(Instr. 8) | 4. Securities Acquired<br>(A) or Disposed of (D)<br>(Instr. 3, 4 and 5) | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|---------------------------------------|-----------------------------------------|-------------------------------------------------------------|--------------------------------------|-------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------|-------------------------------------------------------------------|
|                                       |                                         |                                                             | Code                                 | V                                                                       | Amount<br>(A)<br>or<br>(D)                                                                                         | Price<br>\$                                                          |                                                                   |
| Common<br>Stock                       | 12/07/2016                              |                                                             | A                                    |                                                                         | 18,100<br>(1)                                                                                                      | 53.54<br>(2)                                                         | 173,511.1 D                                                       |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of  
information contained in this form are not  
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SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

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| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transaction<br>Code<br>(Instr. 8) | 5. Number of<br>Derivative<br>Securities<br>Acquired (A)<br>or Disposed of<br>(D)<br>(Instr. 3, 4,<br>and 5) | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) | 7. Title and Amount<br>Underlying Securities<br>(Instr. 3 and 4) | Amount<br>or<br>Number<br>of Shares |
|-----------------------------------------------------|-----------------------------------------------------------------------|-----------------------------------------|-------------------------------------------------------------|-----------------------------------------|--------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------|------------------------------------------------------------------|-------------------------------------|
| Option to<br>Buy<br>Common<br>Stock                 | \$ 53.54                                                              | 12/07/2016                              |                                                             | A                                       | 30,100                                                                                                       | 12/07/2016 <sup>(3)</sup> 12/07/2026                           | Common<br>Stock                                                  | 30,100                              |
| Option to<br>Buy<br>Common<br>Stock                 | \$ 34.48                                                              |                                         |                                                             |                                         |                                                                                                              | 01/04/2016 01/04/2026                                          | Common<br>Stock                                                  | 28,600                              |
| Option to<br>Buy<br>Common<br>Stock                 | \$ 39.49                                                              |                                         |                                                             |                                         |                                                                                                              | 01/02/2015 01/02/2025                                          | Common<br>Stock                                                  | 24,750                              |
| Option to<br>Buy<br>Common<br>Stock                 | \$ 50.01                                                              |                                         |                                                             |                                         |                                                                                                              | 01/02/2014 01/02/2024                                          | Common<br>Stock                                                  | 8,300                               |
| Option to<br>Buy<br>Common<br>Stock                 | \$ 40.83                                                              |                                         |                                                             |                                         |                                                                                                              | 01/01/2011 01/01/2021                                          | Common<br>Stock                                                  | 7,000                               |

## Reporting Owners

| Reporting Owner Name / Address                                    | Relationships |           |                                     |       |
|-------------------------------------------------------------------|---------------|-----------|-------------------------------------|-------|
|                                                                   | Director      | 10% Owner | Officer                             | Other |
| Carre Eric<br>3000 N. SAM HOUSTON PARKWAY E.<br>HOUSTON, TX 77032 |               |           | EVP,<br>Global<br>Business<br>Lines |       |

## Signatures

/s/ Robert L. Hayter, by Power of Attorney

12/09/2016

\*\*Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares awarded pursuant to the Halliburton Company Stock and Incentive Plan. Said Plan provides for the surrender of common stock to the Issuer to satisfy withholding tax obligations.
- (2) On December 7, 2016, the closing price of Halliburton Company's Common Stock on the New York Stock Exchange was \$53.54.
- (3) The options awarded become exercisable on each of the first, second and third anniversaries of the grant in cumulative increments of one-third each of the number of shares subject to the grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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