Edgar Filing: SEATTLE GENETICS INC /WA - Form 4/A

SEATTLE GENETICS INC /WA Form 4/A August 24, 2016 OMB APPROVAL FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB 3235-0287 Washington, D.C. 20549 Number: Check this box January 31, Expires: if no longer 2005 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Estimated average **SECURITIES** Section 16. burden hours per Form 4 or response... 0.5 Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person * 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Cline Darren S Issuer Symbol SEATTLE GENETICS INC /WA (Check all applicable) [SGEN] (Last) (First) (Middle) 3. Date of Earliest Transaction Director 10% Owner X_Officer (give title Other (specify (Month/Day/Year) below) below) 21823 30TH DRIVE SE 08/25/2015 EVP, Commercial (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) _X_ Form filed by One Reporting Person 08/22/2016 Form filed by More than One Reporting BOTHELL, WA 98021 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities 5. Amount of 6. Ownership 7. Nature of Security (Month/Day/Year) Execution Date, if TransactionAcquired (A) or Securities Form: Direct Indirect (Instr. 3) any Code Disposed of (D) Beneficially (D) or Beneficial (Instr. 3, 4 and 5) Indirect (I) Ownership (Month/Day/Year) (Instr. 8) Owned Following (Instr. 4) (Instr. 4) Reported (A) Transaction(s) or (Instr. 3 and 4) Code V Amount (D) Price Common 40,973 (1) (2) D Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number poof Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	ivative Expiration Date ties (Month/Day/Year) red sed of 3, 4,		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. De Se (In
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Incentive Stock Option Right to Buy	\$ 39.15	08/25/2015 <u>(2)</u>		A	2,220	<u>(3)</u>	08/25/2025	Common Stock	2,220	

Edgar Filing: SEATTLE GENETICS INC /WA - Form 4/A

Reporting Owners

Reporting Owner Name / Addre	ess	Relationships						
reporting o when runne (runne)	Director	10% Owner	Officer	Other				
Cline Darren S 21823 30TH DRIVE SE BOTHELL, WA 98021			EVP, Commercial					
Signatures								
/s/ Darren S. Cline	08/24/2016							

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

Date

- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Amount of securities beneficially owned following reported transactions includes restricted stock units subject to vesting.

Due to administrative error, on August 22, 2016, a Form 4 that had already been filed on August 25, 2015 was refiled (SEC Accession No. 0001203311-16-000118). This amendment to Form 4 is being filed solely to "withdraw" the mistakenly re-filed Form 4. One of the

- (2) transactions reported in the August 22, 2016 Form 4 (an acquisition of 2,200 incentive stock options to purchase Common Stock) is being repeated in this amendment solely to be able to file this amendment with the SEC's EDGAR system. The Reporting Person's holdings as of the date of this Form 4/A filing are set forth in Table 1 above.
- $\begin{array}{c} \textbf{(3)} \\ \textbf{(3)} \\ \textbf{(3)} \end{array} \\ \begin{array}{c} \text{The option shares shall vest at a rate of } 25\% \text{ on } 08/25/2016 \text{ and monthly thereafter until all shares are fully vested four years from grant date.} \end{array}$

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

**Signature of

Reporting Person