

Edgar Filing: INVU INC - Form 5

INVU INC
Form 5
March 18, 2002

FORM 5

U.S. SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See instruction 1(b)

Form 3 Holdings Reported

OMB APPROVAL

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Form 4 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(f) of the Investment Company Act 1940

| | | |
|--|---|--|
| 1. Name and Address of Reporting Person* | 2. Issuer Name and Ticker or Trading Symbol | 6. Relationship of Reporting Person to Issuer (check all Applicable) |
| | INVU, Inc. (INVU) | |

| | | | | |
|-----------------|-----------------------|--|--------------------------------------|------------------------------|
| Maxfield | Thomas | 01/2002 | X | Director |
| ----- (Last) | ----- (First) (MI) | ----- 3. IRS or Soc. Sec. No. of Reporting Person (Voluntary) | ----- 4. Statement for Month/Year | ----- Office (give title) |

| | | | | |
|--|-------------------|----------------|---|-------------------------|
| The Beren, Blisworth Hill Farm, Stoke Road | | | ----- | ----- |
| ----- (Street) | | | ----- 5. If Amendment, Date of Original (Month/Year) | ----- 7. I F L |
| Blisworth | Northhamptonshire | NN7 3DB | | |
| ----- (City) | ----- (State) | ----- (Zip) | | X |

Table I - Non-Derivative Securities Acquired, Disposed of or Beneficially Owned

| | | | |
|-------------------------------|---------------------|---------------------|-------------|
| 1. Title of Security (Inst.3) | 2. Transaction Date | 3. Transaction Code | 4. Se on |
|-------------------------------|---------------------|---------------------|-------------|

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| | (Month/ Day/Yr) | (Instr.8) | (I | Amo |
|-------|--------------------|-----------|-------|-------|
| ----- | ----- | ----- | ----- | ----- |
| ----- | ----- | ----- | ----- | ----- |
| ----- | ----- | ----- | ----- | ----- |
| ----- | ----- | ----- | ----- | ----- |
| ----- | ----- | ----- | ----- | ----- |

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| 5. Amount of Securities Beneficially Owned at at End Issuer's Fiscal Year (Inst. 3 & 4) | 6. Ownership Form Direct (D) or Indirect (I) | 7. Natur Benef (Inst |
|--|--|----------------------------|
| ----- | ----- | ----- |
| ----- | ----- | ----- |
| ----- | ----- | ----- |
| ----- | ----- | ----- |
| ----- | ----- | ----- |

*If form is filed by more than one reporting person, see Instruction 4(b)(v)

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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Table II - Derivative Securities Acquired, Disposed of,
or Beneficially Owned (e.g., puts, calls,
warrants, options, convertible security)

| 1. Title of Derivative Security | 2. Conversion or Exercise Price of | 3. Transaction Date (Month (Day/Year) |
|---------------------------------------|--|---|
|---------------------------------------|--|---|

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| (Instr.3) | Derivative Security | |
|---|------------------------|----------|
| Non-executive Stock Option (right to buy) | \$0.50 | 12/27/01 |
| ----- | ----- | ----- |
| ----- | ----- | ----- |
| ----- | ----- | ----- |
| ----- | ----- | ----- |
| ----- | ----- | ----- |

| 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 & 5) | | 6. Date Exercisable & Expiration Date (Mon./Day/Year) | | 7. Title & Amount Underlying Security (Inst. 3 & 4) |
|--|-------|---|-----------------|---|
| (A) | (D) | Date Exercisable | Expiration Date | Title |
| 75,000 | | (1) | 12/27/11 | Common Stock |
| ----- | ----- | ----- | ----- | ----- |
| ----- | ----- | ----- | ----- | ----- |
| ----- | ----- | ----- | ----- | ----- |
| ----- | ----- | ----- | ----- | ----- |
| ----- | ----- | ----- | ----- | ----- |

| 8. Price of Derivative Security (Inst.5) | 9. Number of Derivative Securities Beneficially Owned at end of Month (Instr. 4) | 10. Ownership Form of Derivative Security Direct (D) or Indirect (I) (Instr.4) | 11. |
|--|--|--|-------|
| | 75,000 | D | |
| ----- | ----- | ----- | ----- |
| ----- | ----- | ----- | ----- |
| ----- | ----- | ----- | ----- |
| ----- | ----- | ----- | ----- |
| ----- | ----- | ----- | ----- |

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Explanation of Responses:

(1) On December 27, 2001, Mr. Maxfield was granted an option to purchase 75,000 shares of common stock of INVU, Inc. The option vests in 4 equal annual installments beginning December 27, 2002.

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**Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

/s/ Thomas Maxfie

**Signature of Re

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