Edgar Filing: APPLIED GENETIC TECHNOLOGIES CORP - Form 4

APPLIED GENETIC TECHNOLOGIES CORP

Form 4

Stock

Stock

Common

09/20/2016

September 27, 2016

FORM	ORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549							OMB APPROVAL				
								OMB Number:	3235-0287			
Check this box if no longer GENATION OF CHANGES IN DESCRIPTION OF CHA								Expires:	January 31,			
subject to Section 1 Form 4 c	subject to Section 16. Form 4 or STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES							Estimated a burden hou response	rs per			
Form 5 obligatio may com <i>See</i> Instr 1(b).	ns Section 17	(a) of the		ility Hold	ing Com	pany	Act of	ge Act of 1934, If 1935 or Section 140	n			
(Print or Type l	Responses)											
1. Name and Address of Reporting Person * Potter Stephen W			2. Issuer Name and Ticker or Trading Symbol APPLIED GENETIC					5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
			TECHN	OLOGIE:	S CORP	[AG	TC]	(eme	on an approver	•)		
	(First) (ED GENETIC	3. Date of Earliest Transaction (Month/Day/Year) 09/16/2016					Director 10% OwnerX Officer (give title Other (specify below) See Remarks					
	OGIES CORP., TERRACE, SU											
				nendment, Date Original onth/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person				
ALACHUA	, FL 32615								More than One Re			
(City)	(State)	(Zip)	Table	e I - Non-De	erivative S	Securi	ties Acq	quired, Disposed o	f, or Beneficial	ly Owned		
1.Title of Security (Instr. 3)	any		on Date, if Transaction(A) or Disposed of Code (D) /Day/Year) (Instr. 8) (Instr. 3, 4 and 5)				d of	Securities Beneficially Owned	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
C				Code V	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)				
Common	09/16/2016			P	1,000	A	\$ 07	1,000	D			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

P

400

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

D

1,400

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	cisable and	7. Title	and	8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transactio	onNumber	Expiration Date		Amoun	t of	Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Underly	ying	Security	Secui
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Securit	ies	(Instr. 5)	Bene
	Derivative				Securities			(Instr. 3	3 and 4)		Own
	Security				Acquired						Follo
	•				(A) or						Repo
					Disposed						Trans
					of (D)						(Instr
					(Instr. 3,						
					4, and 5)						
									A manuat		
									Amount		
						Date	Expiration		Or Number		
						Exercisable	Date	Title Numbe of			
				C + V	(A) (D)						
				Code V	(A) (D)				Shares		

Reporting Owners

Relationships Reporting Owner Name / Address Director 10% Owner Officer Other

Potter Stephen W C/O APPLIED GENETIC TECHNOLOGIES CORP. 14193 NW 119TH TERRACE, SUITE #10 ALACHUA, FL 32615

See Remarks

Signatures

/s/ Hemmie Chang, attorney-in-fact for Stephen W.

Potter 09/27/2016

> **Signature of Reporting Person Date

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Remarks:

Vice President and Chief Business Officer

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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