

LESAR DAVID J  
Form 4  
December 12, 2018

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
*See Instruction*  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person \*  
**LESAR DAVID J**

(Last) (First) (Middle)

**3000 N. SAM HOUSTON  
PARKWAY E.**

(Street)

**HOUSTON, TX 77032**

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading  
Symbol  
**HALLIBURTON CO [HAL]**

3. Date of Earliest Transaction  
(Month/Day/Year)  
**12/10/2018**

4. If Amendment, Date Original  
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner  
☒ Officer (give title below) ☐ Other (specify below)

Exec Chairman of the Board

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
☒ Form filed by One Reporting Person  
☐ Form filed by More than One Reporting  
Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3. Transaction<br>Code<br>(Instr. 8) | 4. Securities Acquired<br>(A) or Disposed of (D)<br>(Instr. 3, 4 and 5) | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|---------------------------------------|-----------------------------------------|-------------------------------------------------------------|--------------------------------------|-------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------|-------------------------------------------------------------------|
| Common<br>Stock                       | 12/10/2018                              |                                                             | F                                    | (A)<br>or<br>(D)<br>Amount<br>7,333<br>(1)<br>Price<br>\$<br>29.79      | 443,894.663                                                                                                        | D                                                                    |                                                                   |
| Common<br>Stock                       | 12/11/2018                              |                                                             | F                                    | (A)<br>or<br>(D)<br>Amount<br>5,447<br>(1)<br>Price<br>\$<br>29.68      | 438,447.663                                                                                                        | D                                                                    |                                                                   |
| Common<br>Stock                       |                                         |                                                             |                                      |                                                                         | 156,754.29                                                                                                         | I                                                                    | By Spouse                                                         |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of  
information contained in this form are not  
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SEC 1474  
(9-02)

number.

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
*(e.g., puts, calls, warrants, options, convertible securities)*

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transaction<br>Code<br>(Instr. 8) | 5.<br>Number<br>of<br>Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed<br>of (D)<br>(Instr. 3,<br>4, and 5) | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |                    | 7. Title and Amount of<br>Underlying Securities<br>(Instr. 3 and 4) |                                  |
|-----------------------------------------------------|-----------------------------------------------------------------------|-----------------------------------------|-------------------------------------------------------------|-----------------------------------------|-----------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------|--------------------|---------------------------------------------------------------------|----------------------------------|
|                                                     |                                                                       |                                         |                                                             | Code                                    | V (A) (D)                                                                                                             | Date<br>Exercisable                                            | Expiration<br>Date | Title                                                               | Amount or<br>Number of<br>Shares |
| Option to<br>Buy<br>Common<br>Stock                 | \$ 43.38                                                              |                                         |                                                             |                                         |                                                                                                                       | 12/06/2017                                                     | 12/06/2027         | Common<br>Stock                                                     | 77,899                           |
| Option to<br>Buy<br>Common<br>Stock                 | \$ 53.54                                                              |                                         |                                                             |                                         |                                                                                                                       | 12/07/2016                                                     | 12/07/2026         | Common<br>Stock                                                     | 114,900                          |
| Option to<br>Buy<br>Common<br>Stock                 | \$ 38.95                                                              |                                         |                                                             |                                         |                                                                                                                       | 12/02/2015                                                     | 12/02/2025         | Common<br>Stock                                                     | 176,900                          |
| Option to<br>Buy<br>Common<br>Stock                 | \$ 40.75                                                              |                                         |                                                             |                                         |                                                                                                                       | 12/03/2014                                                     | 12/03/2024         | Common<br>Stock                                                     | 178,100                          |
| Option to<br>Buy<br>Common<br>Stock                 | \$ 50.62                                                              |                                         |                                                             |                                         |                                                                                                                       | 12/04/2013                                                     | 12/04/2023         | Common<br>Stock                                                     | 137,900                          |
| Option to<br>Buy<br>Common<br>Stock                 | \$ 33.5                                                               |                                         |                                                             |                                         |                                                                                                                       | 12/05/2012                                                     | 12/05/2022         | Common<br>Stock                                                     | 208,900                          |
| Option to<br>Buy<br>Common<br>Stock                 | \$ 35.57                                                              |                                         |                                                             |                                         |                                                                                                                       | 12/06/2011                                                     | 12/06/2021         | Common<br>Stock                                                     | 141,900                          |
| Option to<br>Buy                                    | \$ 39.19                                                              |                                         |                                                             |                                         |                                                                                                                       | 12/01/2010                                                     | 12/01/2020         | Common<br>Stock                                                     | 108,000                          |

Common  
Stock

06/2017

Restricted  
Stock\$ 0 <sup>(2)</sup>(3)(3)Common  
Stock

326,229

Units

## Reporting Owners

| Reporting Owner Name / Address                                       | Relationships |           |                                  |       |
|----------------------------------------------------------------------|---------------|-----------|----------------------------------|-------|
|                                                                      | Director      | 10% Owner | Officer                          | Other |
| LESAR DAVID J<br>3000 N. SAM HOUSTON PARKWAY E.<br>HOUSTON, TX 77032 | X             |           | Exec<br>Chairman of<br>the Board |       |

## Signatures

/s/ Bruce A. Metzinger, by Power of  
Attorney

12/12/2018

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- Shares transferred to Halliburton Company for payment for Federal tax withholding obligations on lapse of restrictions on shares issued
- (1) under the Stock and Incentive Plan. Said Plan permits Reporting Person to satisfy withholding tax obligation by transferring unrestricted shares to the Issuer.
- (2) Each Restricted Stock Unit represents the right to receive one share of common stock.
- (3) One-half of the Restricted Stock Units vest and distribute as common stock effective December 31, 2018. The other one-half will be valued on December 31, 2018 and distribute as cash in three equal annual installments beginning December 31, 2019.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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