Geraci Michael Form 4 July 20, 2018

### FORM 4

Form 5

obligations

may continue.

See Instruction

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Geraci Michael Issuer Symbol INTRICON CORP [IIN] (Check all applicable) (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) Director 10% Owner X\_ Officer (give title \_ Other (specify 1260 RED FOX ROAD 07/18/2018 below) VP Sales and Marketing (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person

#### ARDEN HILLS, MN 55112

| (City)                               | (State)                              | Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned |   |               |                  |  |  |   |  |  |
|--------------------------------------|--------------------------------------|--|---|---------------|------------------|--|--|---|--|--|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year)                      | 3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8) |               |                  | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |  |  |
|                                      |                                      |  | Code V  | Amount        | (A)<br>or<br>(D) | Price  | Reported<br>Transaction(s)<br>(Instr. 3 and 4)                       |   |  |  |
| Common<br>Stock                      | 07/18/2018                           |  | M   | 10,000<br>(1) | A                | \$<br>4.69   | 32,827 (2)   | D   |  |  |
| Common<br>Stock                      | 07/18/2018                           |  | F   | 988 (1)       | D                | \$<br>47.5   | 31,839 (2)   | D   |  |  |
| Common<br>Stock                      | 07/18/2018                           |  | M   | 8,000<br>(4)  | A                | \$<br>7.58   | 39,839   | D   |  |  |
| Common<br>Stock                      | 07/18/2018                           |  | F   | 1,277<br>(4)  | D                | \$<br>47.5   | 38,562   | D   |  |  |
| Common<br>Stock                      | 07/18/2018                           |  | M   | 4,000<br>(5)  | A                | \$ 6.9   | 42,562   | D   |  |  |

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

OMB

Number:

Expires:

response...

Form filed by More than One Reporting

Person

Estimated average

burden hours per

#### Edgar Filing: Geraci Michael - Form 4

582 (5) D \$ 41,980 Common 07/18/2018 D Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

(9-02)

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transacti<br>Code<br>(Instr. 8) | 5. Number of ctionDerivative Securities 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) |        | 6. Date Exercisable and Expiration Date (Month/Day/Year) |                    | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |                                     |
|---|---|--------------------------------------|---|---------------------------------------|---|--------|--|--------------------|---|-------------------------------------|
|   |   |                                      |   | Code V                                | (A)   | (D)    | Date<br>Exercisable                                      | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of Shares |
| Option to<br>Purchase<br>-<br>Common<br>Stock       | \$ 4.69   | 07/18/2018                           |   | M                                     |   | 10,000 | (3)  | 12/09/2018         | Common<br>Stock   | 10,000                              |
| Option to<br>Purchase<br>-<br>Common<br>Stock       | \$ 7.58   | 07/18/2018                           |   | M                                     |   | 8,000  | (3)  | 01/14/2026         | Common<br>Stock   | 8,000                               |
| Option to<br>Purchase<br>-<br>Common<br>Stock       | \$ 6.9  | 07/18/2018                           |   | M                                     |   | 4,000  | (3)  | 01/02/2027         | Common<br>Stock   | 4,000                               |

## **Reporting Owners**

Relationships Reporting Owner Name / Address Director 10% Owner Officer Other

Geraci Michael

1260 RED FOX ROAD ARDEN HILLS, MN 55112 VP Sales and Marketing

2 Reporting Owners

### **Signatures**

/s/ Scott Longval, attorney-in-fact

07/20/2018

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) "Cashless Exercise" Resulting in Net Acquisition of 9,012 Share.
- (2) Includes a total of 816 shares acquired under the IntriCon Employee Stock Purchase Plan ("ESPP") and not previously disclosed.
- (3) This option is Fully Vested and May BE Exercised Immediately
- (4) "Cashless Exercise" Resulting In Net Acquisition of 6,723 Shares
- (5) "Cashless Exercise" Resulting in Net Acquisition of 3,418 Shares

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 3