

Edgar Filing: ELLSWORTH FUND LTD - Form NSAR-B

ELLSWORTH FUND LTD  
Form NSAR-B  
November 29, 2006

PAGE 1  
000 B000000 09/30/2006  
000 C000000 0000793040  
000 D000000 N  
000 E000000 NF  
000 F000000 Y  
000 G000000 N  
000 H000000 N  
000 I000000 6.1  
000 J000000 A  
001 A000000 ELLSWORTH FUND LTD.  
001 B000000 811-04656  
001 C000000 9736311177  
002 A000000 65 MADISON AVENUE, SUITE 550  
002 B000000 MORRISTOWN  
002 C000000 NJ  
002 D010000 07960  
002 D020000 7308  
003 000000 N  
004 000000 N  
005 000000 N  
006 000000 N  
007 A000000 N  
007 B000000 0  
007 C010100 1  
007 C010200 2  
007 C010300 3  
007 C010400 4  
007 C010500 5  
007 C010600 6  
007 C010700 7  
007 C010800 8  
007 C010900 9  
007 C011000 10  
008 A000001 DAVIS-DINSMORE MANAGEMENT COMPANY  
008 B000001 A  
008 C000001 801-08123  
008 D010001 MORRISTOWN  
008 D020001 NJ  
008 D030001 07960  
008 D040001 7308  
010 A000001 DAVIS-DINSMORE MANAGEMENT COMPANY  
010 B000001 801-08123  
010 C010001 MORRISTOWN  
010 C020001 NJ  
010 C030001 07960  
010 C040001 7308  
012 A000001 AMERICAN STOCK TRANSFER & TRUST COMPANY  
012 B000001 84-416  
012 C010001 BROOKLYN  
012 C020001 NY  
012 C030001 11219  
PAGE 2  
013 A000001 TAIT, WELLER & BAKER LLP  
013 B010001 PHILADELPHIA  
013 B020001 PA  
013 B030001 19103  
015 A000001 BROWN BROTHERS HARRIMAN & CO.  
015 B000001 C

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015 C010001 BOSTON  
015 C020001 MA  
015 C030001 02109  
015 C040001 3661  
015 E010001 X  
018 000000 Y  
019 A000000 N  
019 B000000 0  
020 A000001 J. GIORDANO SECURITIES  
020 B000001 06-1569801  
020 C000001 6  
020 A000002 GOLDMAN, SACHS, & CO., INC.  
020 B000002 13-5108880  
020 C000002 3  
020 A000003 CREDIT SUISSE FIRST BOSTON CORP.  
020 B000003 13-5659485  
020 C000003 2  
020 A000004 CITIGROUP GLOBAL MARKETS  
020 B000004 11-2418191  
020 C000004 2  
020 A000005 MERRILL LYNCH, PIERCE, FENNER & SMITH, INC.  
020 B000005 13-5674085  
020 C000005 2  
020 A000006 CIBC WORLD MARKETS CORP.  
020 B000006 13-2798343  
020 C000006 1  
020 A000007 MCMAHAN SECURITIES  
020 B000007 06-1229141  
020 C000007 1  
020 A000008 JP MORGAN SECURITIES, INC.  
020 B000008 13-3224016  
020 C000008 0  
020 C000009 0  
020 C000010 0  
021 000000 17  
022 A000001 AMERICAN EXPRESS CREDIT CORP.  
022 B000001 11-1988350  
022 C000001 299155  
022 D000001 0  
022 A000002 MERRILL, LYNCH, PIERCE, FENNER & SMITH, INC.  
022 B000002 13-5674085  
022 C000002 10004  
022 D000002 6867  
022 A000003 LEHMAN BROTHERS, INC.  
022 B000003 13-2518466  
PAGE 3  
022 C000003 7228  
022 D000003 7360  
022 A000004 JP MORGAN SECURITIES, INC.  
022 B000004 13-3224016  
022 C000004 6905  
022 D000004 6177  
022 A000005 CITIGROUP GLOBAL MARKETS  
022 B000005 11-2418191  
022 C000005 3299  
022 D000005 9485  
022 A000006 CREDIT SUISSE FIRST BOSTON CORP.  
022 B000006 13-5659485  
022 C000006 8342  
022 D000006 3322  
022 A000007 J GIORDANO SECURITIES  
022 B000007 06-1569801

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022 C000007 6034  
022 D000007 5288  
022 A000008 MORGAN STANLEY & CO., INC.  
022 B000008 13-2655998  
022 C000008 6939  
022 D000008 1790  
022 A000009 JEFFERIES & CO., INC.  
022 B000009 95-2622900  
022 C000009 3589  
022 D000009 3550  
022 A000010 GOLDMAN, SACHS & CO., INC.  
022 B000010 13-5108880  
022 C000010 2875  
022 D000010 1138  
023 C000000 363750  
023 D000000 58606  
024 000000 Y  
025 A000001 CITIGROUP GLOBAL MARKETS  
025 B000001 11-2418191  
025 C000001 E  
025 D000001 2486  
025 A000002 MORGAN STANLEY & CO., INC.  
025 B000002 13-2655998  
025 C000002 E  
025 D000002 2067  
025 A000003 MERRILL LYNCH, PIERCE, FENNER, & SMITH, INC.  
025 B000003 13-5674085  
025 C000003 E  
025 D000003 1344  
025 A000004 CREDIT SUISSE FIRST BOSTON CORP.  
025 B000004 13-5659485  
025 C000004 E  
025 D000004 1660  
025 A000005 LEHMAN BROTHERS, INC.  
025 B000005 13-2518466  
PAGE 4  
025 C000005 E  
025 D000005 1091  
025 A000006 LEHMAN BROTHERS, INC.  
025 B000006 13-2518466  
025 C000006 D  
025 D000006 3360  
025 A000007 CITIGROUP GLOBAL MARKETS  
025 B000007 11-2418191  
025 C000007 D  
025 D000007 1906  
025 A000008 CREDIT SUISSE FIRST BOSTON CORP.  
025 B000008 13-5659485  
025 C000008 D  
025 D000008 2122  
025 A000009 GOLDMAN, SACHS & CO., INC.  
025 B000009 13-5108880  
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025 D000009 2490  
025 D000010 0  
025 D000011 0  
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025 D000013 0  
025 D000014 0  
025 D000015 0  
025 D000016 0  
026 A000000 N

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|     |         |      |   |
|-----|---------|------|---|
| 026 | B000000 | Y    |   |
| 026 | C000000 | Y    |   |
| 026 | D000000 | Y    |   |
| 026 | E000000 | N    |   |
| 026 | F000000 | N    |   |
| 026 | G010000 | N    |   |
| 026 | G020000 | N    |   |
| 026 | H000000 | N    |   |
| 027 | 000000  | N    |   |
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| 028 | A020000 |      | 0 |
| 028 | A030000 |      | 0 |
| 028 | A040000 |      | 0 |
| 028 | B010000 |      | 0 |
| 028 | B020000 |      | 0 |
| 028 | B030000 |      | 0 |
| 028 | B040000 |      | 0 |
| 028 | C010000 |      | 0 |
| 028 | C020000 |      | 0 |
| 028 | C030000 |      | 0 |
| 028 | C040000 |      | 0 |
| 028 | D010000 |      | 0 |
| 028 | D020000 |      | 0 |
| 028 | D030000 |      | 0 |
| 028 | D040000 |      | 0 |
|     | PAGE    | 5    |   |
| 028 | E010000 |      | 0 |
| 028 | E020000 |      | 0 |
| 028 | E030000 |      | 0 |
| 028 | E040000 |      | 0 |
| 028 | F010000 |      | 0 |
| 028 | F020000 |      | 0 |
| 028 | F030000 |      | 0 |
| 028 | F040000 |      | 0 |
| 028 | G010000 |      | 0 |
| 028 | G020000 |      | 0 |
| 028 | G030000 |      | 0 |
| 028 | G040000 |      | 0 |
| 028 | H000000 |      | 0 |
| 030 | A000000 |      | 0 |
| 030 | B000000 | 0.00 |   |
| 030 | C000000 | 0.00 |   |
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| 031 | B000000 |      | 0 |
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| 033 | 000000  |      | 0 |
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| 036 | B000000 |      | 0 |
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| 042 | C000000 |      | 0 |
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| 043 | 000000  |      | 0 |
| 044 | 000000  |      | 0 |
| 045 | 000000  | Y    |   |
| 046 | 000000  | N    |   |
| 047 | 000000  | Y    |   |

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|     |         |        |
|-----|---------|--------|
| 048 | 000000  | 0.000  |
| 048 | A010000 | 100000 |
| 048 | A020000 | 0.750  |
| 048 | B010000 | 0      |
| 048 | B020000 | 0.000  |
| 048 | C010000 | 0      |
| 048 | C020000 | 0.000  |
| 048 | D010000 | 0      |
| 048 | D020000 | 0.000  |
| 048 | E010000 | 0      |
| 048 | E020000 | 0.000  |
| 048 | F010000 | 0      |
| 048 | F020000 | 0.000  |
| 048 | G010000 | 0      |
| 048 | G020000 | 0.000  |
|     | PAGE    | 6      |
| 048 | H010000 | 0      |
| 048 | H020000 | 0.000  |
| 048 | I010000 | 0      |
| 048 | I020000 | 0.000  |
| 048 | J010000 | 0      |
| 048 | J020000 | 0.000  |
| 048 | K010000 | 100000 |
| 048 | K020000 | 0.500  |
| 049 | 000000  | N      |
| 050 | 000000  | N      |
| 051 | 000000  | N      |
| 052 | 000000  | N      |
| 053 | A000000 | N      |
| 054 | A000000 | Y      |
| 054 | B000000 | Y      |
| 054 | C000000 | Y      |
| 054 | D000000 | N      |
| 054 | E000000 | N      |
| 054 | F000000 | N      |
| 054 | G000000 | N      |
| 054 | H000000 | Y      |
| 054 | I000000 | N      |
| 054 | J000000 | Y      |
| 054 | K000000 | N      |
| 054 | L000000 | N      |
| 054 | M000000 | Y      |
| 054 | N000000 | N      |
| 054 | O000000 | Y      |
| 055 | A000000 | N      |
| 055 | B000000 | N      |
| 056 | 000000  | N      |
| 057 | 000000  | N      |
| 058 | A000000 | N      |
| 059 | 000000  | Y      |
| 060 | A000000 | Y      |
| 060 | B000000 | Y      |
| 061 | 000000  | 0      |
| 062 | A000000 | Y      |
| 062 | B000000 | 0.0    |
| 062 | C000000 | 0.0    |
| 062 | D000000 | 0.0    |
| 062 | E000000 | 0.0    |
| 062 | F000000 | 0.0    |
| 062 | G000000 | 0.0    |
| 062 | H000000 | 0.0    |
| 062 | I000000 | 3.6    |

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062 J000000 0.0  
062 K000000 0.0  
062 L000000 0.0  
062 M000000 0.0  
062 N000000 0.0

PAGE 7

062 O000000 0.0  
062 P000000 55.1  
062 Q000000 0.0  
062 R000000 41.3  
063 A000000 0  
063 B000000 2.6

064 A000000 Y  
064 B000000 N  
066 A000000 Y  
066 B000000 N  
066 C000000 N  
066 D000000 N  
066 E000000 Y  
066 F000000 N  
066 G000000 N

067 000000 N  
068 A000000 N  
068 B000000 N  
069 000000 N

070 A010000 Y  
070 A020000 N  
070 B010000 Y  
070 B020000 N  
070 C010000 Y  
070 C020000 N  
070 D010000 Y  
070 D020000 N  
070 E010000 N  
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070 L020000 Y  
070 M010000 N  
070 M020000 N  
070 N010000 Y  
070 N020000 N  
070 O010000 Y  
070 O020000 N  
070 P010000 N  
070 P020000 N

PAGE 8

070 Q010000 N  
070 Q020000 N  
070 R010000 Y  
070 R020000 N

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|             |        |
|-------------|--------|
| 071 A000000 | 67001  |
| 071 B000000 | 69338  |
| 071 C000000 | 111363 |
| 071 D000000 | 60     |
| 072 A000000 | 12     |
| 072 B000000 | 3097   |
| 072 C000000 | 2400   |
| 072 D000000 | 0      |
| 072 E000000 | 20     |
| 072 F000000 | 831    |
| 072 G000000 | 25     |
| 072 H000000 | 0      |
| 072 I000000 | 24     |
| 072 J000000 | 17     |
| 072 K000000 | 1      |
| 072 L000000 | 29     |
| 072 M000000 | 121    |
| 072 N000000 | 10     |
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| 072 Q000000 | 0      |
| 072 R000000 | 44     |
| 072 S000000 | 127    |
| 072 T000000 | 0      |
| 072 U000000 | 0      |
| 072 V000000 | 0      |
| 072 W000000 | 159    |
| 072 X000000 | 1389   |
| 072 Y000000 | 0      |
| 072 Z000000 | 4129   |
| 072AA000000 | 6421   |
| 072BB000000 | 0      |
| 072CC010000 | 0      |
| 072CC020000 | 2795   |
| 072DD010000 | 3815   |
| 072DD020000 | 0      |
| 072EE000000 | 0      |
| 073 A010000 | 0.3075 |
| 073 A020000 | 0.0000 |
| 073 B000000 | 0.0000 |
| 073 C000000 | 0.0000 |
| 074 A000000 | 396    |
| 074 B000000 | 0      |
| 074 C000000 | 4308   |
| 074 D000000 | 65667  |
| 074 E000000 | 42449  |
| 074 F000000 | 4318   |
| PAGE 9      |        |
| 074 G000000 | 0      |
| 074 H000000 | 0      |
| 074 I000000 | 0      |
| 074 J000000 | 1557   |
| 074 K000000 | 0      |
| 074 L000000 | 642    |
| 074 M000000 | 32     |
| 074 N000000 | 119369 |
| 074 O000000 | 0      |
| 074 P000000 | 74     |
| 074 Q000000 | 0      |
| 074 R010000 | 0      |
| 074 R020000 | 0      |
| 074 R030000 | 0      |

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|           |             |                               |
|-----------|-------------|-------------------------------|
| 074       | R040000     | 31                            |
| 074       | S000000     | 0                             |
| 074       | T000000     | 119264                        |
| 074       | U010000     | 12429                         |
| 074       | U020000     | 0                             |
| 074       | V010000     | 9.60                          |
| 074       | V020000     | 0.00                          |
| 074       | W000000     | 0.0000                        |
| 074       | X000000     | 800                           |
| 074       | Y000000     | 0                             |
| 075       | A000000     | 0                             |
| 075       | B000000     | 115847                        |
| 076       | 000000      | 8.20                          |
| 077       | A000000     | Y                             |
| 077       | B000000     | Y                             |
| 077       | Q010000     | N                             |
| 077       | Q020000     | Y                             |
| 077       | Q030000     | Y                             |
| 078       | 000000      | N                             |
| 080       | A000000     | ICI MUTUAL INSURANCE COMPANY  |
| 080       | C000000     | 1050                          |
| 081       | A000000     | Y                             |
| 081       | B000000     | 2                             |
| 082       | A000000     | Y                             |
| 082       | B000000     | 100                           |
| 083       | A000000     | N                             |
| 083       | B000000     | 0                             |
| 084       | A000000     | N                             |
| 084       | B000000     | 0                             |
| 085       | A000000     | Y                             |
| 085       | B000000     | N                             |
| 086       | A010000     | 0                             |
| 086       | A020000     | 0                             |
| 086       | B010000     | 0                             |
| 086       | B020000     | 0                             |
| 086       | C010000     | 0                             |
| 086       | C020000     | 0                             |
|           | PAGE        | 10                            |
| 086       | D010000     | 0                             |
| 086       | D020000     | 0                             |
| 086       | E010000     | 0                             |
| 086       | E020000     | 0                             |
| 086       | F010000     | 0                             |
| 086       | F020000     | 0                             |
| 087       | A010000     | SHARES OF BENEFICIAL INTEREST |
| 087       | A020000     | 28-9074106                    |
| 087       | A030000     | ECF                           |
| 088       | A000000     | N                             |
| 088       | B000000     | N                             |
| 088       | C000000     | N                             |
| 088       | D000000     | N                             |
| SIGNATURE | GARY LEVINE |                               |
| TITLE     | EXEC. VP    |                               |