Ultragenyx Pharmaceutical Inc.

Form 4/A

March 28, 2014

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

3235-0287

0.5

January 31, Expires:

**OMB APPROVAL** 

2005 Estimated average

burden hours per response...

if no longer subject to Section 16. Form 4 or Form 5

Check this box

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* KAKKIS EMIL D

2. Issuer Name and Ticker or Trading Symbol

(Month/Day/Year)

02/05/2014

5. Relationship of Reporting Person(s) to

President & CEO

Issuer

below)

Ultragenyx Pharmaceutical Inc.

(Check all applicable)

[RARE]

(Last) (First) 3. Date of Earliest Transaction

\_X\_\_ Director X\_ Officer (give title

X\_\_ 10% Owner Other (specify

C/O ULTRAGENYX

PHARMACEUTICAL INC., 60

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check

Applicable Line)

(Street)

Filed(Month/Day/Year) 02/07/2014

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

(Instr. 4)

NOVATO, CA 94949

LEVERONI COURT

(City) (State) (Zip)

(Middle)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of Security (Instr. 3)

2. Transaction Date 2A. Deemed (Month/Day/Year)

Execution Date, if

(Month/Day/Year)

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 8) (Instr. 3, 4 and 5)

5. Amount of Securities Beneficially Owned Following

6. Ownership 7. Nature of Form: Direct Indirect (D) or Indirect Beneficial

Ownership (Instr. 4)

(A)

Reported Transaction(s)

(Instr. 3 and 4) Code V Amount (D) Price

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)		5. Number of or Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amo Underlying Secu (Instr. 3 and 4)	
	Security			Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	An Nu Sh
Warrant to Purchase Series A Preferred Stock (Right to Buy)	\$ 0.959	02/05/2014		J			260,688 (1)	06/16/2011	06/30/2020	Series A Preferred Stock	20
Warrant to Purchase Common Stock (Right to Buy)	\$ 3.01	02/05/2014		J		83,167 (1)		06/11/2011	06/30/2020	Common Stock	8

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
KAKKIS EMIL D C/O ULTRAGENYX PHARMACEUTICAL INC. 60 LEVERONI COURT NOVATO, CA 94949	X	X	President & CEO			
Signatures						
/s/ Ryan Murr by power of attorney for Emil D. Kakkis, M.D., Ph.D. 03/28/2014						
**Signature of Reporting Person	Date					

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
  - Immediately prior to the closing of the Issuer's initial public offering, this warrant to purchase shares of Series A Preferred Stock
- automatically converted on a 1-for-3.1345 basis into a warrant to purchase shares of Common Stock. Disposition of Warrant to Purchase Series A Preferred Stock and acquisition of Warrant to Purchase Common Stock listed solely for the purpose of reporting such conversion of the shares underlying the security.
- (2) This item was amended to correct the calculation of shares resulting from the conversion of Series A Preferred Stock to Common Stock. Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Reporting Owners 2

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