Edgar Filing: BOSTON PRIVATE FINANCIAL HOLDINGS INC - Form 5

BOSTON PRIVATE FINANCIAL HOLDINGS INC

Form 5 February 02, 2016 F

| February 02 | 2, 2010 | | | | | | | | | |
|--|--|---|---|----------------|---|----------------|--|--|---|--|
| FORM | Л 5 | | | | | | | | PROVAL | |
| | | RITIES AND EXCHANGE COMMISSIO | | | | MISSION | OMB Number: | 3235-0362 | | |
| Check th no longe | r subject | ashington, l | ashington, D.C. 20549 | | | | Expires: | January 31, 2005 | | |
| to Sectio Form 4 c 5 obligat may con See Instr | r Form ANN ions tinue. | ENT OF CHANGES IN BENEFICIAL CRSHIP OF SECURITIES | | | | | Estimated av burden hour response | • | | |
| 1(b). | Filed pur ^{Holdings} Section 17(| (a) of the Public V 30(h) of the I | Utility Holdi | ing Compa | ny Ac | ct of 19 | | | | |
| 1. Name and Regan Jose | Address of Reporting oph D | Symbol BOST | r Name and Ti ON PRIVA' DINGS INC | TE FINAN | | Iss | | deporting Perso all applicable) | | |
| (Last) | (First) (| (Month | 3. Statement for Issuer's Fiscal Year Ended (Month/Day/Year) 12/31/2015 | | | | Director 10% Owner X_ Officer (give title Other (specify below) below) | | | |
| | PRIVATE FINAN 85, INC., TEN P QUARE | NCIAL | 2010 | | | | С | ontroller | | |
| | | | If Amendment, Date Original 6. Incled(Month/Day/Year) | | | | ndividual or Joint/Group Reporting (check applicable line) | | | |
| | MA 02109 (State) | (7:-) | | | | Per | | ore than One Rej | porting | |
| (City) | (State) | (Zip) Ta | | | | - | d, Disposed of, | or Beneficially | | |
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | or Disposed | 4. Securities Acquired (A) or Disposed of (D) Instr. 3, 4 and 5) (A) or Amount (D) Price | | 5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | |
| Common Stock | 12/31/2015 | Â | J | 675.883 (1) | A | \$ 9.639 | 31,679.067 | D | Â | |
| Reminder: Re | port on a separate line | e for each class of | Persons w | vho respon | d to tł | ne colle | ction of inform | nation | SEC 2270 | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

(9-02)

Edgar Filing: BOSTON PRIVATE FINANCIAL HOLDINGS INC - Form 5

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | ate | Secur | unt of rlying | 8. Price of Derivative Security (Instr. 5) | 9. O B O E I S E I S (I |
|---|---|---|---|---|---------------------|--------------------|-------|--|---|---|
| | | | | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | |

Reporting Owners

| Reporting Owner Name / Address | | Relationships | | | | | |
|---|--------------------|---------------|------------|-------|--|--|--|
| | Director 10% Owner | | Officer | Other | | | |
| Regan Joseph D BOSTON PRIVATE FINANCIAL HOLDINGS, INC. TEN POST OFFICE SQUARE BOSTON, MA 02109 | Â | Â | Controller | Â | | | |
| Signatures | | | | | | | |
| /s/ Margaret W. Chambers, attorney-in-fact for Mr. Regan | | 2/02/2016 | | | | | |
| **Signature of Reporting Person | | Date | | | | | |
| Explanation of Responses: | | | | | | | |

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Shares acquired through Company's Employee Stock Purchase Plan.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.