

WALKER STEVEN R

Form 4

October 26, 2018

FORM 4**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person *
WALKER STEVEN R

2. Issuer Name **and** Ticker or Trading
Symbol
OLD REPUBLIC
INTERNATIONAL CORP [ORI]

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

(Last) (First) (Middle)
307 NORTH MICHIGAN
AVENUE, STE 2300

3. Date of Earliest Transaction
(Month/Day/Year)
10/12/2018

☒ Director ☐ 10% Owner
☐ Officer (give title below) ☐ Other (specify below)

(Street)

4. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

CHICAGO, IL 60601

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D) Price			
Common Stock	10/12/2018		<u>P</u> ⁽¹⁾		1,000	A \$ 20.21	23,000	I	By Spouse
Common Stock	10/12/2018		<u>S</u> ⁽¹⁾		1,000	D \$ 20.13	22,000	I	By Spouse
Common Stock	10/12/2018		<u>J</u> ⁽²⁾		0	A \$ 0	3,500	I	By IRA
Common Stock	10/12/2018		<u>J</u> ⁽³⁾		0	A \$ 0	22,500	I	By SEP/IRA
Common Stock	10/12/2018		<u>J</u> ⁽⁴⁾		0	A \$ 0	12,000	D	

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Beneficially Owned Following Reported Transaction (Instr. 6)
				Code	V	(A)	(D)		Amount or Number of Shares

Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

WALKER STEVEN R
307 NORTH MICHIGAN AVENUE, STE 2300 X
CHICAGO, IL 60601

Signatures

William J. Dasso, Power of Attorney for Steven R.
Walker

10/25/2018

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) On October 12, 2018, Reporting Person placed a purchase order for shares of Issuer's common stock with his financial advisor and then cancelled that order in a second conversation that immediately followed. From that conversation, Reporting Person understood that the purchase order was being cancelled, but the order was instead filled and an offsetting sale order was placed that same day. The financial advisor did not inform Reporting Person that the initial purchase order had not been cancelled and that two offsetting transactions had occurred. Reporting Person became aware of the reportable transactions only upon receipt of brokerage confirmations. As a result, the filing of this Statement of Changes in Beneficial Ownership was delayed.

(2) No transactions to report related to this indirect ownership of 3,500 shares.

(3) No transactions to report related to this indirect ownership of 22,500 shares.

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(4) No transactions to report related to this direct ownership of 12,000 shares.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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