

MCKENNA MICHAEL J
Form 4
August 16, 2018

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2015
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
MCKENNA MICHAEL J

(Last) (First) (Middle)

C/O INTRICON CORPORATION, 1260 RED FOX ROAD

(Street)

ARDEN HILLS, MN 55112

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
INTRICON CORP [IIN]

3. Date of Earliest Transaction (Month/Day/Year)
08/14/2018

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line)

Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------|---|---|--|-----------------------------------|
| | | | | Code V | Amount or Price (A) or (D) | | |
| Common Stock | 08/14/2018 | | M | | 12,000 (1) \$ 3.03 | A | 78,009 D |
| Common Stock | 08/14/2018 | | F | | 531 (1) \$ 68.6 | D | 77,478 D |
| Common Stock | 08/14/2018 | | M | | 12,000 (3) \$ 4 | A | 89,478 D |
| Common Stock | 08/14/2018 | | F | | 700 (3) \$ 68.6 | D | 88,778 D |
| Common Stock | 08/14/2018 | | M | | 12,000 (4) \$ 4.1 | A | 100,778 D |

Edgar Filing: MCKENNA MICHAEL J - Form 4

| | | | | | | | |
|--------------|------------|---|-----------------------|---|---------|---------|---|
| Common Stock | 08/14/2018 | F | 718 ⁽⁴⁾ | D | \$ 68.6 | 100,060 | D |
| Common Stock | 08/14/2018 | M | 12,000 ⁽⁵⁾ | A | \$ 4.53 | 112,060 | D |
| Common Stock | 08/14/2018 | F | 793 ⁽⁵⁾ | D | \$ 68.6 | 111,267 | D |
| Common Stock | 08/14/2018 | M | 8,000 ⁽⁶⁾ | A | \$ 5.85 | 119,267 | D |
| Common Stock | 08/14/2018 | F | 683 ⁽⁶⁾ | D | \$ 68.6 | 118,584 | D |
| Common Stock | 08/14/2018 | M | 2,945 ⁽⁷⁾ | A | \$ 6.82 | 121,529 | D |
| Common Stock | 08/14/2018 | F | 293 ⁽⁷⁾ | D | \$ 68.6 | 121,236 | D |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | Amount or Number of Shares | |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|----------------------------|--|
| | | | | Code | V (A) (D) | Date Exercisable | Expiration Date | Title | |
| Option to Purchase - Common Stock | \$ 3.03 | 08/14/2018 | | M | 12,000 | ⁽²⁾ 04/15/2019 | Common Stock | 12,000 | |
| Option to Purchase - Common Stock | \$ 4 | 08/14/2018 | | M | 12,000 | ⁽²⁾ 04/21/2020 | Common Stock | 12,000 | |
| | \$ 4.1 | 08/14/2018 | | M | 12,000 | ⁽²⁾ 04/24/2023 | | 12,000 | |

| | | | | | | | | | |
|-----------------------------------|---------|------------|---|--------|-----|------------|--|--------------|--------|
| Option to Purchase - Common Stock | | | | | | | | Common Stock | |
| Option to Purchase - Common Stock | \$ 4.53 | 08/14/2018 | M | 12,000 | (2) | 04/27/2021 | | Common Stock | 12,000 |
| Option to Purchase - Common Stock | \$ 5.85 | 08/14/2018 | M | 8,000 | (2) | 04/28/2026 | | Common Stock | 8,000 |
| Option to Purchase - Common Stock | \$ 6.82 | 08/14/2018 | M | 2,945 | (2) | 04/23/2024 | | Common Stock | 2,945 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|---|---------------|-----------|---------|-------|
| | Director | 10% Owner | Officer | Other |
| MCKENNA MICHAEL J C/O INTRICON CORPORATION 1260 RED FOX ROAD ARDEN HILLS, MN 55112 | X | | | |

Signatures

/s/ Scott Longval,
attorney-in-fact

08/16/2018

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) "Cashless Exercise" Resulting in Net Acquisition of 11,469 Shares.
- (2) This option is Fully Vested and May BE Exercised Immediately.
- (3) "Cashless Exercise" Resulting in Net Acquisition 11,300 Shares.
- (4) "Cashless Exercise" Resulting in Net Acquisition of 11,282 Shares.
- (5) "Cashless Exercise" Resulting in Net Acquisition of 11,207 Shares.
- (6) "Cashless Exercise" Resulting in Net Acquisition of 7,317 Shares.
- (7) "Cashless Exercise" Resulting in Net Acquisition of 2,652 Shares.

Edgar Filing: MCKENNA MICHAEL J - Form 4

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.
Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.