Rice Energy Inc.
Form 3
October 31, 2016

(Print or Type Responses)

1. Name and Address of Reporting Person. *


1401 MCKINNEY STREET,
SUITE 2700
(Street)

HOUSTON,Â TXÂ 77010
(City) (State) (Zip)
1.Title of Security
(Instr. 4)
2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Statement
(Month/Day/Year) 10/19/2016

Rice Energy Inc. [RICE]
4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer

Filed(Month/Day/Year)

| Director | _X__ 10\% Owner | 6. Individual or Joint/Group |
| :--- | :--- | :--- |
| Officer | Other | Filing(Check Applicable Line) |
|  | (specify below) | _X_Form filed by One Reporting |
|  |  | Person |
|  |  | Reporting Piled by More than One |

Table I - Non-Derivative Securities Beneficially Owned

| 2. Amount of Securities <br> Beneficially Owned <br> (Instr. 4) | 3. | 4. Nature of Indirect Beneficial |
| :--- | :--- | :--- |
|  | Form: | Ownership |
| (Instr. 5) |  |  |
|  | Direct (D) <br> or Indirect <br> (I) |  |
|  | (Instr. 5) |  |

I See footnotes (2) (3) (4) (5)

24,238.004

Class A Preferred Stock ${ }^{(1)}$

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security | 2. Date Exercisable and <br> (Instr. 4) | 3. Title and Amount of <br> Expiration Date <br> (Month/Day/Year) | Securities Underlying <br> Derivative Security <br> (Instr. 4) | 4. <br> Conversion <br> or Exercise | Ownership <br> Form of |
| :--- | :--- | :--- | :--- | :--- | :--- | | 6. Nature of Indirect |
| :--- |
| Beneficial Ownership |
| (Instr. 5) |


| Date | Expiration | Amount or | or Indirect |
| :--- | :--- | :--- | :--- |
| Exercisable | Date | Number of | (I) |
|  |  | Shares | (Instr. 5) |



## Reporting Owners

Reporting Owner Name / Address

## Relationships

Director 10\% Owner Officer Other

VANLOH S WIL JR<br>HOUSTON,Â TXÂ 77010

1401 MCKINNEY STREET, SUITE 2700 Â Â X Â ̂̂

## Signatures

/s/ S. Wil
VanLoh Jr.
10/31/2016
**Signature of Date Reporting Person

## Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. $78 \mathrm{ff}(\mathrm{a})$.
Each 1/1000th of a share of Class A Preferred Stock ("Class A Preferred Stock") of Rice Energy Inc. ("Rice") entitles its holder to one vote on all matters to be voted on by stockholders generally. Holders of Class A Preferred Stock are entitled to receive, after payment or
(1) provision for debts and liabilities and prior to any distribution in respect of shares of common stock ("Common Stock") of Rice or any other junior securities, liquidating distributions in an amount equal to $\$ 0.01$ per share of Class A Preferred Stock in the event of any voluntary or involuntary liquidation, dissolution or winding up of Rice's affairs.

Quantum Energy Partners IV, LP ("QEP IV") is the record holder of 6,660,444 common units (the "Common Units") of Rice Energy Operating LLC ("REO") that are redeemable for shares Common Stock of Rice as described in Footnote 6 below. Quantum Energy Management IV, LLC ("QEM IV LLC") is the sole general partner of Quantum Energy Management IV, LP, which is the sole general partner of QEP IV. Any decision taken by QEP IV to vote, or to direct to vote, and to dispose, or to direct the disposition of, the securities held by QEP IV has to be approved by a majority of the members of its investment committee, which majority must include S. Wil VanLoh, Jr.. Therefore, Mr. VanLoh may be deemed to share voting and dispositive power over the securities held by QEP IV and may also be deemed to be the beneficial owner of these securities. Mr. VanLoh disclaims beneficial ownership of such securities in excess of his pecuniary interest in the securities.

Q-Vantage Parallel Partners ("Q-Vantage") is the record holder of 438,801 Common Units of REO that are redeemable for shares of Common Stock of Rice as described in Footnote 6 below. QEM IV LLC is the sole general partner of Quantum Energy Management IV, LP, which is the sole managing partner of Q-Vantage. Any decision taken by Q-Vantage to vote, or to direct to vote, and to dispose, or to
(3) direct the disposition of, the securities held by Q-Vantage has to be approved by a majority of the members of its investment committee, which majority must include S. Wil VanLoh, Jr.. Therefore, Mr. VanLoh may be deemed to share voting and dispositive power over the securities held by Q-Vantage and may also be deemed to be the beneficial owner of these securities. Mr. VanLoh disclaims beneficial ownership of such securities in excess of his pecuniary interest in the securities.

QEM IV Direct Investment Partners ("QEM IV") is the record holder of 289,434 Common Units of REO that are redeemable for shares of Common Stock of Rice as described in Footnote 6 below. QEM IV LLC is the sole general partner of Quantum Energy Management IV, LP, which is the sole managing partner of QEM IV. Any decision taken by QEM IV to vote, or to direct to vote, and to dispose, or to
(4) direct the disposition of, the securities held by QEM IV has to be approved by a majority of the members of its investment committee, which majority must include S. Wil VanLoh, Jr.. Therefore, Mr. VanLoh may be deemed to share voting and dispositive power over the securities held by QEM IV and may also be deemed to be the beneficial owner of these securities. Mr. VanLoh disclaims beneficial ownership of such securities in excess of his pecuniary interest in the securities.

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Quantum V Investment Partners ("Quantum V") is the record holder of $16,849,325$ Common Units of REO that are redeemable for shares of Common Stock of Rice as described in Footnote 6 below. QEM V, LLC ("QEM V LLC") is the sole managing partner of Quantum V. Any decision taken by Quantum V to vote, or to direct to vote, and to dispose, or to direct the disposition of, the securities held by
(5) Quantum V has to be approved by a majority of the members of its investment committee, which majority must include S. Wil VanLoh, Jr.. Therefore, Mr. VanLoh may be deemed to share voting and dispositive power over the securities held by Quantum V and may also be deemed to be the beneficial owner of these securities. Mr. VanLoh disclaims beneficial ownership of such securities in excess of his pecuniary interest in the securities.

The Common Units of REO are redeemable (together with 1/1000th of a share of Class A Preferred Stock) at any time and from time to time for an equal number of shares of Common Stock of Rice or, at the election of REO, a cash payment equal to the volume-weighted (6) average closing price of one share of Common Stock for the five trading days prior to and including the last full trading day immediately prior to the date that a member delivers a notice of redemption. Upon the exercise of the redemption right, Rice may, at its option, effect a direct exchange of the Common Units (and the corresponding shares of Class A Preferred Stock (or fractions thereof)) from the redeeming member of REO. The Common Units have no expiration date.
Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure.
Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

