AMBARELLA INC

Form 4

December 30, 2015

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB

3235-0287 Number:

**OMB APPROVAL** 

January 31, Expires: 2005

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Check this box if no longer subject to Section 16. Form 4 or

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading TAN LIP BU Issuer Symbol AMBARELLA INC [AMBA] (Check all applicable) (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) \_X\_\_ Director 10% Owner Other (specify Officer (give title ONE CALIFORNIA STREET, 12/28/2015 below) **SUITE 2800** 4. If Amendment, Date Original (Street) 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting SAN FRANCISCO, CA 94111

| (City)                               | (State)                                 | (Zip) Tal   | ble I - Non-                           | Derivative S                | Secu  | rities Acqui          | red, Disposed of   | , or Beneficial  | ly Owned  |
|--------------------------------------|---|---|--|-----------------------------|-------|-----------------------|--|--|---|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Transactic<br>Code<br>(Instr. 8) | omr Dispose<br>(Instr. 3, 4 | ed of | Price                 | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
| Ordinary<br>Shares                   | 12/28/2015                              |   | S                                      | 2,472                       | D     | \$ 58.2134 <u>(1)</u> | 3,236  | D  |   |
| Ordinary<br>Shares                   |   |   |  |                             |       |                       | 464  | I  | See<br>Footnote   |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

|   | 1. Title of Derivative | 2. Conversion                         | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if | 4.<br>Transacti    | 5.<br>orNumber | 6. Date Exerc<br>Expiration D  |                    | 7. Title<br>Amount                           |  | 8. Price of Derivative | 9. Nu<br>Deriv  |
|---|------------------------|---------------------------------------|--------------------------------------|-------------------------------|--------------------|----------------|--|--------------------|--|--|------------------------|---|
| Security or Exercis (Instr. 3) Price of |                        | or Exercise<br>Price of<br>Derivative | (Month/Day/Tear)                     | any (Month/Day/Year)          | Code<br>(Instr. 8) | of             | f (Month/Day/Year) Derivative Derivative Decrivative D |                    | Underlying<br>Securities<br>(Instr. 3 and 4) |  | Security (Instr. 5)    | Secur<br>Bene<br>Owne<br>Follo<br>Repo<br>Trans<br>(Instr |
|   |                        |                                       |                                      |                               | Code V             | (A) (D)        | Date<br>Exercisable  | Expiration<br>Date | Title N                                      | Amount<br>or<br>Number<br>of<br>Shares |                        |   |

# **Reporting Owners**

Relationships Reporting Owner Name / Address 10% Owner Officer Other Director TAN LIP BU X

ONE CALIFORNIA STREET, SUITE 2800

SAN FRANCISCO, CA 94111

# **Signatures**

/s/ Michael Morehead by Power of 12/30/2015 Attorney

> \*\*Signature of Reporting Person Date

### **Explanation of Responses:**

If the form is filed by more than one reporting person, see Instruction 4(b)(v).

information regarding the number of shares sold at each separate price.

- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The sale price reported in column 4 of Table I represents the weighted average sale price of the shares sold ranging from \$58.20 to \$58.24 per share. Upon request by the Commission staff, the Issuer, or a security holder of the Issuer, the Reporting Person will provide full
- (2) Such shares are held by Lip-Bu Tan & Ysa Loo Trust DTD 2/3/92.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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