#### Edgar Filing: EASTMAN KODAK CO - Form 3

#### EASTMAN KODAK CO

Form 3

March 14, 2014

## FORM 3

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

**OMB** Number:

3235-0104

Expires:

January 31, 2005

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Estimated average burden hours per

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, response... Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person \*

CLARKE JEFF

(Last)

(First)

(Middle)

Statement

(Month/Day/Year)

03/12/2014

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

EASTMAN KODAK CO [KODK]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original

Filed(Month/Day/Year)

EASTMAN KODAK COMPANY, 343 STATE STREET

(Street)

10% Owner \_X\_ Director \_X\_ Officer Other

(Check all applicable)

(give title below) (specify below) Chief Executive Officer

6. Individual or Joint/Group Filing(Check Applicable Line) \_X\_ Form filed by One Reporting

Person

Form filed by More than One Reporting Person

ROCHESTER, ÂNYÂ 14650

(City) (State) (Zip)

1. Title of Security (Instr. 4)

2. Amount of Securities Beneficially Owned

(Instr. 4)

3. Ownership

Table I - Non-Derivative Securities Beneficially Owned

4. Nature of Indirect Beneficial Ownership

Form: (Instr. 5)

Direct (D) or Indirect (I) (Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and **Expiration Date** (Month/Day/Year)

3. Title and Amount of Securities Underlying **Derivative Security** 

5. 4 Conversion Ownership or Exercise Form of

6. Nature of Indirect Beneficial Ownership (Instr. 5)

(Instr. 4)

Date

**Expiration Title** Amount or Number of

Derivative Price of Derivative Security: Security Direct (D)

Date Exercisable

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Shares or Indirect

(I) (Instr. 5)

#### **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

**CLARKE JEFF** 

EASTMAN KODAK COMPANY 343 STATE STREET

X Â Â Chief Executive Officer Â

ROCHESTER. NYÂ 14650

## **Signatures**

/s/ Susan M. Wylie, Attorney-in-fact for Jeffrey J. Clarke

03/14/2014

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

No securities are beneficially owned

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number. ecurity: Direct (D) or Indirect (I)

(Instr. 4)11. Nature of Indirect Beneficial Ownership

(Instr. 4)CodeV(A)(D)Date ExercisableExpiration DateTitleAmount or Number of Shares

### **Reporting Owners**

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
O'Conor Raymond F 7 ROLLING GREEN DRIVE WILTON, NY 12831	X			

# **Signatures**

Thomas J. Murphy, Attorney 09/14/2018 in Fact

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Open market sale pursuant to a 10B5-1 Plan which was established by Reporting Person on November 1, 2017 in accordance with Rule 10B5-1 of the Securities Exchange Act of 1934, as amended.

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Reporting Owners 2

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