

DUQUES HENRY C
Form 3
November 29, 2005

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

DUQUES HENRY C

(Last) (First) (Middle)

6200 SOUTH QUEBEC STREET

(Street)

GREENWOOD VILLAGE, CO 80111

(City) (State) (Zip)

2. Date of Event Requiring Statement

(Month/Day/Year)

11/26/2005

3. Issuer Name and Ticker or Trading Symbol
FIRST DATA CORP [FDC]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original Filed(Month/Day/Year)

(Check all applicable)

☒ Director ☐ 10% Owner

☒ Officer ☐ Other
(give title below) (specify below)

Chief Executive Officer

6. Individual or Joint/Group Filing(Check Applicable Line)

☒ Form filed by One Reporting Person

☐ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security
(Instr. 4)

2. Amount of Securities Beneficially Owned
(Instr. 4)

3. Ownership Form:
Direct (D)
or Indirect (I)
(Instr. 5)

4. Nature of Indirect Beneficial Ownership
(Instr. 5)

Common Stock

8,059

D

À

Common Stock

8,536

I

By Spouse

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security
(Instr. 4)

2. Date Exercisable and Expiration Date
(Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security
(Instr. 4)

4. Conversion or Exercise Price of

5. Ownership Form of Derivative

6. Nature of Indirect Beneficial Ownership
(Instr. 5)

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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Derivative Security	Security: Direct (D) or Indirect (I) (Instr. 5)	
Director Stock Option (Right to Buy)	04/02/2003	04/02/2013	Common Stock	20,000	\$ 34.9109	D	Â
Director Stock Option (Right to Buy)	04/02/2003	04/02/2013	Common Stock	10,742	\$ 34.9109	D	Â
Director Stock Option (Right to Buy)	05/21/2003	05/21/2013	Common Stock	8,000	\$ 39.7582	D	Â
Director Stock Option (Right to Buy)	07/16/2003	07/16/2013	Common Stock	4,000	\$ 42.3924	D	Â
Director Stock Option (Right to Buy)	02/25/2004	02/25/2014	Common Stock	12,709	\$ 39.341	D	Â
Director Stock Option (Right to Buy)	05/19/2004	05/19/2014	Common Stock	8,000	\$ 44.18	D	Â
Employee Stock Option (Right to Buy)	12/31/2000	12/31/2006	Common Stock	428,108	\$ 18.5	D	Â
Employee Stock Option (Right to Buy)	01/22/2001	01/22/2007	Common Stock	65,200	\$ 18.5313	D	Â
Employee Stock Option (Right to Buy)	01/22/2003	01/22/2007	Common Stock	850,000	\$ 18.5313	D	Â
Employee Stock Option (Right to Buy)	09/17/2002	09/17/2007	Common Stock	12,060	\$ 18.2813	D	Â
Employee Stock Option (Right to Buy)	06/08/1999	01/21/2008	Common Stock	800,000	\$ 23	D	Â
Employee Stock Option (Right to Buy)	01/21/2001	01/21/2008	Common Stock	60,000	\$ 13.375	D	Â
Employee Stock Option (Right to Buy)	01/21/2001	01/21/2008	Common Stock	200,000	\$ 13.375	D	Â
Employee Stock Option (Right to Buy)	01/21/2001	01/21/2008	Common Stock	74,766	\$ 13.375	D	Â
Employee Stock Option (Right to Buy)	02/03/2003	02/03/2009	Common Stock	100,000	\$ 19.2344	D	Â
Employee Stock Option (Right to Buy)	04/01/2003	04/01/2009	Common Stock	100,000	\$ 21.2813	D	Â
Employee Stock Option (Right to Buy)	07/24/2001	12/08/2009	Common Stock	600,000	\$ 35	D	Â
Employee Stock Option (Right to Buy)	12/08/2002	12/08/2009	Common Stock	401,114	\$ 22.4375	D	Â
	02/02/2003	02/02/2010		150,000	\$ 24.9844	D	Â

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Employee Stock Option (Right to Buy)			Common Stock				
Employee Stock Option (Right to Buy)	12/31/2002	02/07/2011	Common Stock	250,000	\$ 29.7275	D	Â
Employee Stock Option (Right to Buy)	12/12/2002	12/12/2011	Common Stock	200,000	\$ 38.1875	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
DUQUES HENRY C 6200 SOUTH QUEBEC STREET GREENWOOD VILLAGE,Â COÂ 80111	Â X	Â	Â Chief Executive Officer	Â

Signatures

By: Stanley J. Andersen,
Attorney-in-Fact

11/29/2005

Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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