

ASTRO MED INC /NEW/
Form 4
March 16, 2016

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2015
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Woods Gregory A

(Last) (First) (Middle)
3 MONKS WAY
(Street)

MEDFIELD, MA 02052

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
ASTRO MED INC /NEW/ [ALOT]

3. Date of Earliest Transaction
(Month/Day/Year)
03/14/2016

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)
CHIEF EXECUTIVE OFFICER

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
				(A) or (D) Code V Amount (D) Price			
Common Stock	03/14/2016		A ⁽¹⁾	7,199 A \$ 0	48,997 ⁽²⁾	D	
Common Stock	03/14/2016		A ⁽³⁾	4,030 A \$ 0	53,027	D	
Common Stock					55	I	Held in Employee Stock Ownership Plan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	Amount or Number of Shares
				Code V	(A) (D)	Date Exercisable Expiration Date	Title	
Stock Option (right to purchase)	\$ 15.01	03/14/2016		A	50,000	<u>(4)</u> 03/14/2026	Common Stock	50,000
Restricted Stock Unit	<u>(5)</u>	03/14/2016		A	451	<u>(6)</u> <u>(6)</u>	Common Stock	451

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Woods Gregory A 3 MONKS WAY MEDFIELD, MA 02052	X		CHIEF EXECUTIVE OFFICER	

Signatures

/s/ Margaret V. Boericke, attorney-in-fact for Gregory Woods 03/16/2016

 **Signature of Reporting Person

 Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Shares issued in settlement of performance based stock units earned on March 14, 2016. Shares are fully vested when earned.

(2) Includes 906 shares acquired under the Astro-Med, Inc. Employee Stock Purchase Plan in January 2016.

(3) Restricted stock award made to the reporting person under the reporting person's Equity Incentive Award Agreement with the issuer, which vest as follows: (i) 1,008 shares vest on the first anniversary of the grant date, (ii) 1,008 shares vest on the second anniversary of the grant date, (iii) 1,007 shares vest on the third anniversary of the grant date, and (iv) 1,007 shares vest on the fourth anniversary of the grant date.

Edgar Filing: ASTRO MED INC /NEW/ - Form 4

- (4) The option vests in four equal annual installments commencing on the one year anniversary of the grant date.
- (5) Each restricted stock unit represents a contingent right to receive one share of ALOT common stock.
- (6) The restricted stock units vest in three equal annual installments beginning March 14, 2017.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.