### Edgar Filing: RAYMOND JAMES FINANCIAL INC - Form 4

**RAYMOND JAMES FINANCIAL INC** Form 4 June 04, 2007 OMB APPROVAL FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB 3235-0287 Washington, D.C. 20549 Number: Check this box January 31, Expires: if no longer 2005 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Estimated average **SECURITIES** Section 16. burden hours per Form 4 or response... 0.5 Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading **TROCIN JEFFREY E** Issuer Symbol **RAYMOND JAMES FINANCIAL** (Check all applicable) INC [RJF] (Last) (First) (Middle) 3. Date of Earliest Transaction Director 10% Owner X\_\_Officer (give title Other (specify (Month/Day/Year) below) below) 880 CARILLON PARKWAY 06/04/2007 Executive Vice President - RJF (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting ST. PETERSBURG, FL 33716 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired 5. Amount of 6. 7. Nature of Security (Month/Day/Year) Execution Date, if Transaction(A) or Disposed of (D) Securities Ownership Indirect (Instr. 3) any Code (Instr. 3, 4 and 5) Beneficially Form: Direct Beneficial (Month/Day/Year) Owned Ownership (Instr. 8) (D) or Following Indirect (I) (Instr. 4) Reported (Instr. 4) (A) Transaction(s) or (Instr. 3 and 4) Code V Amount (D) Price Common 06/01/2007 G V 150 D \$0 138,306 D Stock Common 172 <u>(1)</u> A 06/04/2007 Ρ 138,478 D 28.52 Stock Common Ι 12,343 (2) ESOP Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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#### number.

### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	Expiration Dat (Month/Day/Y e			Securities	8. F Der Sec (Ins
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Employee Stock Option (right to buy)	\$ 16.8					12/04/2006	02/04/2009	Common Stock	18,000 <u>(3)</u>	
Employee Stock Option (right to buy)	\$ 24.9733					12/01/2008	02/01/2011	Common Stock	15,000 (4)	

# **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
TROCIN JEFFREY E 880 CARILLON PARKWAY ST. PETERSBURG, FL 33716			Executive Vice President - RJF				
<b>O</b> !							

## Signatures

Jeffrey E. 06/04/2007 Trocin <u>\*\*</u>Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Acquired thru the Employee Stock Purchase Plan

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(2) Includes number of shares acquired under ESOP through 06/01/2007

(3) Options Currnetly Exercisable - 8,092- Options Becoming Exercisable as follows: 5,952 on 1/04/2008 and 3,956 on 1/04/2009

(4) Options Becoming Exercisable as follows: 6,150 on 12/01/2008, 1,342 on 2/01/2009, 3,999 on 2/01/2010 and 3,509 on 2/01/2011

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.