

SEITEL INC
Form 4
April 09, 2001

FORM 4

___ Check this box if
no longer subject to
Section 16. Form 4 or
Form 5 obligations
may continue. *See*
Instruction 1(b).
(Print or Type
Responses)

UNITED STATES SECURITIES
AND EXCHANGE
COMMISSION

Washington, D.C. 20549

STATEMENT OF CHANGES IN
BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of
the Securities Exchange Act of
1934, Section 17(a) of the Public
Utility Holding Company Act of
1935 or Section 30(f) of the
Investment Company Act of 1940

OMB
APPROVAL

OMB
Number:
3235-0287

Expires:
December 31,
2001

Estimated
average
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0.5

1. Name and Address of Reporting Person*		2. Issuer Name and Ticker or Trading Symbol		6. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
(Last) (First) (Middle) Pearlman, Herbert M.		3. I.R.S. Identification Number of Reporting Person, if an entity (voluntary)		4. Statement for Month/Year March 2001				
(Street) 50 Briar Hollow Lane, 7 th Floor West Houston, Texas 77027		5. If Amendment, Date of Original (Month/Year)		7. Individual or Joint/Group Filing (Check Applicable Line) ☒ Form filed by One Reporting Person ☐ Form filed by More than One Reporting Person				
(City) (State) (Zip)		Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned						
1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned at End of Month	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Ownership Percentage (Instr. 5)
			Amount	(A) or (D)	Price			

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							(D)		(Instr. 3 and 4)			
Common Stock, par value \$.01				3/01/01	X		7,200	A	11.8206			
Common Stock, par value \$.01				3/01/01	S		7,200	D	18.8000			
Common Stock, par value \$.01				3/02/01	X		28,100	A	11.8206			
Common Stock, par value \$.01				3/02/01	S		13,100	D	18.9000			
Common Stock, par value \$.01				3/02/01	S		15,000	D	18.8800			
Common Stock, par value \$.01				3/05/01	S		5,000	D	20.7000			
Common Stock, par value \$.01				3/05/01	S		7,900	D	21.4649			
Common Stock, par value \$.01				3/06/01	S		8,900	D	21.2362			
Common Stock, par value \$.01				3/06/01	S		5,500	D	21.0818			
Common Stock, par value \$.01				3/07/01	S		15,474	D	21.2273			
Common Stock, par value \$.01				3/08/01	S		1,600	D	22.4000			
Common Stock, par value \$.01				3/09/01	S		5,000	D	22.0000			
Common Stock, par value \$.01				3/09/01	S		5,000	D	21.7500			
Common Stock, par value \$.01				3/09/01	S		5,000	D	21.5000			
Common Stock, par value \$.01				3/09/01	S		5,000	D	21.3800			
Common Stock, par value \$.01				3/09/01	S		5,000	D	21.4260			
Common Stock, par value \$.01				3/12/01	S		10,000	D	22.6500			
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned, continued												
Common Stock, par value \$.01				3/12/01	S		5,000	D	22.7500			
Common Stock, par value \$.01				3/12/01	S		5,000	D	22.7200			
Common Stock, par value \$.01				3/13/01	S		400	D	22.8500			
Common Stock, par value \$.01				3/13/01	S		5,000	D	22.9000			
Common Stock, par value \$.01				3/13/01	S		5,000	D	23.0000	433,917		D
										4,554		I
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)												
1. Title of Derivative Security (Instr.3)	2. Conversion or Exercise Month/Day/Year of Derivative Security	3. Transaction Code (Instr. 8)	4. Transaction Number of Derivative Securities Acquired (A)	5. Date Exercisable and Expiration Date (Month/Day/Year)	6. Title and Amount of Underlying Securities (Instr. 3 and 4)	7. Price of Derivative Security (Instr. 5)	8. Number of Derivative Securities Beneficially Owned	9. Form of Derivative Securities (Officially Noted or otherwise)	10. Ownership of Derivative Securities at End of Month	11. of Beneficially Owned (Instr. 3)		

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					or Disposed of (D) (Instr. 3, 4 and 5)							at (Instr. 4) End of Month (Instr. 4)		
			Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Warrants to Buy	1,820	3/01/01	X		7,200		07/10/94	4/11/02	Common Stock	7,200				
Warrants to Buy	1,820	3/02/01	X		28,100		07/10/94	4/11/02	Common Stock	28,100		0	D	
Options to Buy	8,800	3/01/01	A	V	7,200		3/01/01	3/01/06	Common Stock	7,200				
Options to Buy	8,800	3/01/01	G		7,200		3/01/01	3/01/06	Common Stock	7,200		0	D	
Options to Buy	8,800	3/02/01	A	V	15,000		3/02/01	3/02/06	Common Stock	15,000				
Options to Buy	8,800	3/02/01	G		15,000		3/02/01	3/02/06	Common Stock	15,000		0	D	
Options to Buy	8,900	3/02/01	A	V	13,100		3/02/01	3/02/06	Common Stock	13,100			D	
Options to Buy	8,900	3/02/01	G		7,800		3/02/01	3/02/06	Common Stock	7,800	5,300		D	
Explanation of Responses:														

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/s/ Herbert M. Pearlman <u>by Marcia H. Kendrick</u> **Signature of Reporting Person		<u>04/09/01</u> Date
Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.		
*	If the form is filed by more than one reporting person, <i>see</i> Instruction 4(b)(v).	
**	Intentional misstatements or omissions of facts constitute Federal Criminal Violations <i>See</i> 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).	
Note:	File three copies of this Form, one of which must be manually signed. If space is insufficient, <i>see</i> Instruction 6 for procedure	
(Empty row for additional information)		