Apollo Global Management LLC Form 4

August 11, 2015

### FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

Check this box if no longer

subject to Section 16. Form 4 or Form 5

obligations may continue. See Instruction

**SECURITIES** Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

(Last)

(City)

Class A

**Shares** 

1. Name and Address of Reporting Person \*

**ROWAN MARC J** 

(First) (Middle)

C/O APOLLO GLOBAL MANAGEMENT, INC., 9 WEST

57TH STREET, 43RD FLOOR

(Street)

(State)

NEW YORK, NY 10019

2. Issuer Name and Ticker or Trading

Symbol

Apollo Global Management LLC [APO]

3. Date of Earliest Transaction

4. If Amendment, Date Original

(Month/Day/Year) 08/10/2015

Filed(Month/Day/Year)

Code V

M

5. Relationship of Reporting Person(s) to

Issuer

(Check all applicable)

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

OMB

Number:

Expires:

response...

Estimated average

burden hours per

X Director X\_\_ 10% Owner X\_ Officer (give title Other (specify

below) Senior Managing Director

6. Individual or Joint/Group Filing(Check

Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) (Instr. 3)

08/10/2015

Execution Date, if (Month/Day/Year)

(Zip)

3. 4. Securities Acquired (A) 5. Amount of Transactionor Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)

Amount

3,540,496

(1)

Securities Beneficially Owned Following Reported

Form: Direct Indirect (D) or Indirect (I) (Instr. 4)

Beneficial Ownership (Instr. 4)

6. Ownership 7. Nature of

(A) Transaction(s) Price (D)

(Instr. 3 and 4)

3,540,496

See I Footnote

(2)

SEC 1474

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

(9-02)

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transaction<br>Code<br>(Instr. 8) | 5. Number of onDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |                    | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |                                  |
|---|---|--------------------------------------|---|---|---|--|--------------------|---|----------------------------------|
|   |   |                                      |   | Code V                                  | (A) (D)   | Date<br>Exercisable  | Expiration<br>Date | Title   | Amount or<br>Number of<br>Shares |
| Apollo<br>Operating<br>Group<br>units               | (1)   | 08/10/2015                           |   | M                                       | 3,540,496   | (3)  | (3)                | Class<br>A<br>Shares  | 3,540,496                        |
| Apollo<br>Operating<br>Group<br>units               | (1)   |                                      |   |   |   | (3)  | (3)                | Class<br>A<br>Shares  | (1)                              |

### **Reporting Owners**

| Reporting Owner Name / Address  | Relationships |           |                          |       |  |  |
|---|---------------|-----------|--------------------------|-------|--|--|
| . 0   | Director      | 10% Owner | Officer                  | Other |  |  |
| ROWAN MARC J<br>C/O APOLLO GLOBAL MANAGEMENT, INC.<br>9 WEST 57TH STREET, 43RD FLOOR<br>NEW YORK NY 10019 | X             | X         | Senior Managing Director |       |  |  |

# **Signatures**

/s/ Marc J.
Rowan

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Each Apollo Operating Group ("AOG") unit represents a right to receive one Class A share of Apollo Global Management, LLC (the "Issuer"), subject to the restrictions and provisions set forth in the Agreement Among Principals, dated July 13, 2007, by and among the

- (1) reporting person, Leon Black and Joshua Harris (the "Agreement Among Principals"), and the Third Amended and Restated Exchange Agreement, dated as of May 7, 2015, by and among the Issuer, AP Professional Holdings, L.P. and the other parties thereto (the "Exchange Agreement").
- (2) By MJR Foundation LLC ("MJR Foundation"), an estate planning vehicle for which voting and investment control are exercised by the reporting person.
- (3) The AOG units were fully vested as of December 31, 2011 and do not expire.
- (4) Pursuant to Instruction 4(c)(iii), this response has been left blank.

Reporting Owners 2

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By AP Professional Holdings, L.P. The reporting person is an indirect limited partner in BRH Holdings, L.P., which holds 61.99% of the limited partnership interests in AP Professional Holdings, L.P., the direct holder of the AOG units. The AOG units indirectly held by the reporting person are the number of AOG units that he has a right to receive as an indirect limited partner in BRH Holdings, L.P., subject to the restrictions and provisions set forth in the Agreement Among Principals and the Exchange Agreement.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.