

Edgar Filing: MARCUS STEPHEN H - Form 4

MARCUS STEPHEN H  
Form 4  
December 23, 2002

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OMB APPROVAL  
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OMB Number 3235-0287  
Expires: January 31, 2005  
Estimated average burden  
hours per response ..... 0.5  
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UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

FORM 4

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or  
Section 30(h) of the Investment Company Act of 1940

Check this box if no longer subject to Section 16. Form 4 or Form 5  
obligations may continue. See Instruction 1(b).

1. Name and Address of Reporting Person\*

MARCUS, STEPHEN H.

(Last)

(First)

(Middle)

c/o THE MARCUS CORPORATION  
250 EAST WISCONSIN AVENUE

(Street)

MILWAUKEE, WI 53202

(City)

(State)

(Zip)

2. Issuer Name and Ticker or Trading Symbol

THE MARCUS CORPORATION (NYSE: MCS)

3. IRS Identification Number of Reporting Person, if an Entity (Voluntary)

4. Statement for Month/Day/Year

December 20, 2002

5. If Amendment, Date of Original (Month/Day/Year)

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6. Relationship of Reporting Person(s) to Issuer  
(Check all applicable)

- Director  10% Owner  
 Officer (give title below)  Other (specify below)

President, Chairman of the Board and CEO

7. Individual or Joint/Group Filing (Check applicable line)

- Form filed by one Reporting Person  
 Form filed by more than one Reporting Person

Table I -- Non-Derivative Securities Acquired, Disposed of,  
or Beneficially Owned

| 1.<br>Title of Security<br>(Instr. 3) | 2.<br>Transaction<br>Date<br>(Month/<br>Day/<br>Year) | 2A.<br>Deemed<br>Execution<br>Date, if<br>any<br>(Month/<br>Day/<br>Year) | 3.<br>Transaction<br>Code<br>(Instr. 8) | 4.<br>Securities Acquired (A) or<br>Disposed of (D)<br>(Instr. 3, 4 and 5) | Amount | or<br>Price      |
|---------------------------------------|-------------------------------------------------------|---------------------------------------------------------------------------|-----------------------------------------|----------------------------------------------------------------------------|--------|------------------|
|                                       |                                                       |                                                                           | -----<br>Code V                         |                                                                            |        | (A)<br>or<br>(D) |
| Common Stock                          |                                                       |                                                                           |                                         |                                                                            |        |                  |
| Common Stock                          |                                                       |                                                                           |                                         |                                                                            |        |                  |
| Common Stock                          |                                                       |                                                                           |                                         |                                                                            |        |                  |
| Common Stock                          | 12/20/02                                              |                                                                           | S                                       |                                                                            | 2,900  | D \$15.00        |
| Common Stock                          | 12/20/02                                              |                                                                           | S                                       |                                                                            | 100    | D \$15.02        |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.  
 \* If the Form is filed by more than one Reporting Person, see Instruction 4(b)(v).

Persons who respond to the collection of information contained in this form are not required to provide it unless the form displays a currently valid OMB control number.

FORM 4 (continued)

Table II -- Derivative Securities Acquired, Disposed of, or Beneficially Owned  
(e.g., puts, calls, warrants, options, convertible securities)

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| 1.<br>Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conver-<br>sion<br>or<br>Exer-<br>cise<br>Price<br>of<br>Deriv-<br>ative<br>Secur-<br>ity | 3.<br>Trans-<br>action<br>Date<br>(Month/<br>Day/<br>Year) | 3A.<br>Execu-<br>tion<br>Date,<br>if any<br>(Month/<br>Day/<br>Year) | 4.<br>Trans-<br>action<br>Code<br>(Instr<br>8)<br>-----<br>Code V | 5.<br>Number of<br>Derivative<br>Securities<br>Acquired (A)<br>or Disposed<br>of (D)<br>(Instr. 3,<br>4 and 5)<br>-----<br>(A) (D) | 6.<br>Date<br>Exercisable and<br>Expiration Date<br>(Month/Day/Year)<br>-----<br>Date Expira-<br>tion<br>Date | 7.<br>Title and Amount<br>of Underlying<br>Securities<br>(Instr. 3 and 4)<br>-----<br>Amount<br>or<br>Number<br>of<br>Shares |
|--------------------------------------------------------|-------------------------------------------------------------------------------------------------|------------------------------------------------------------|----------------------------------------------------------------------|-------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------|
| Class B<br>Common Stock                                | 1 for 1                                                                                         |                                                            |                                                                      |                                                                   |                                                                                                                                    | Immed                                                                                                         | *                                                                                                                            |
| Class B<br>Common Stock                                | 1 for 1                                                                                         |                                                            |                                                                      |                                                                   |                                                                                                                                    | Immed                                                                                                         | *                                                                                                                            |
| Class B<br>Common Stock                                | 1 for 1                                                                                         |                                                            |                                                                      |                                                                   |                                                                                                                                    | Immed                                                                                                         | *                                                                                                                            |
| Class B<br>Common Stock                                | 1 for 1                                                                                         |                                                            |                                                                      |                                                                   |                                                                                                                                    | Immed                                                                                                         | *                                                                                                                            |
| Class B<br>Common Stock                                | 1 for 1                                                                                         |                                                            |                                                                      |                                                                   |                                                                                                                                    | Immed                                                                                                         | *                                                                                                                            |
| Class B<br>Common Stock                                | 1 for 1                                                                                         |                                                            |                                                                      |                                                                   |                                                                                                                                    | Immed                                                                                                         | *                                                                                                                            |
| Class B<br>Common Stock                                | 1 for 1                                                                                         |                                                            |                                                                      |                                                                   |                                                                                                                                    | Immed                                                                                                         | *                                                                                                                            |

Explanation of Responses:

- \* Common Stock
- (1) Balance reflects the most current data available with regard to the reporting person's holding.
- (2) As co-trustee with sister of the shares held by the Ben and Celia Marcus 1992 Revocable Trust.
- (3) As co-trustee with sister of the shares held by the Ben Marcus Life Trust.

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations.

See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

STEPHEN H. MARCUS  
/s/ Ralph J. Gunter  
By Ralph J. Gunter

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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