

Edgar Filing: ENTERGY CORP /DE/ - Form U-9C-3

ENTERGY CORP /DE/
Form U-9C-3
November 29, 2001

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

FORM U-9C-3

QUARTERLY REPORT PURSUANT TO RULE 58

For the Quarterly Period Ended September 30, 2001

ENTERGY CORPORATION
(a Delaware corporation)
639 Loyola Avenue
New Orleans, Louisiana 70113
Telephone (504) 576-4000

(Name of registered holding company and address of principal executive offices)

ITEM 1 - ORGANIZATION CHART

Name of reporting company	Energy or gas related company	Date of organization	State of organization	Percentage of voting securities held	Nature of bus
Entergy Holdings, Inc. (EHI)	Energy related business	November 3, 1997	Delaware	a 100% owned subsidiary of Entergy Corporation	Holding compan investing in miscellaneous related compan
Entergy Thermal, LLC (Entergy Thermal)	Energy related business	January 28, 1999	Delaware	a 100% owned subsidiary of EHI	Production, sa and distributi thermal energy products.
Entergy Business Solutions,	Energy related business	January 28, 1999	Delaware	a 100% owned subsidiary of	Energy managem services.

Edgar Filing: ENTERGY CORP /DE/ - Form U-9C-3

LLC (EBS)		EHI			
Entergy Power Holdings USA Corporation (EPH)	Energy related business	February 25, 1999	Delaware	a 100% owned subsidiary of Entergy Corporation	Holding company investing in qualifying facilities.
Entergy Power RS Corporation (EPRS)	Energy related business	February 25, 1999	Delaware	a 100% owned subsidiary of EPH	Development and ownership of qualifying facilities.
Entergy Power Gas Holdings Corporation (EPGHC)	Energy related business	June 15, 2000	Delaware	a 100% owned subsidiary of Entergy Corporation	Holding company investing in energy commodity brokering and related services.
Entergy Power Gas Operations Corporation (EPGOC)	Energy related business	June 20, 2000	Delaware	a 80% owned subsidiary of EPGHC	Holding company investing in energy commodity brokering and related services.
Highland Energy Company (Highland)	Energy related business	September 24, 1987	Texas	a 93.75% owned subsidiary of EPGOC	Energy commodity brokering and related services.

ITEM 1 - ORGANIZATION CHART (cont'd)

Name of reporting company	Energy or gas related company	Date of organization	State of organization	Percentage of voting securities held	Nature of business
TLG Services, Inc. (TLG)	Energy related business	December 30, 1986	Connecticut	a 100% owned subsidiary of Entergy Nuclear, Inc.	Provide field services for the decommissioning of fossil and nuclear plants
Entergy Solutions LTD (ESLTD)	Energy related business	August 30, 2000	Texas	a 99% limited partner interest held by Entergy Retail Holding Company and a 1% general partner interest held by Entergy Retail Texas, Inc.	Provide competitive energy services and electric sales
Entergy-Koch Trading, LP	Energy related business	January 25, 2001	Delaware	a 49.5% limited partner	Energy marketing brokering.

Edgar Filing: ENTERGY CORP /DE/ - Form U-9C-3

(EKTLP)

interest and a 0.5% general partner interest, in each case indirectly held by Entergy Power International Holding Corp.

EWO GP LLC (EWO)	Energy related business	October 30, 2000	Delaware	a 100% owned subsidiary of EWO Marketing Holding, LLC	Holding company investing in energy commodity broking and related services.
---------------------	-------------------------	------------------	----------	---	---

ITEM 1 - ORGANIZATION CHART (cont'd)

Name of reporting company	Energy or gas related company	Date of organization	State of organization	Percentage of voting securities held	Nature of business
EWO Marketing, L.P. (EWOM)	Energy related business	October 30, 2000	Delaware	a 99% limited partner interest held by EWO Marketing Holding, LLC and a 1% general partner interest held by EWO GP LLC	Energy marketing brokering.
Entergy Solutions Select Ltd. (ESSL)	Energy related business	March 5, 2001	Texas	a 99% limited partner interest held by Entergy PTB Holding Company and a 1% general partner interest held by Entergy Select LLC	Price-to-Beat electric provider
Entergy Solutions Essentials Ltd. (ESEL)	Energy related business	June 5, 2001	Texas	a 99% limited partner interest held by Entergy PTB Holding Company and a 1% general partner interest held by Entergy Select LLC	Provider of La Resort retail electric provider

Edgar Filing: ENTERGY CORP /DE/ - Form U-9C-3

Entergy Thermal-UNO, LLC (Entergy Thermal-UNO) (new)	Energy related business	July 16, 2001	Delaware	a 100% owned subsidiary of Entergy Corporation	Production, sale and distribution of thermal energy products.
--	-------------------------	---------------	----------	--	---

EHI Activities

During the quarterly period ended September 30, 2001, EHI acted as a holding company engaged in investment in energy related companies consistent with Rule 58.

Entergy Thermal Activities

During the quarterly period ended September 30, 2001, Entergy Thermal operated a district cooling and heating system in New Orleans, Louisiana.

EBS Activities

During the quarterly period ended September 30, 2001, EBS was inactive, but in the future intends to engage in energy management services.

EPH Activities

During the quarterly period ended September 30, 2001, EPH acted as a holding company with respect to Entergy's investments in "qualifying facilities" (QFs) under the Public Utility Regulatory Policies Act of 1978 (PURPA).

EPRS Activities

During the quarterly period ended September 30, 2001, EPRS engaged in the development, acquisition and ownership of a 50% interest in RS Cogen LLC. RS Cogen LLC is developing, and will own and operate, a 500 MW cogeneration facility in Louisiana which is a QF under PURPA.

EPGHC Activities

During the quarterly period ended September 30, 2001, EPGH acted as a holding company for investing in energy commodity brokering and related services.

EPGOC Activities

During the quarterly period ended September 30, 2001, EPGOC acted as a holding company for investing in energy commodity brokering and related services.

Highland Activities

During the quarterly period ended September 30, 2001, Highland engaged in the business of energy commodity brokering and related services.

TLG Activities

During the quarterly period ended September 30, 2001, TLG provided field services in decontamination, decommissioning, radiological health and safety, industrial safety, radiological and hazardous/toxic waste management and disposal. Revenues during the quarterly period ended September 30, 2001 were immaterial.

ESLTD Activities

Edgar Filing: ENTERGY CORP /DE/ - Form U-9C-3

During the quarterly period ended September 30, 2001, ESLTD was in its formative stage and in the future intends to engage in providing competitive energy service and electric sales.

EKTLP Activities

EKTLP was formed effective January 31, 2001 through a merger with EPMC. During the quarterly period ended September 30, 2001, EKTLP engaged in the business of brokering and marketing of energy commodities, including but not limited to electricity, natural or manufactured gas, and other combustible fuels. These services were provided to electric utilities, power marketers, electric and municipal cooperatives, and various other customers.

EWO Activities

During the quarterly period ended September 30, 2001, EWO acted as a holding company for investing in energy commodity brokering and related services.

EWOM Activities

During the quarterly period ended September 30, 2001, EWOM engaged in the business of managing the electric generation assets of affiliates and third party customers as well as fulfilling the electric energy needs of third party customers under long term contracts. The third party customers are principally electric and municipal cooperatives.

ESSL Activities

During the quarterly period ended September 30, 2001, ESSL was in its formative stage and in the future intends to engage in providing price-to-beat energy service and electric sales.

ESEL Activities

During the quarterly period ended September 30, 2001, ESEL was in its formative stage and in the future intends to engage in providing energy service and electric sales as the provider of last resort.

Entergy Thermal-UNO Activities

During the quarterly period ended September 30, 2001, Entergy Thermal-UNO was in its formative state and in the future intends to engage in the production, sale, and distribution of thermal energy products at the University of New Orleans.

ITEM 2 - ISSUANCES AND RENEWALS OF SECURITIES AND CAPITAL CONTRIBUTIONS

Company issuing security	Type of security issued	Principal amount of security	Issue or renewal	Cost of capital	Person to whom security was issued	Collateral given with security	Consideration received for each security
Entergy Thermal-UNO, LLC	Member Interest	N/A	Issue	N/A	Entergy Corporation	N/A	\$1,000

Company contributing capital	Company receiving capital	Amount of capital contribution
------------------------------	---------------------------	--------------------------------

Edgar Filing: ENTERGY CORP /DE/ - Form U-9C-3

Entergy Power Holdings USA Corporation	Entergy Power RS Corporation	\$1,169,428
Entergy Nuclear, Inc.	TLG Services, Inc.	\$ 78,299
Entergy Retail Holding Company	Entergy Solutions LTD	\$2,475,000
Entergy Retail Texas, Inc.	Entergy Solutions LTD	\$ 45,000
Entergy PTB Holding Company	Entergy Solutions Select Ltd.	\$ 990,000
Entergy Select LLC	Entergy Solutions Select Ltd.	\$ 10,000

ITEM 3 - ASSOCIATE TRANSACTIONS

Part I - Transactions Performed by Reporting Companies on Behalf of Associated Companies

Reporting company rendering services	Associate company receiving services	Types of services rendered	Direct costs charged	Indirect costs charged	Cost of capital	Total amount billed
EHI	EBS	Professional services and back office support	\$30,672	-0-	N/A	\$30,672
EHI	Entergy Thermal	Same as above	\$48,234	-0-	N/A	\$48,234

Part II - Transactions Performed by Associate Companies on Behalf of Reporting Companies

Associate company rendering services	Reporting company receiving services	Types of services rendered	Direct costs charged	Indirect costs charged	Cost of capital	Total amount billed
EEI	EHI	Professional services and back office support	\$ 7,320	\$-0-	N/A	\$ 7,320
EEI	Entergy Thermal	Same as above	\$ 256,337	\$-0-	N/A	\$ 256,337
EEI	EBS	Same as above	\$ 16,172	\$-0-	N/A	\$ 16,172
EEI	EPRS	Same as above	\$1,028,033	\$-0-	N/A	\$1,028,033

Edgar Filing: ENTERGY CORP /DE/ - Form U-9C-3

EEI	EPGOC	Same as above	\$ 335,511	\$-0-	N/A	\$ 335,511
EEI	ESLTD	Same as above	\$1,245,824	\$-0-	N/A	\$1,245,824
EEI	EWOM	Same as above	\$ 921,239	\$-0-	N/A	\$ 921,239
EEI	TLG	Same as above	\$ 50,622	\$-0-	N/A	\$ 50,622

Part II - Transactions Performed by Associate Companies on Behalf of Reporting Companies - Continued

Associate company rendering services	Reporting company receiving services	Types of services rendered	Direct costs charged	Indirect costs charged	Cost of capital	Total amount billed
Entergy Solutions Management Services LLC	ESLTD	Same as above	\$ 669,242	\$-0-	N/A	\$ 669,242
Entergy Solutions Management Services LLC	ESSL	Same as above.	\$1,042,099	\$-0-	N/A	\$1,042,099
Entergy Solutions Supply LTD.	ESLTD	Same as above	\$ 378,461	\$-0-	N/A	\$ 378,461
Entergy Solutions Supply LTD.	ESSL	Same as above	\$1,395,570	\$-0-	N/A	\$1,395,570
Entergy Nuclear Operations, Inc.	TLG	Same as above	\$ 790,231	\$-0-	N/A	\$ 790,231

ITEM 4 - SUMMARY OF AGGREGATE INVESTMENT

Investments in energy-related companies:

Total consolidated capitalization as of September 30, 2001	\$15,320,371,000	Line 1
Total capitalization multiplied by 15% (line 1 multiplied by 0.15)	2,298,055,650	Line 2
Greater of \$50 million or line 2	2,298,055,650	Line 3

Edgar Filing: ENTERGY CORP /DE/ - Form U-9C-3

Total current aggregate investment:			
Energy marketing and brokering (EPGHC, EPGOC, Highland, ESLTD, EKTLP, EWO, EWOM, ESSL, and ESEL)		459,045,565	
Energy related technical and similar services (EHI, Entergy Thermal, EBS, TLG and Entergy Thermal-UNO)		65,358,121	
Development and ownership of QFs (EPH and EPRS)		1,171,428	

Total current aggregate investment*		525,575,114	Line 4

Difference between the greater of \$50 million or 15% of capitalization and the total aggregate investment of the registered holding company system (line 3 less line 4)		\$1,772,480,536	Line 5
		=====	

ITEM 5 - OTHER INVESTMENTS

Major line of energy-related business	Other investment in last U-9C-3 report	Other investment in this U-9C-3 report	Reason for difference in other investment
N/A	-0-	-0-	N/A

ITEM 6 - FINANCIAL STATEMENTS AND EXHIBITS

A. Financial Statements

Filed under confidential treatment pursuant to Rule 104(b).

B. Exhibits

1. Certificate of filing of Form U-9C-3 for the 2nd Quarter of 2001 with interested state commissions and municipal regulator.

SIGNATURE

Pursuant to the requirements of the Public Utility Holding Company Act of 1935, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

Entergy Corporation

By: /s/ Nathan E. Langston
 Nathan E. Langston
 Senior Vice President and Chief
 Accounting Officer

Dated: November 28, 2001