Wilks Brothers, LLC Form 4 June 28, 2018

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB

**OMB APPROVAL** 

Number:

3235-0287

Expires:

January 31, 2005

0.5

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response...

if no longer subject to Section 16. Form 4 or Form 5

obligations

may continue.

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

See Instruction 1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* Wilks Brothers, LLC

2. Issuer Name and Ticker or Trading Symbol

CARBO CERAMICS INC [CRR]

5. Relationship of Reporting Person(s) to

Issuer

(First) (Middle) 3. Date of Earliest Transaction

(Check all applicable)

(Month/Day/Year)

06/11/2018

Director X\_\_ 10% Owner \_X\_ Other (specify Officer (give title

below) below) See Remarks

17010 IH 20

(Last)

4. If Amendment, Date Original

Applicable Line)

Filed(Month/Day/Year)

Form filed by One Reporting Person \_X\_ Form filed by More than One Reporting

6. Individual or Joint/Group Filing(Check

Person

CISCO, TX 76437

(City) (State) (Zip)

(Street)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of Security (Instr. 3)

2. Transaction Date 2A. Deemed (Month/Day/Year)

Execution Date, if

(Month/Day/Year)

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 3, 4 and 5) (Instr. 8)

5. Amount of Securities Beneficially Owned Following

6. Ownership 7. Nature of Form: Direct Indirect (D) or Indirect Beneficial Ownership (T) (Instr. 4) (Instr. 4)

Reported Transaction(s)

(A) Code V Amount (D) Price

(Instr. 3 and 4)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security

Conversion or Exercise

3. Transaction Date 3A. Deemed (Month/Day/Year) Execution Date, if

any

4. 5. Number Transaction Derivative Securities Code

6. Date Exercisable and **Expiration Date** (Month/Day/Year)

7. Title and Amount of **Underlying Securities** (Instr. 3 and 4)

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(Instr. 3)	Price of Derivative Security		(Month/Day/Year)	(Instr. 8)	Acquired (A) or Disposed (D) (Instr. 3, and 5)	d of				
				Code V	7 (A)	(D)	Date Exercisable	Expiration Date	Title	Amount of Number of Shares
Put Option (obligation to buy) (1)	\$ 13	06/11/2018		P	700		06/11/2018	06/15/2018	Common Stock	70,000
Put Option (obligation to buy) (1)	\$ 13	06/12/2018		P	1,060		06/12/2018	06/15/2018	Common Stock	106,000
Put Option (obligation to buy) (1)	\$ 13	06/13/2018		P	585		06/13/2018	06/15/2018	Common Stock	58,500
Put Option (obligation to buy) (1)	\$ 13	06/14/2018		P	3,930		06/14/2018	06/15/2018	Common Stock	393,000

# **Reporting Owners**

Reporting Owner Name / Address	Relationships							
Toporous o must remain a remainess	Director	10% Owner	Officer	Other				
Wilks Brothers, LLC 17010 IH 20 CISCO, TX 76437		X		See Remarks				
Wilks Dan H. 17010 IH 20 CISCO, TX 76437		X						
Wilks Staci 17010 IH 20 CISCO, TX 76437		X						
Wilks Farris 17010 IH 20 CISCO, TX 76437		X						

## **Signatures**

Dan H. Wilks, By: /s/ Morgan D Neff, Morgan D Neff, as Attorney-in-Fact					
**Signature of Reporting Person	Date				
Staci Wilks, By: /s/ Morgan D Neff, Morgan D Neff, as Attorney-in-Fact					
**Signature of Reporting Person	Date				
Farris Wilks, By: /s/ Morgan D Neff, Morgan D Neff, as Attorney-in-Fact	06/27/2018				

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\*\*Signature of Reporting Person

Date

WILKS BROTHERS, LLC, By: /s/ Morgan D Neff, Name: Morgan D Neff, Title: Attorney-in-Fact

06/27/2018

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The Reporting Person bought-to-close 6,275 put options referencing 627,500 shares of Common Stock.

#### **Remarks:**

a currently valid OMB number.

This Form 4 is also being filed by (i) Dan H. Wilks, in his capacity as a managing member of Wilks Brothers, LLC (ii) Farris Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays

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