

ALEXION PHARMACEUTICALS INC

Form 4

December 15, 2015

**FORM 4****UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
See Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

OMB  
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response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
NORBY R DOUGLAS

2. Issuer Name **and** Ticker or Trading  
Symbol  
ALEXION PHARMACEUTICALS  
INC [ALXN]

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

(Last) (First) (Middle)  
C/O ALEXION  
PHARMACEUTICALS, 352  
KNOTTER DRIVE

3. Date of Earliest Transaction  
(Month/Day/Year)  
12/11/2015

☒ Director ☐ 10% Owner  
☐ Officer (give title below) ☐ Other (specify below)

(Street)  
CHESHIRE, CT 06410

4. If Amendment, Date Original  
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
☒ Form filed by One Reporting Person  
☐ Form filed by More than One Reporting  
Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership Indirect Beneficial Ownership (Instr. 4)
Common Stock, par value \$.0001 per share	12/11/2015		A	13,000	A \$ 18.01 45,481	D	
Common Stock, par value \$.0001 per share	12/11/2015		A	12,276	A \$ 16.83 57,757	D	

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Common Stock, par value \$.0001 per share	12/11/2015	A	7,932	A	\$ 26.64	65,689	D
Common Stock, par value \$.0001 per share	12/11/2015	A	5,572	A	\$ 49.43	71,261	D
Common Stock, par value \$.0001 per share	12/11/2015	A	3,237	A	\$ 85.75	74,498	D
Common Stock, par value \$.0001 per share	12/11/2015	A	3,425	A	\$ 96.35	77,923	D
Common Stock, par value \$.0001 per share	12/11/2015	S	34	D	\$ <u>(1)</u> 186.97	77,889	D
Common Stock, par value \$.0001 per share	12/11/2015	S	35,575	D	\$ <u>(2)</u> 187.57	42,314	D
Common Stock, par value \$.0001 per share	12/11/2015	S	9,833	D	\$ <u>(3)</u> 188.24	32,481	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security	2. Conversion or Exercise	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any	4. Transaction Code	5. Number of	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying	8. Price of Derivative Security	9. Number of Derivative Securities
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**Reporting Owner Name / Address**

Director	10% Owner	Officer	Other
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## Signatures

12/15/2015

Date \_\_\_\_\_

## Explanation of Responses:

- Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.