Edgar Filing: RAYMOND JAMES FINANCIAL INC - Form 5

RAYMOND JAMES FINANCIAL INC Form 5 November 13, 2008

November 1	3, 2008								
FORM	15						OMB A	PPROVAL	
UNITED STATES SECURITIES AND EXCHANGE COMMISSION								3235-0362	
Check this box if no longer subject			Washington, D.C. 20549				Expires:	January 31 2005	
to Section Form 4 or 5 obligation may conti	Form ANN ons nue.	UAL ST	ATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES				Estimated average burden hours per response		
See Instru 1(b).	Filed pur	suant to	Section 10	5(a) of the S	Securities Exchang	ge Act of 1934.			
Form 3 H	·				g Company Act o		n		
Reported Form 4 Transactic Reported					ompany Act of 19				
1. Name and Address of Reporting Person <u>*</u> HABERMEYER H WILLIAM JR			2. Issuer Name and Ticker or Trading Symbol RAYMOND JAMES FINANCIAL INC [RJF]			5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
880 CARIL	LON PARKWA	Y							
(Street)			4. If Amendment, Date Original Filed(Month/Day/Year)			6. Individual or Joint/Group Reporting			
						(chec	k applicable line)	
ST. PETER	SBURG, FLÂ	33716				_X_ Form Filed by			
						Form Filed by I Person	More than One R	eporting	
(City)	(State)	(Zip)	Table	e I - Non-Deri	vative Securities Ac	quired, Disposed of	f, or Beneficial	lly Owned	
1.Title of Security (Instr. 3)	2. Transaction Dat (Month/Day/Year)	Executi any		3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned at end	6. Ownership Form: Direct (D) or Indirect (I)		

 Common
Stock
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 Reminder: Report on a separate line for each class of
 Persons who represent the second se

securities beneficially owned directly or indirectly.

Persons who respond to the collection of informationSEC 2270contained in this form are not required to respond unless(9-02)the form displays a currently valid OMB control number.(9-02)

3,550

of Issuer's

Fiscal Year

(Instr. 3 and 4)

(A)

or

Amount (D) Price

Â

Â

(Instr. 4)

D

(Instr. 4)

Â

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	Number		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
					(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (right to buy)	\$ 17.0666	Â	Â	Â	Â	Â	02/12/2007	02/13/2009	Common Stock	3,375
Employee Stock Option (right to buy)	\$ 20.3333	Â	Â	Â	Â	Â	02/18/2008	02/18/2010	Common Stock	2,250
Employee Stock Option (right to buy)	\$ 30.1333	Â	Â	Â	Â	Â	02/17/2009	02/17/2011	Common Stock	2,250
Employee Stock Option (right to buy)	\$ 31.82	Â	Â	Â	Â	Â	02/16/2010	02/16/2012	Common Stock	2,500
Employee Stock Option (right to buy)	\$ 25.87	Â	Â	Â	Â	Â	02/15/2011	02/15/2013	Common Stock	2,500

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Reporting Owners

Reporting Owner Name / Address	Relationships					
Reporting O when Planter Plantess	Director	10% Owner	Officer	Other		
HABERMEYER H WILLIAM JR 880 CARILLON PARKWAY ST. PETERSBURG, FL 33716	ÂX	Â	Â	Â		

Signatures

H. William Habermeyer, Jr.

**Signature of Reporting Person 11/14/2008

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.