#### Edgar Filing: COCA COLA ENTERPRISES INC - Form 3

#### COCA COLA ENTERPRISES INC

Form 3

September 07, 2007

# FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number:

3235-0104

Expires:

January 31, 2005

0.5

Estimated average burden hours per

response...

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

**SECURITIES** 

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement COCA COLA ENTERPRISES INC [CCE] Heinrich Joseph D (Month/Day/Year) 09/01/2007 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) **SUITE 700. 2500 WINDY** (Check all applicable) RIDGE PARKWAY (Street) 6. Individual or Joint/Group 10% Owner Director \_X\_\_ Officer Other Filing(Check Applicable Line) (give title below) (specify below) \_X\_ Form filed by One Reporting VP & Chief Accting Officer Person ATLANTA, GAÂ 30339 Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 4. Nature of Indirect Beneficial 1. Title of Security 2. Amount of Securities Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) Â Common Stock D 140,686 By 401(k) and Supplemental Common Stock 39,346 Ι 401(k) Common Stock 1,961 Ι By Spouse Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 4)	of Derivative Security  2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise Price of	5. Ownership Form of Derivative	6. Nature of Indirect Beneficial Ownership (Instr. 5)	
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Derivative Security	Security: Direct (D) or Indirect (I) (Instr. 5)		
1997 Stock Options - 1998 Grant - Tranche 1	01/02/1999	01/02/2008	Common Stock	7,600	\$ 40.142	D	Â	
1997 Stock Options - 1998 Grant - Tranche 2	01/02/1999	01/02/2008	Common Stock	7,600	\$ 46.164	D	Â	
1997 Stock Options - 1998 Grant - Tranche 3	01/02/1999	01/02/2008	Common Stock	7,600	\$ 53.088	D	Â	
1997 Stock Options - 1998 Grant - Tranche 4	01/02/1999	01/02/2008	Common Stock	7,600	\$ 61.051	D	Â	
1997 Stock Options - 1998 Grant - Tranche 5	01/02/1999	01/02/2008	Common Stock	7,600	\$ 70.209	D	Â	
1999 MIP Award	01/04/2000	01/04/2009	Common Stock	2,488	\$ 35.063	D	Â	
1999 Premium/Svc Options - Tranch 1	01/04/2000	01/04/2009	Common Stock	4,800	\$ 40.322	D	Â	
1999 Premium/Svc Options - Tranch 2	01/04/2000	01/04/2009	Common Stock	4,800	\$ 46.37	D	Â	
1999 Premium/Svc Options - Tranch 3	01/04/2000	01/04/2009	Common Stock	4,800	\$ 53.326	D	Â	
1999 Premium/Svc Options - Tranch 4	01/04/2000	01/04/2009	Common Stock	4,800	\$ 61.324	D	Â	
1999 Premium/Svc Options - Tranch 5	01/04/2000	01/04/2009	Common Stock	4,800	\$ 70.523	D	Â	
2000 Grant 1999 Premium/Svc. Options - Tranch 1	12/14/2000	12/14/2009	Common Stock	12,000	\$ 18.094	D	Â	
2000 Grant 1999 Premium/Svc. Options - Tranch 2	12/14/2000	12/14/2009	Common Stock	12,000	\$ 20.808	D	Â	
2000 Grant 1999 Premium/Svc. Options - Tranch 3	12/14/2000	12/14/2009	Common Stock	12,000	\$ 23.929	D	Â	
2000 Grant 1999 Premium/Svc. Options - Tranch 4	12/14/2000	12/14/2009	Common Stock	12,000	\$ 27.518	D	Â	
2000 Grant 1999	12/14/2000	12/14/2009	Common	12,000	\$ 31.646	D	Â	

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Premium/Svc. Options - Tranch 5			Stock				
2000 MIP Grant	01/14/2006	01/14/2010	Common Stock	4,876	\$ 21.25	D	Â
2001 Stock Option - Subgrant 1	01/02/2002(1)	01/02/2011	Common Stock	7,060	\$ 18.656	D	Â
2001 Stock Option - Subgrant 2	01/02/2002(1)	01/02/2011	Common Stock	7,060	\$ 20.522	D	Â
2001 Stock Option - Subgrant 3	01/02/2002(1)	01/02/2011	Common Stock	7,060	\$ 22.574	D	Â
2001 Stock Option - Subgrant 4	01/02/2002(1)	01/02/2011	Common Stock	7,060	\$ 24.832	D	Â
2001 Stock Option - Subgrant 5	01/02/2002(1)	01/02/2011	Common Stock	7,060	\$ 27.315	D	Â
2002 Stock Option Grant (right to buy)	02/01/2003	02/01/2012	Common Stock	24,400	\$ 16.11	D	Â
2003 Stock Option Award	02/03/2004(2)	02/03/2013	Common Stock	20,000	\$ 21.945	D	Â
2004 Restricted Share Units	02/26/2009	02/26/2009	Common Stock	7,000	\$ <u>(3)</u>	D	Â
2004 Stock Option Grant (right to buy)	02/26/2005(4)	02/26/2014	Common Stock	25,000	\$ 23.61	D	Â
2005 Restricted Share Units	09/01/2010	09/01/2010	Common Stock	6,000	\$ (3)	D	Â
2005 Stock Option Award (right to buy)	(5)	09/01/2015	Common Stock	20,000	\$ 22.3	D	Â
2006 Restricted Share Unit	08/03/2010	08/03/2010	Common Stock	7,350	\$ (3)	D	Â
2006 Stock Option Award (right to buy)	08/03/2007(6)	08/03/2016	Common Stock	11,850	\$ 21.79	D	Â

## **Reporting Owners**

Reporting Owner Name / Address	Relationships					
•	Director	10% Owner	Officer	Other		
Heinrich Joseph D			VP &			
SUITE 700	Â	â	Chief	Â		
2500 WINDY RIDGE PARKWAY		А	Accting			
ATLANTA, GA 30339			Officer			

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### **Signatures**

By: William T. Plybon, Attorney-in-Fact 09/07/2007

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) 25% per year after one, two, three and four years, measured from January 2, 2001
- (2) One-third per year after one, two and three years, measured from grant date; or upon death, disability or retirement.
- (3) Each restricted share unit is the economic equivalent of one share of the company's common stock on the vesting date.
- (4) One-third of the options vest on the first, second and third anniversaries of the grant date.
- (5) The grant vests in thirds, one-third on 9/1/2006, one-third on 9/1/2007 and one-third on 9/1/2008.
- (6) Vest 33% per year, measured from August 3, 2006

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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