## HOME BANCSHARES INC

Form 4

Common Stock -Restricted

November 10, 2016

FORM -	4							OMB AF	PPROVAL	
	UNITEDSI	CATES SECURI Wash	TIES AN ington, D			SE CC	OMMISSION	OMB Number:	3235-0287	
Check this b if no longer subject to Section 16. Form 4 or Form 5 obligations may continu See Instructi	Filed pursual Section 17(a)	ant to Section 16( of the Public Util 30(h) of the Inve	SECURIT  (a) of the S  (ity Holdin	CIES Securities ag Compa	Excl	nange .	Act of 1934,	Expires: Estimated a burden hour response		
1(b).										
Print or Type Res	ponses)									
1. Name and Add: TIPTON JOH!	cson * 2. Issuer N Symbol					5. Relationship of Reporting Person(s) to Issuer				
	<u>*</u>	HOME BANCSHARES INC					(Check all applicable)			
(Last) P.O. BOX 966	(First) (Mid	3. Date of E (Month/Day 11/09/201	/Year)	saction			Director _X Officer (give telow) Chief O		Owner or (specify	
	(Street)	4. If Amend Filed(Month		Original		A	. Individual or Joi applicable Line) X_ Form filed by O	ne Reporting Pe	rson	
CONWAY, A	R 72033					P	Form filed by Morerson	ore than One Re	porting	
(City)	(State) (Zi	p) Table 1	l - Non-Deri	ivative Sec	urities	s Acqui	red, Disposed of,	or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)		Code (Instr. 8)	4. Securit or(A) or Dis (Instr. 3, 4)	sposed 4 and 5 (A) or	of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock	11/09/2016		M	14,000	` ´	\$ 6.56	20,000 (1) (2)	D		
Common Stock - Performance Based							10,000 (1) (2) (3) (4)	D		
Common Stock							13,676.473 (1) (5)	I	By 401(k)	
Common							10,000 (1) (6)	D		

Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of onDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amoun or Numbe of Shar
Stock Option	\$ 6.56 (7)	11/09/2016		M		14,000	<u>(8)</u>	01/19/2022	Common Stock	14,00 (1)
Performance Stock Option	\$ 18.46 (7)						<u>(9)</u>	08/23/2025	Common Stock	40,00 (1)

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

TIPTON JOHN STEPHEN P.O. BOX 966

P.O. BOX 966 Chief Operating Officer CONWAY, AR 72033

**Signatures** 

/s/John Stephen Tipton by Rachel Wesson 11/10/2016

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The reporting person received shares as a result of the Company declaring a 2-for-1 stock split to shareholders of record as of May 18, 2016 and made payable June 8, 2016.
- (2) The reporting person had 4,000 shares (split adjusted) vest since the last filing.

**(3)** 

Reporting Owners 2

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The Performance Stock awarded on August 24, 2015 will vest in 33 1/3% installments over five years beginning on the third annual anniversary of the date that the performance goal is met. The performance goal will be met as of the end of the calendar quarter when the Company has averaged \$0.625 diluted earnings per share for four consecutive quarters or \$2.50 total diluted earnings per share over a period of four consecutive quarters.

- (4) The Performance Stock awarded on August 2, 2012 "cliff" vested on the third annual anniversary of the date that the performance goal is met. The performance goal was met on September 30, 2013 and therefore "cliff" vested 100% on September 30, 2016.
- (5) Includes 1,186.251 shares (split adjusted) acquired through the Home BancShares, Inc. 401(k) Plan since the reporting person's last filing.
- (6) Restricted Stock granted on August 24, 2015 will vest in 33 1/3% installments over five years beginning on the third anniversary of the award date.
- (7) The exercise price decreased as a result of the Company declaring a 2-for-1 stock split to shareholders of record May 18, 2016 and made payable June 8, 2016.
- (8) The option became exercisable in five equal annual installments beginning on January 20, 2013.
- Once the performance goal has been met, the Performance Stock Option awarded on August 24, 2015 will become exercisable in seven equal annual installments beginning on the first annual anniversary of the award date. The performance goal will be met as of the end of the calendar quarter when the Company has averaged \$0.625 diluted earnings per share for four consecutive quarters or \$2.50 total diluted earnings per share over a period of four consecutive quarters.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.