Pope Lawrence J Form 4 December 07, 2018

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**OMB** Number:

3235-0287

Expires:

January 31, 2005

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response...

if no longer subject to Section 16. Form 4 or Form 5

Check this box

**SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* Pope Lawrence J

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to Issuer

Symbol

HALLIBURTON CO [HAL]

(Check all applicable)

(Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year)

Director 10% Owner Other (specify X\_ Officer (give title

HALLIBURTON COMPANY, 3000 N. SAM HOUSTON PARKWAY E.

(Street)

12/04/2018

below) **EVP Administration & CHRO** 

6. Individual or Joint/Group Filing(Check

4. If Amendment, Date Original Filed(Month/Day/Year)

Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

HOUSTON, TX 77032

(City)	(State)	(Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned									
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securit or(A) or Dis (Instr. 3,	sposed	of (D)	5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
			Code V	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)	(Instr. 4)			
Common Stock	12/04/2018		F	1,968 (1)	D	\$ 31.43	180,359.908	D			
Common Stock	12/05/2018		F	2,015 (1)	D	\$ 32.66	178,868.124 (2)	D			
Common Stock	12/05/2018		A	29,800 (3)	A	\$ 31.44 (4)	208,668.124	D			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

### Edgar Filing: Pope Lawrence J - Form 4

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	Code		orDer Sec Acc or I (D) (Ins	Number crivative curities equired ( Dispose ) astr. 3, 4 d 5)	e s (A) sed of	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
			Code	V		(A)	(D)	Date Exercisable	Expiration Date	Title	Amour or Numbe of Shar
Option to Buy Common Stock	\$ 31.44	12/05/2018	A		51	1,100		12/05/2018(5)	12/05/2028	Common Stock	51,10
Option to Buy Common Stock	\$ 43.38							12/06/2017	12/06/2027	Common Stock	34,30
Option to Buy Common Stock	\$ 53.54							12/07/2016	12/07/2026	Common Stock	30,50
Option to Buy Common Stock	\$ 38.95							12/02/2015	12/02/2025	Common Stock	44,50
Option to Buy Common Stock	\$ 40.75							12/03/2014	12/03/2024	Common Stock	47,40
Option to Buy Common Stock	\$ 50.62							12/04/2013	12/04/2023	Common Stock	29,40
Option to Buy Common Stock	\$ 33.5							12/05/2012	12/05/2022	Common Stock	38,50
Option to Buy Common	\$ 35.57							12/06/2011	12/06/2021	Common Stock	28,30

Stock

Option to

Buy \$ 39.19 12/01/2010 12/01/2020 Common Stock 23,00

Stock

Option to

Stock

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Pope Lawrence J HALLIBURTON COMPANY 3000 N. SAM HOUSTON PARKWAY E. HOUSTON, TX 77032

**EVP Administration & CHRO** 

### **Signatures**

/s/ Bruce Metzinger, by Power of Attorney 12/07/2018

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Shares transferred to Halliburton Company for payment for federal tax withholding obligations on lapse of restrictions on shares issued
  (1) under the Stock and Incentive Plan. Said Plan permits Reporting Person to satisfy withholding tax obligation by transferring unrestricted shares to the Issuer.
- (2) Includes 523.216 shares of stock purchased through the Halliburton Company Employee Stock Purchase Plan for the period ended March 31, 2018, June 30, 2018, and September 30, 2018.
- (3) Shares awarded pursuant to the Halliburton Company Stock and Incentive Plan. Said Plan provides for the surrender of common stock to the Issuer to satisfy withholding tax obligations.
- (4) December 5, 2018 was declared a Federal Holiday. On December 4, 2018, the closing price of Halliburton Company's Common Stock on the New York Stock Exchange was \$31.44.
- (5) The options awarded become exercisable on each of the first, second and third anniversaries of the grant in cumulative increments of one-third each of the number of shares subject to the grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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