Edgar Filing: KANSAS CITY SOUTHERN - Form 4

KANSAS CITY S Form 4 January 22, 2015	OUTHERN								
FORM 4								PPROVAL	
	UNITED ST		RITIES Al shington,			COMMISSION	OMB Number:	3235-0287	
Check this box if no longer							Expires:	January 31, 2005	
subject to Section 16.	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES							Estimated average burden hours per	
Form 5	rm 4 or Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,							0.5	
obligations may continue. <i>See</i> Instruction 1(b).	-		tility Hold	ing Com	pany Act c	of 1935 or Sectio	n		
(Print or Type Respon	ses)								
DRUTEN ROBERT J Syn			2. Issuer Name and Ticker or Trading Symbol			5. Relationship of Reporting Person(s) to Issuer			
			AS CITY S	SOUTHE	RN [KSU]	(Check all applicable)			
(Last) (H	First) (Mid		f Earliest Tra	ansaction				·	
KANSAS CITY S BOX 219335	(Month/E , PO 01/21/2	-			X_ Director 10% Owner Officer (give title Other (specify below) below)				
(S	(Street) 4. If Amendment, Date Original Filed(Month/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person				
KANSAS CITY,	MO 64121-93	335					More than One Re		
(City) (S	State) (Z	Tabl	e I - Non-De	erivative S	ecurities Ac	quired, Disposed o	f, or Beneficial	lly Owned	
	-	2A. Deemed Execution Date, if any (Month/Day/Year)	Code (Instr. 8)		(A) or of (D) 4 and 5) (A) or	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock				Amount	(D) Price	22,290 <u>(1)</u> <u>(2)</u>	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. De Se (Iı
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Dividend Equivalent Right	<u>(3)</u>	01/21/2015		А	4.8135	(3)	(3)	Common Stock	4.8135	\$

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Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
DRUTEN ROBERT J KANSAS CITY SOUTHERN PO BOX 219335 KANSAS CITY, MO 64121-9335	Х						
Signatures							
Adam J. Godderz, Attorney-in-fact	01/22/2015						
**Signature of Reporting Person	I	Date					

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

634 of these shares represent Deferred Stock that was issued to the reporting person pursuant to the Kansas City Southern

(1) Non-Management Director Deferred Stock Award Program in lieu of retainer fees of \$67,000 in 2013. The reporting person has no voting rights and is entitled to receive Dividend Equivalents with respect to this Deferred Stock.

1,253 of these shares represent Deferred Stock that was issued to the reporting person pursuant to the Kansas City Southern

- (2) Non-Management Director Deferred Stock Award Program in lieu of retainer fees of \$125,000 in 2014. The reporting person has no voting rights and is entitled to receive Dividend Equivalents with respect to this Deferred Stock.
- (3) These dividend equivalent rights accrued on the reporting person's Deferred Stock and will be paid at the same time as the Deferred Stock to which they relate. Each dividend equivalent right is the economic equivalent of one share of Kansas City Southern common stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.