

Edgar Filing: VERSICOR INC /CA - Form 4

VERVICOR INC /CA  
Form 4  
October 03, 2001

U.S. SECURITIES AND EXCHANGE COMMISSION  
Washington, DC 20549

FORM 4

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or  
Section 30(f) of the Investment Company Act of 1940

[X] Check box if no longer subject to Section 16. Form 4 or Form 5 obligations  
may continue. See Instruction 1(b).

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1. Name and Address of Reporting Person\*

Truex Paul F.

(Last) (First) (Middle)

34790 Ardentech Court

(Street)

Fremont, CA 94555

(City) (State) (Zip)

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2. Issuer Name and Ticker or Trading Symbol

Versicor Inc. (VERS)

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3. IRS Identification Number of Reporting Person, if an Entity (Voluntary)

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4. Statement for Month/Year

September 2001

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5. If Amendment, Date of Original (Month/Year)

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6. Relationship of Reporting Person to Issuer  
(Check all applicable)

Director  10% Owner  
 Officer (give title below)  Other (specify below)

Former Vice President, Commerical Development

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7. Individual or Joint/Group Filing (Check applicable line)

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[x] Form filed by one Reporting Person  
 [\_] Form filed by more than one Reporting Person

Table I -- Non-Derivative Securities Acquired, Disposed of,  
 or Beneficially Owned

| 1.<br>Title of Security<br>(Instr. 3) | 2.<br>Transaction<br>Date<br>(mm/dd/yy) | 3.<br>Transaction<br>Code<br>(Instr. 8) |   | 4.<br>Securities Acquired (A) or<br>Disposed of (D)<br>(Instr. 3, 4 and 5) |                  |         |
|---------------------------------------|---|---|---|--|------------------|---------|
|                                       |   | Code                                    | V | Amount   | (A)<br>or<br>(D) | Price   |
| Common Stock                          | 9/10/01                                 | S                                       |   | 9,000  | D                | \$14.85 |
| Common Stock                          | 9/24/01                                 | M                                       |   | 22,000   | A                | \$4.72  |
| Common Stock                          | 9/26/01                                 | S                                       |   | 186  | D                | \$12.80 |
| Common Stock                          | 9/28/01                                 | S                                       |   | 9,000  | D                | \$12.00 |

\* If the Form is filed by more than one Person, see Instruction 4(b)(v).

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

(Print or Type Response)

(Over)

FORM 4 (continued)

Table II -- Derivative Securities Acquired, Disposed of, or Beneficially Owned  
 (e.g., puts, calls, warrants, options, convertible securities)

| 1. | 2.<br>Conver-<br>sion<br>or<br>Exer-<br>cise<br>Price<br>of | 3.<br>Trans-<br>action<br>Code | 4.<br>Trans-<br>action<br>Code | 5.<br>Number of<br>Derivative<br>Securities<br>Acquired (A)<br>or Disposed<br>of (D) | 6.<br>Date<br>Exercisable and<br>Expiration Date<br>(Month/Day/Year) | 7.<br>Title and Amount<br>of Underlying<br>Securities<br>(Instr. 3 and 4)<br>-----<br>Amount |
|----|---|--------------------------------|--------------------------------|--|--|--|
|----|---|--------------------------------|--------------------------------|--|--|--|

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| Title of<br>Derivative<br>Security<br>(Instr. 3) | Deriv-<br>ative<br>Secur-<br>ity | Date<br>(Month/<br>Day/<br>Year) | (Instr.<br>8)<br>Code V | (Instr. 3,<br>4 and 5)<br>-----<br>(A) (D) | -----<br>Date<br>Exer-<br>cisable | Expira-<br>tion<br>Date | Title           | or<br>Number<br>of<br>Shares |
|--|----------------------------------|----------------------------------|-------------------------|--|-----------------------------------|-------------------------|-----------------|------------------------------|
| Employee Stock<br>Option (right<br>to buy)       | \$4.72                           | 9/24/01                          | M                       | 22,000                                     | 4/6/01                            | 4/6/10                  | Common<br>Stock | 22,000                       |

Explanation of Responses:

/s/ Paul F. Truex

September 3, 2001

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\*\*Signature of Reporting Person

-----  
Date

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations.

See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed.  
If space provided is insufficient, see Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.