

Edgar Filing: AMERICAN EXPRESS CO - Form 13F-HR

AMERICAN EXPRESS CO
Form 13F-HR
August 02, 2006

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

FORM 13(F) COVER PAGE

Report for the Calendar Year or Quarter Ended: June 30, 2006

Check here if Amendment ☐; Amendment Number:
This Amendment (Check only one.): ☐ is a restatement.
☐ adds new holdings entries.

Institutional Investment Manager Filing this Report:

Name: American Express Company
Address: 200 Vesey Street
New York, New York 10285

Form 13F File Number: 28-698

The institutional investment manager filing this report and the person by whom it is signed hereby represent that the person signing the report is authorized to submit it, that all information contained herein is true, correct and complete, and that it is understood that all required items, statements, schedules, lists, and tables, are considered integral parts of this form.

Person Signing this Report on Behalf of Reporting Manager:

Name: Stephen P. Norman
Title: Corporate Secretary
Phone: 212-640-2000

Signature, Place, and Date of Signing:

/s/ Stephen P. Norman

Stephen P. Norman
[Signature]

New York, New York
[City, State]

August 2, 2006
[Date]

Report Type (Check only one.):

☒ 13F HOLDINGS REPORT.
☐ 13F NOTICE.
☐ 13F COMBINATION REPORT.

FORM 13F SUMMARY PAGE

Report Summary:

Number of Other Included Managers: 0
Form 13F Information Table Entry Total: 1
Form 13F Information Table Value Total: \$390,416

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List of Other Included Managers:

None.

Through and including the quarter ended September 30, 2005, American Express Company's (the "Company") holdings of "Section 13(f) securities" were included in the Form 13F filed by American Express Financial Corporaton, formerly a wholly-owned subsidiary of the Company ("AEFC"), and the Company filed a Form 13F-NT with the Commission on a quarterly basis to report that its Section 13(f) securities were included in AEFC's Form 13F reports. On September 30, 2005, the Company completed the spin-off of 100% of the common stock of AEFC (now known as Ameriprise Financial, Inc.) to the Company's shareholders, the consequence of which is that AEFC is no longer a subsidiary of the Company. The Company is filing this Form 13F pursuant to the requirements of the Securities and Exchange Commission notwithstanding that the market value of Section 13(f) securities over which it exercises investment discretion is less than \$100 million.

FORM 13F INFORMATION TABLE

NAME OF ISSUER	TITLE OF CLASS	CUSIP	VALUE (x1000)	SHARES OR PRN AMT	SH/ PRN	PUT/ CALL	INVSTMT DSCRETN	OTH MANA
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INTERSECTIONS INC.	COM	460981301	390	35,983	SH		SOLE	