

AMERICAN EXPRESS CO
Form 8-K
March 28, 2018

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

FORM 8-K

CURRENT REPORT

Pursuant to Section 13 or 15(d) of the Securities Exchange Act of 1934

Date of Report (Date of earliest event reported): March 28, 2018

AMERICAN EXPRESS COMPANY

(Exact name of registrant as specified in its charter)

| | | |
|---|---------------------------------------|--|
| New York (State or other jurisdiction of incorporation or organization) | 1-7657 (Commission File Number) | 13-4922250 (IRS Employer Identification No.) |
|---|---------------------------------------|--|

200 Vesey Street
New York, New York 10285
(Address of principal executive offices) (Zip Code)

Registrant's telephone number, including area code: (212) 640-2000

Not Applicable
(Former name or former address, if changed since last report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions (see General Instruction A.2. below):

Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

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Indicate by check mark whether the registrant is an emerging growth company as defined in Rule 405 of the Securities Act of 1933 (§230.405 of this chapter) or Rule 12b-2 of the Securities Exchange Act of 1934 (§240.12b-2 of this chapter). Emerging growth company

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act.

Item 5.02 Departure of Directors or Certain Officers; Election of Directors; Appointment of Certain Officers; Compensatory Arrangements of Certain Officers

(b)(c) On March 28, 2018, American Express Company (the "Company") announced the appointment of Richard Petrino, age 50, as Executive Vice President, Corporate Controller and Principal Accounting Officer of the Company, effective immediately. Mr. Petrino has served as Senior Vice President, Global Head of Operational Risk and Oversight since May 2016 and prior thereto was Senior Vice President, Head of Global Capital Markets and Basel Program from 2014 to 2016 and Senior Vice President, Corporate Planning & Investor Relations from 2007 to 2014. Prior to joining the Company in 1996, Mr. Petrino worked for CS First Boston and KPMG, where he was a Certified Public Accountant. Mr. Petrino will assume the position of Principal Accounting Officer from David Fabricant, who has been the Acting Corporate Controller and Principal Accounting Officer. Mr. Fabricant will resume his role as Senior Vice President, Controllershship.

A copy of the press release announcing the appointment of Mr. Petrino is attached as Exhibit 99.1 to this Current Report on Form 8-K.

Exhibit Description

99.1 American Express Company Press Release, dated March 28, 2018.

SIGNATURE

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

AMERICAN EXPRESS COMPANY
(REGISTRANT)

By: /s/ Tangela Richter
Name: Tangela Richter
Title: Corporate Secretary

Date: March 28, 2018

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